

ADDENDUM

J.E. Bonevich and L.D. Marks, Contrast transfer theory for non-linear imaging. Ultramicroscopy 26 (1988) 313.

Our original manuscript contained an analytical solution of the transmission cross-coefficient that included the second-order terms of the beam convergence. However, the cross-terms between the focal spread and convergence can also be included in the solution.

Starting with the complete equation for the transmission cross-coefficient in reduced units,

$$T(\mathbf{u}, \mathbf{q}, \Delta z) = \int \int \exp\left\{ (i\pi/2) \left\{ 2(\Delta z + f) [q^2 + 2\mathbf{w} \cdot (\mathbf{u} - \mathbf{q}) - u^2] \right. \right. \\ \left. \left. + [q^4 - 2w^2(u^2 - q^2) - u^4 + 4\mathbf{w} \cdot (u^2\mathbf{u} - q^2\mathbf{q}) \right. \right. \\ \left. \left. - 4(|\mathbf{u} \cdot \mathbf{w}|^2 - |\mathbf{q} \cdot \mathbf{w}|^2) + 4w^2\mathbf{w} \cdot (\mathbf{u} - \mathbf{q}) \right\} \right\} \\ \times \sqrt{\beta/\pi} \exp(-\beta f^2) df (\alpha/\pi) \exp(-\alpha w^2) d\mathbf{w},$$

If we first consider the integration over f , the envelope term for the focal spread is:

$$\exp\left\{ -(\pi^2/4\beta) [q^4 - 2u^2q^2 + u^4 - 4\mathbf{w} \cdot (\mathbf{u} - \mathbf{q})(u^2 - q^2) + 4|\mathbf{w} \cdot (\mathbf{u} - \mathbf{q})|^2] \right\}.$$

The constant terms,

$$(\alpha/\pi) \exp\left[-\pi^2(q^2 - u^2)^2/4\beta \right],$$

are removed from the integral. Now including the cross-terms between the focal spread and convergence, the $\Delta\alpha$ interaction [1] leaves

$$\int \exp\left\{ i\mathbf{w} \cdot [\nabla\chi(\mathbf{u}) - \nabla\chi(\mathbf{q}) - i(\pi^2/\beta)(u^2 - q^2)(\mathbf{u} - \mathbf{q})] \right. \\ \left. - w^2 \left\{ \alpha + (\pi^2/\beta) |\mathbf{u} - \mathbf{q}|^2 + i\pi [u^2 - q^2 + (2/w^2)(|\mathbf{u} \cdot \mathbf{w}|^2 - |\mathbf{q} \cdot \mathbf{w}|^2) - 2\mathbf{w} \cdot (\mathbf{u} - \mathbf{q})] \right\} \right\} d\mathbf{w}.$$

The integral is then split into the x and y components as before.

$$\int \exp\left\{ ix \cdot [\nabla\chi(\mathbf{u}) - \nabla\chi(\mathbf{q}) - i(\pi^2/\beta)(u^2 - q^2)(\mathbf{u} - \mathbf{q})] \right. \\ \left. - x^2 \left\{ \alpha + (\pi^2/\beta) |\mathbf{u} - \mathbf{q}|^2 + i\pi [u^2(1 + 2\cos^2\Theta_2) - q^2(1 + 2\cos^2\Theta_3) - 2\mathbf{x} \cdot (\mathbf{u} - \mathbf{q})] \right\} \right\} dx \\ \times \int \exp\left\{ iy \cdot [\nabla\chi(\mathbf{u}) - \nabla\chi(\mathbf{q})] - y^2 \left\{ \alpha + i\pi [u^2(1 + 2\sin^2\Theta_2) - q^2(1 + 2\sin^2\Theta_3)] \right\} \right\} dy.$$

Note that the cross-terms between the spatial and temporal coherence only are present in the integral over x (parallel to $\mathbf{v} = \mathbf{u} - \mathbf{q}$). These additional terms can be included in the analytical solution for $T(\mathbf{u}, \mathbf{q}, \Delta z)$

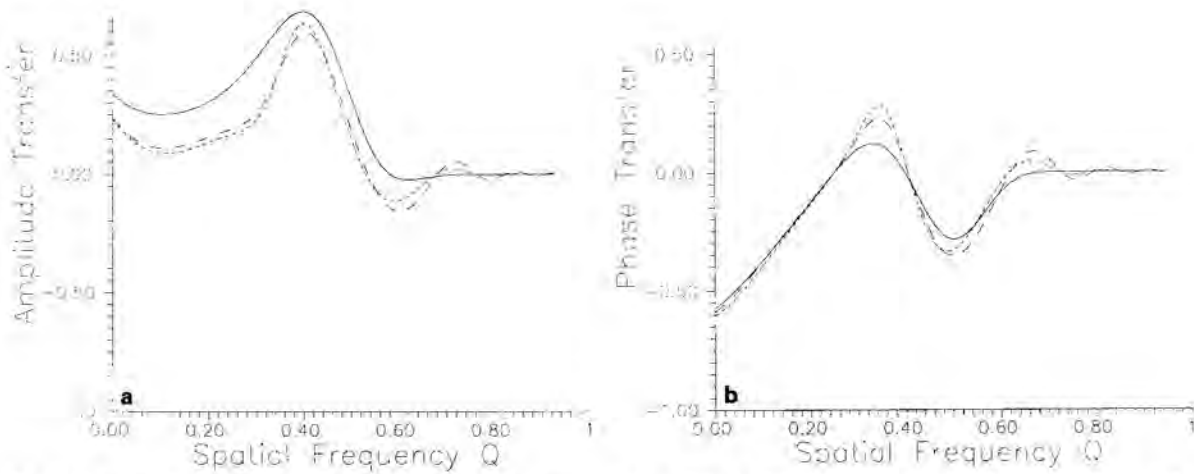


Fig. 1. Non-linear interference with g_{100} along parallel orientations for original solution (short dashes), the $\Delta\alpha$ cross-terms included (long dashes), and the full solution (solid). The rms focal spread was 70 Å, rms convergence of 3 mrad, electron energy of 300 keV, C_s of 0.9 mm, at -500 Å underfocus.

provided that we neglect the cubic term of w :

$$\begin{aligned}
 T(\mathbf{u}, \mathbf{q}, \Delta z) = & \exp[-i\chi(\mathbf{u}) + i\chi(\mathbf{q})] \exp\left\{-\left[\pi^2(q^2 - u^2)^2/4\beta\right]\right\} \\
 & \times \exp\left\{-\left[\nabla\chi(\mathbf{u}) \cos \Theta_2 - \nabla\chi(\mathbf{q}) \cos \Theta_3 - i(\pi^2/\beta)(u^2 - q^2)|\mathbf{u} - \mathbf{q}|^2\right]^2\right. \\
 & \left./4\left\{\alpha + (\pi^2/\beta)|\mathbf{u} - \mathbf{q}|^2 + i\pi\left[u^2(1 + 2 \cos^2\Theta_2) - q^2(1 + 2 \cos^2\Theta_3)\right]\right\}\right\} \\
 & \times \exp\left\{-\left[\nabla\chi(\mathbf{u}) \sin \Theta_2 + \nabla\chi(\mathbf{q}) \sin \Theta_3\right]^2\right. \\
 & \left./4\left\{\alpha + i\pi\left[u^2(1 + 2 \sin^2\Theta_2) - q^2(1 + 2 \sin^2\Theta_3)\right]\right\}\right\} \\
 & \times \alpha / \left\{\left\{\alpha + (\pi^2/\beta)|\mathbf{u} - \mathbf{q}|^2 + i\pi\left[u^2(1 + 2 \cos^2\Theta_2) - q^2(1 + 2 \cos^2\Theta_3)\right]\right\}\right\} \\
 & \times \left\{\alpha + i\pi\left[u^2(1 + 2 \sin^2\Theta_2) - q^2(1 + 2 \sin^2\Theta_3)\right]\right\}^{1/2}.
 \end{aligned}$$

As before, the full solution can be found by numerical integration.

Fig. 1 shows the results for the original test case. While the full integration represents the true solution, there are only subtle differences between the solution containing the $\Delta\alpha$ cross-terms and the original analytical solution. The cross-terms become significant in the extreme case of high focal spread and convergence. In any event, the improved solution represents a substantial improvement over first-order non-linear theory.

The authors would like to thank Dr. M.A. O'Keefe for correspondence leading to this addendum.

Reference

- [1] M.A. O'Keefe, in: Proc. 37th Annu. EMSA Meeting, 1979, Ed. G.W. Bailey, p. 556.