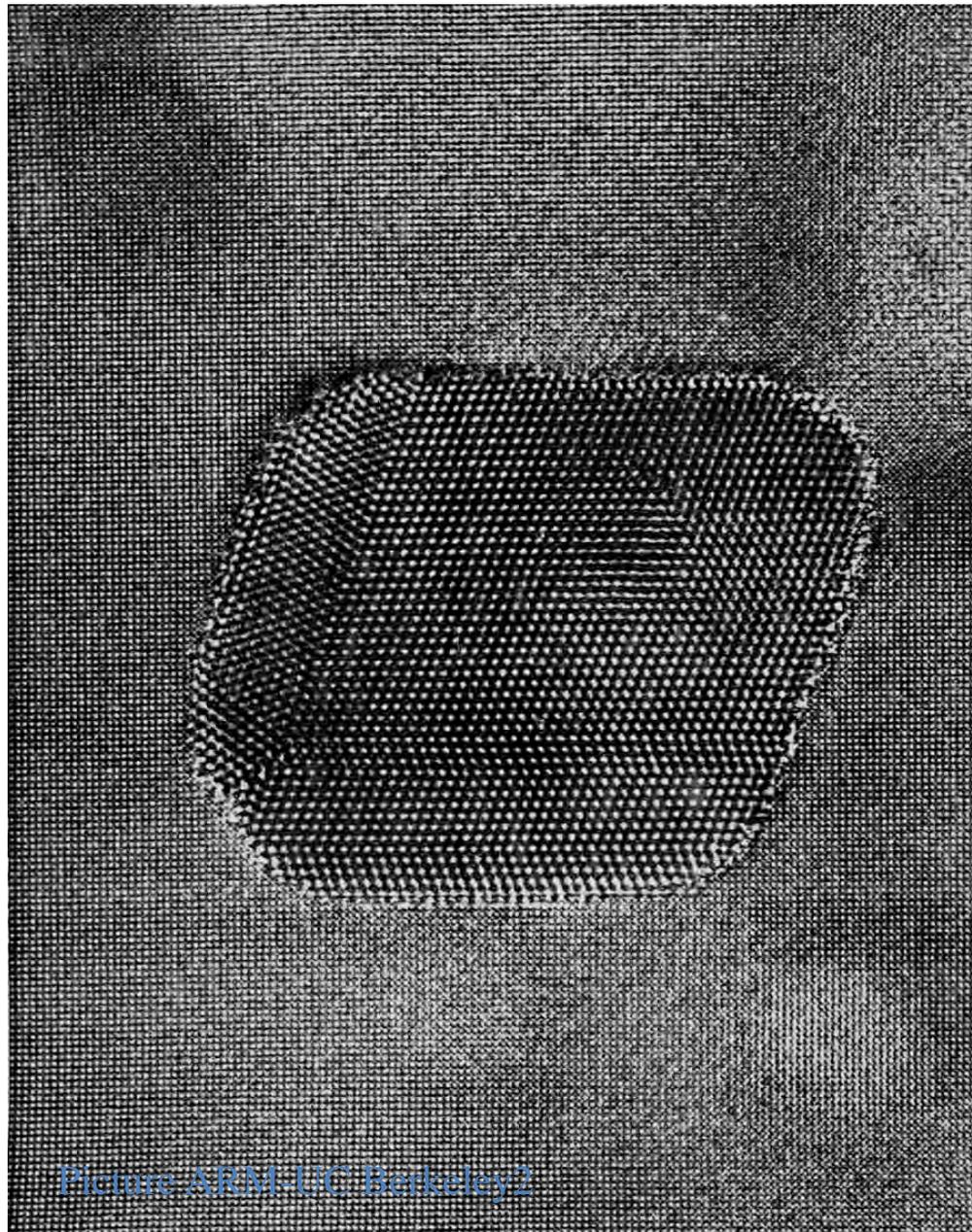


HREM

Early microscope.

# Image close to visual interpretation



Curtesy S. Van Tenderloo

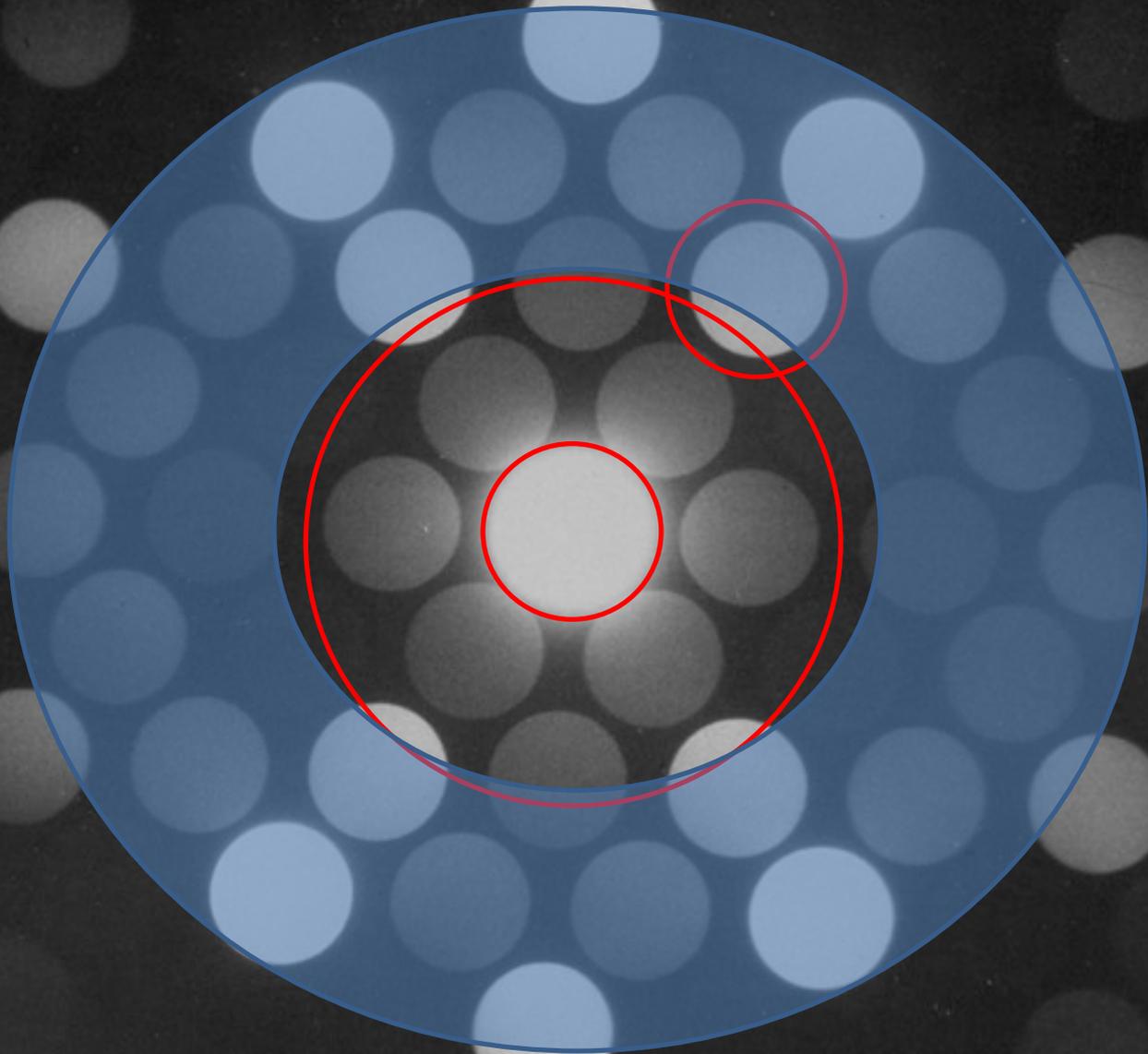
Picture ARM-UC Berkeley?

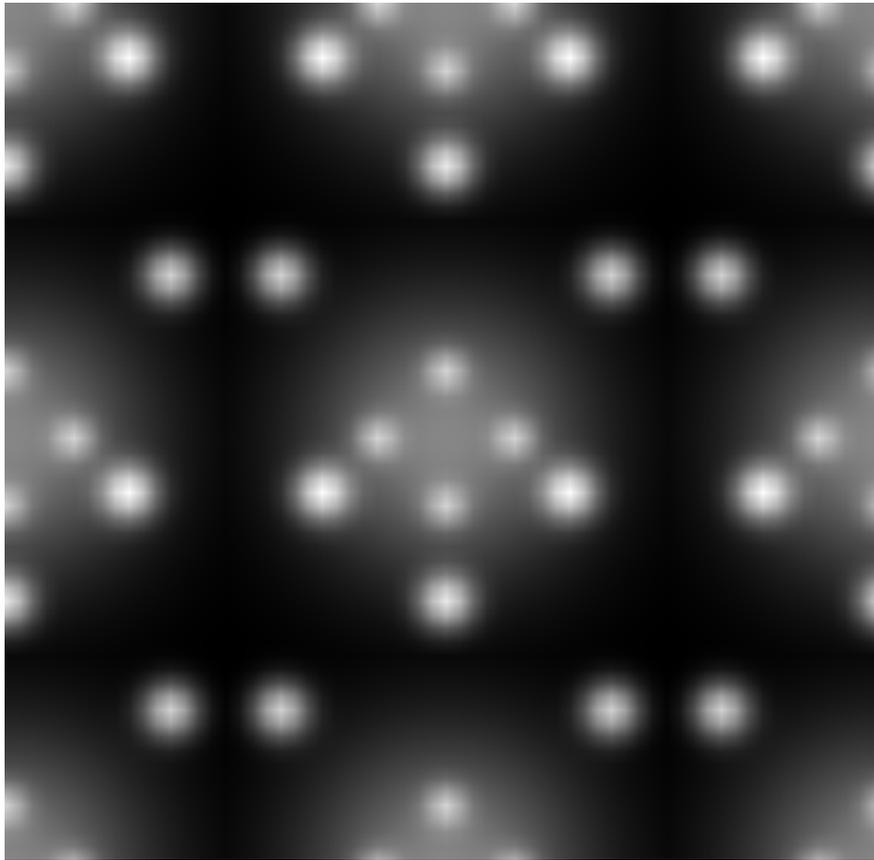
# So what?

- Can obtain
  - Pretty picture for publication
  - Local structural information
  - Sometimes local chemical information
  - Precise structural information atomic column by atomic column

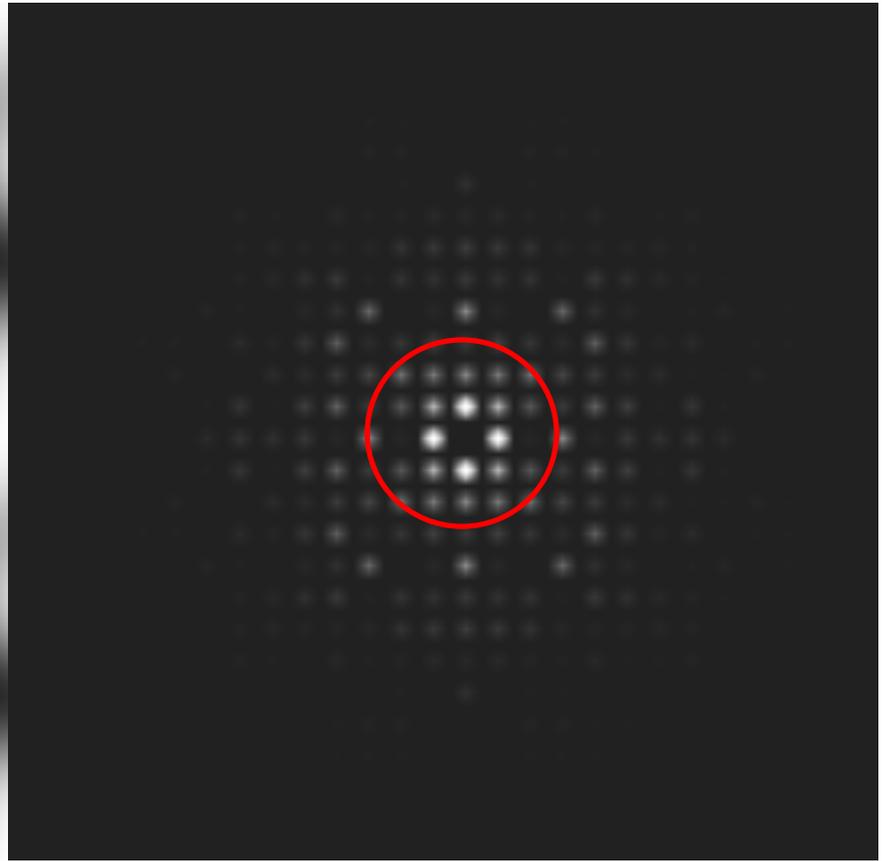
2-slit experiment; single electrons form interference statistically (Tonamura)

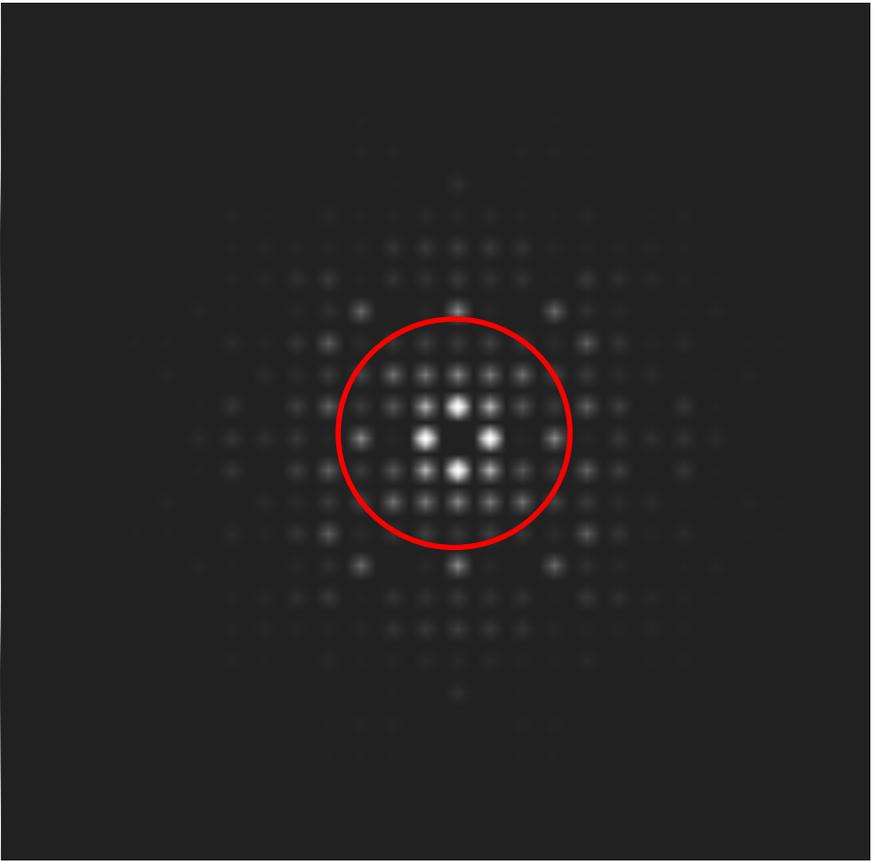


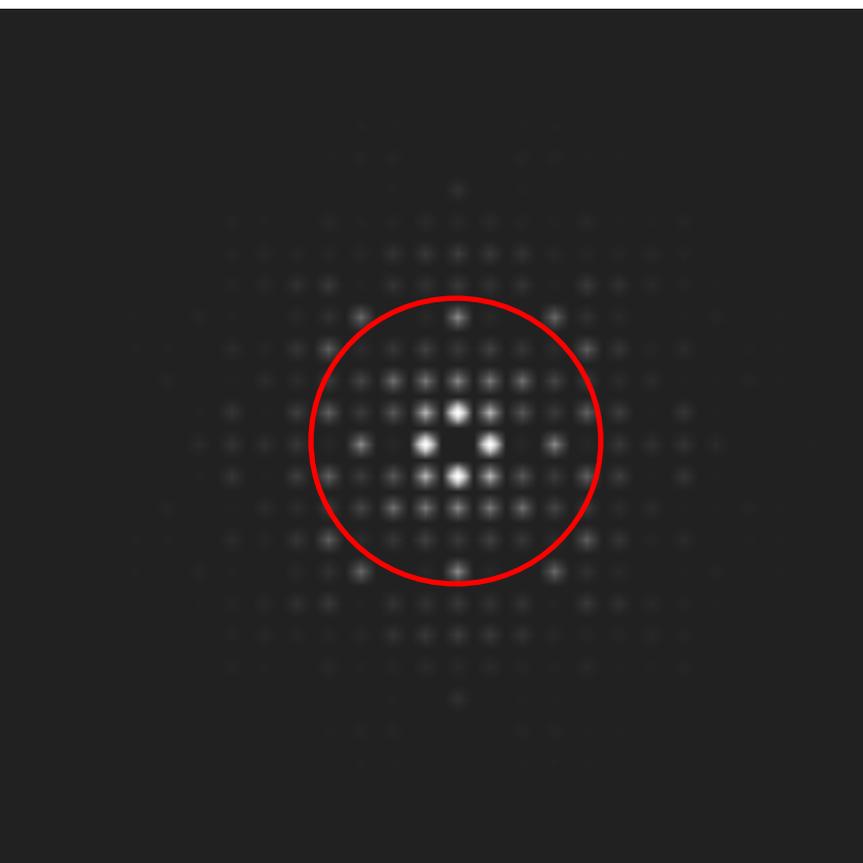


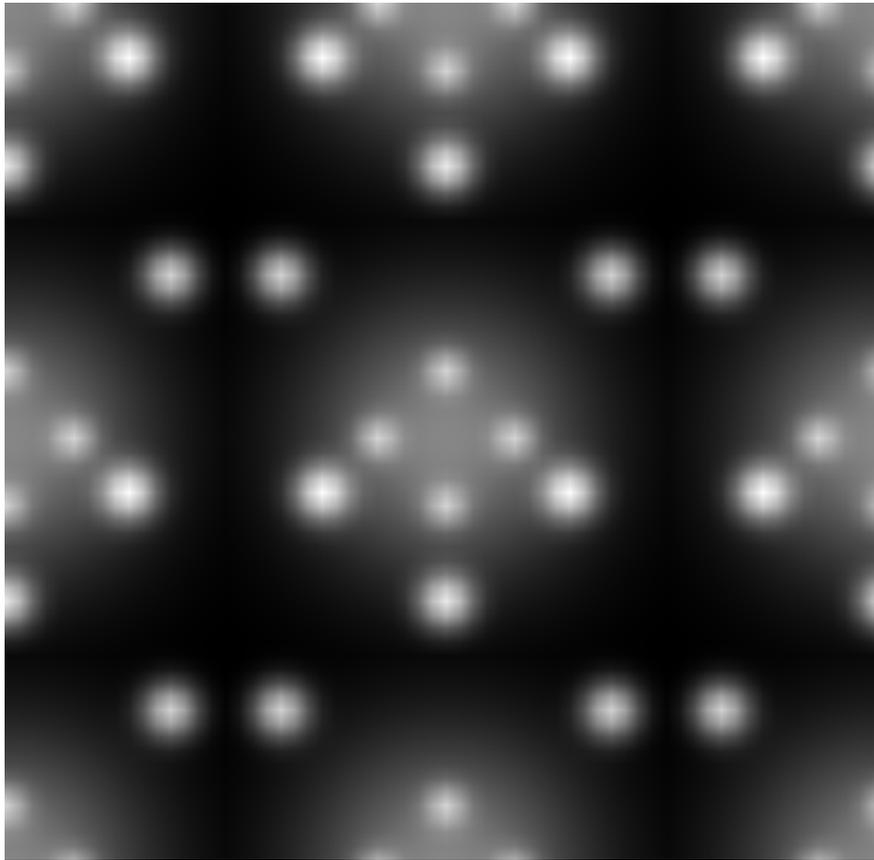




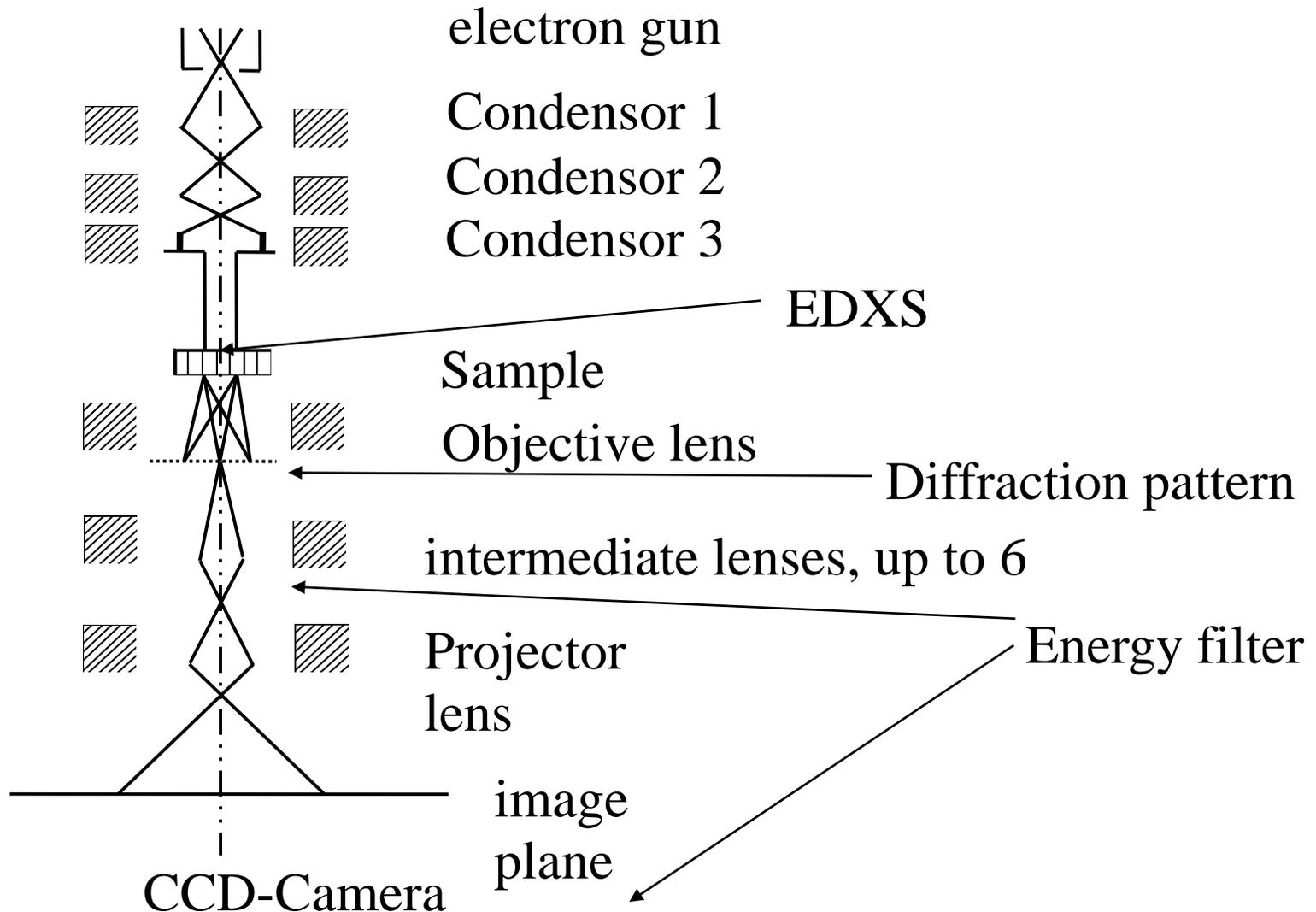








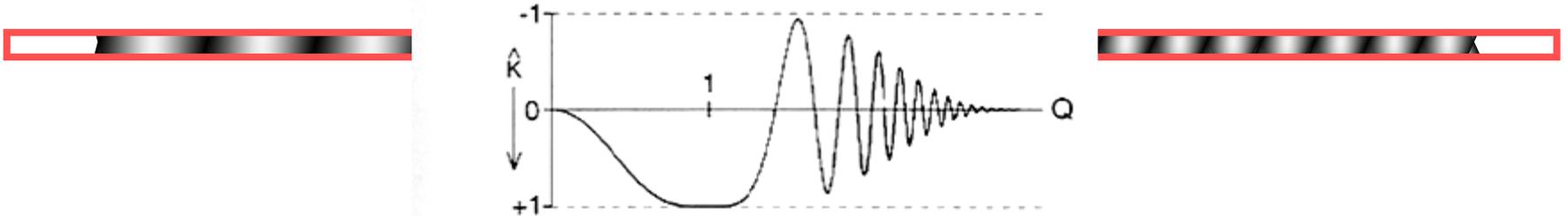
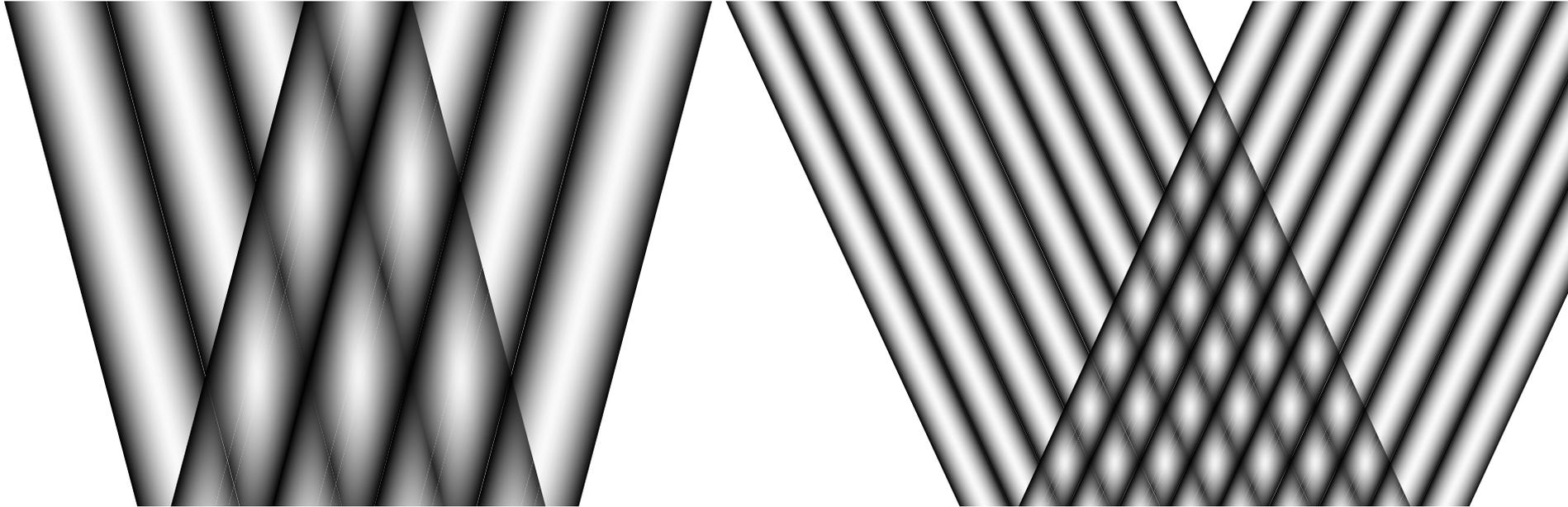
# Ray Path



# Aberrations

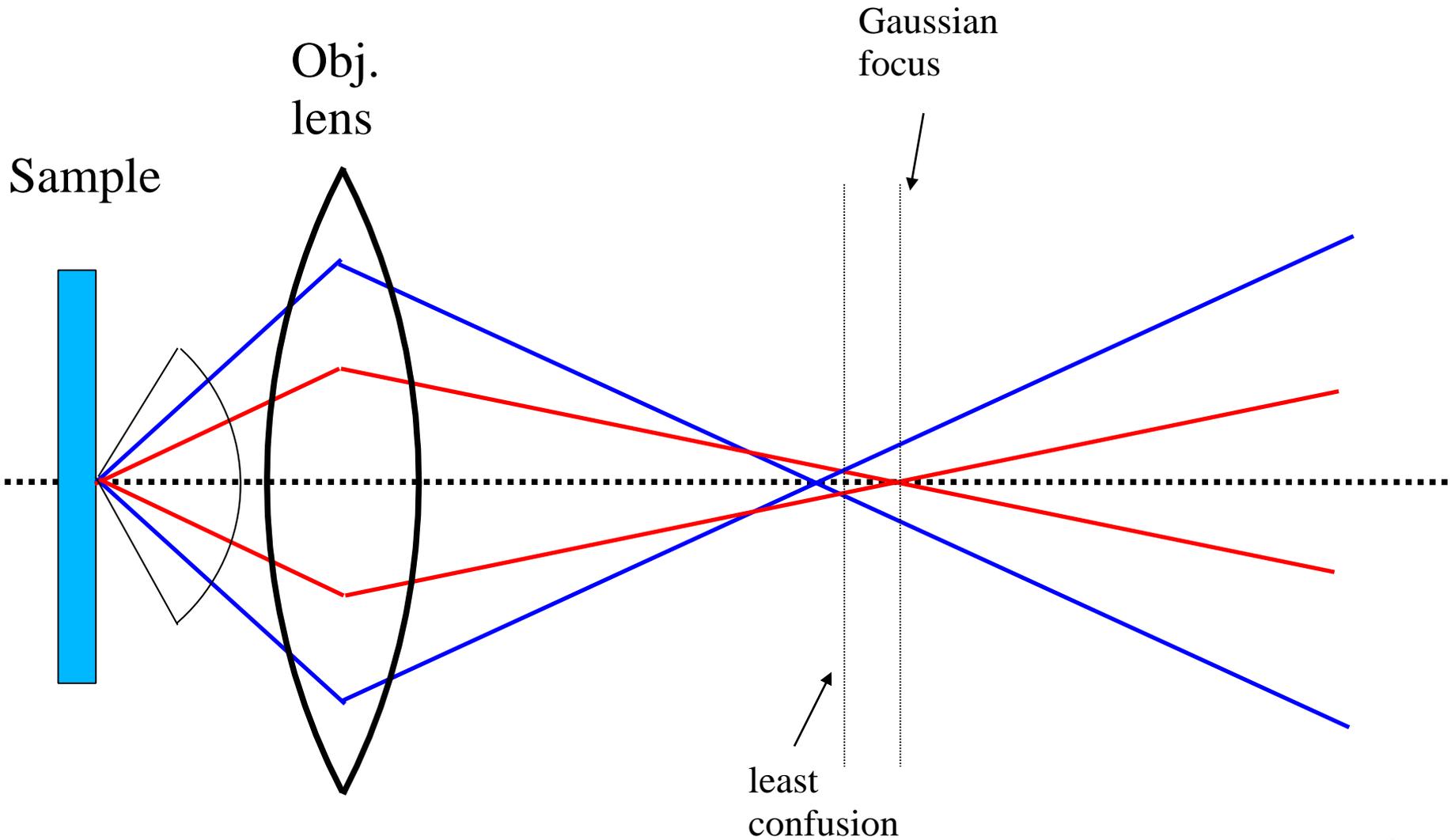
- Microscopes without correctors have lenses about as good as a milk bottle
  - Distortions
  - Some spacings show but should not
  - Some spacings should show but do not
  - Information is often not where it should be

# Relation between defocus, contrast & spacing

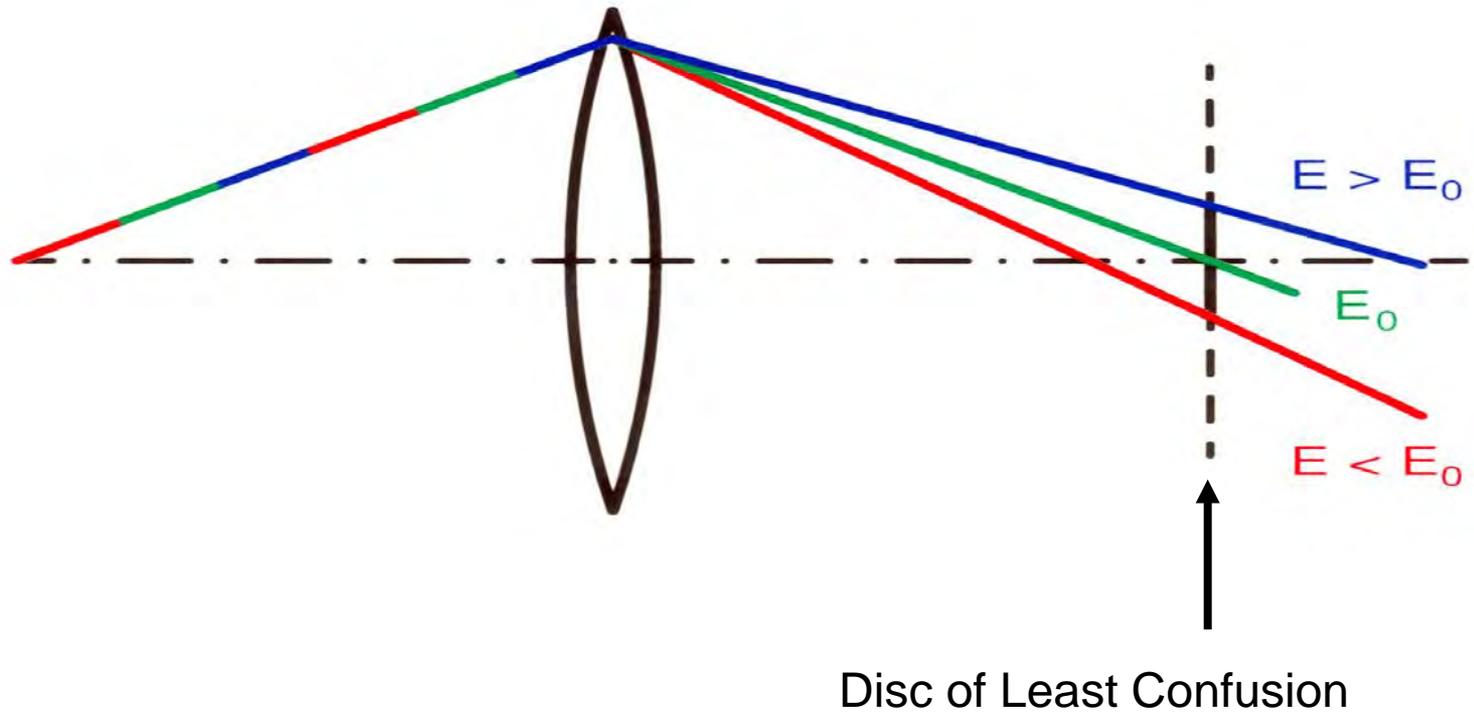


Contrast Transfer Function (CTF) establishes relationship in reciprocal space between spacing ( $Q$ ) and scale of amplitude ( $\hat{K}$ , -1 to 1, dampened with resolution)

# Spherical Aberration $C_s$



## Chromatic Aberration.



Focal length of lens is dependent upon wavelength

# Aberrations

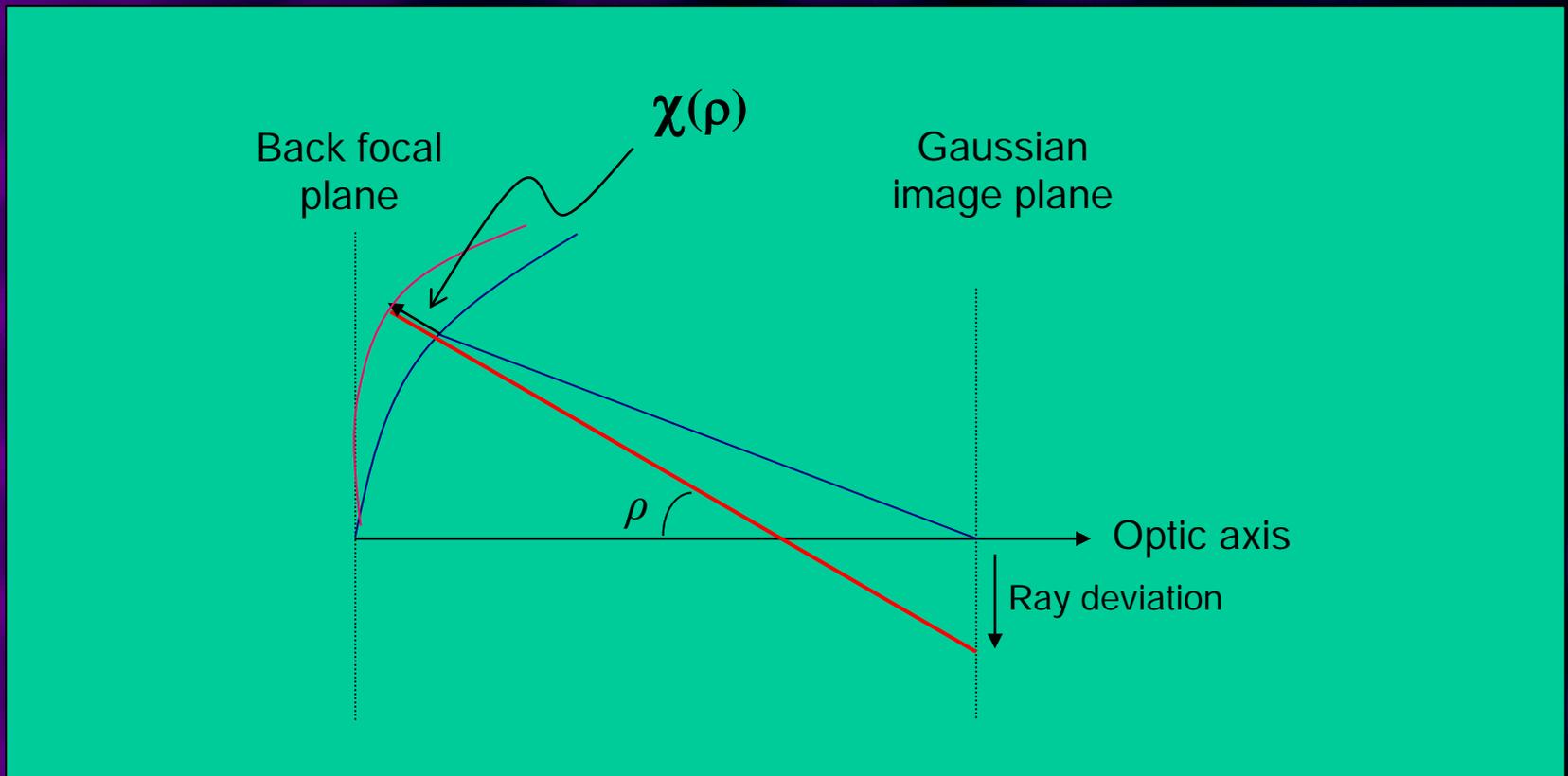
- Aberrations are a phase-shift in reciprocal space
- Multiply by  $\exp(-i\chi(u))$
- Can expand as a Taylor series

$$\chi(u) = A + Bu + Cu^2 + D(u.a) + \dots$$

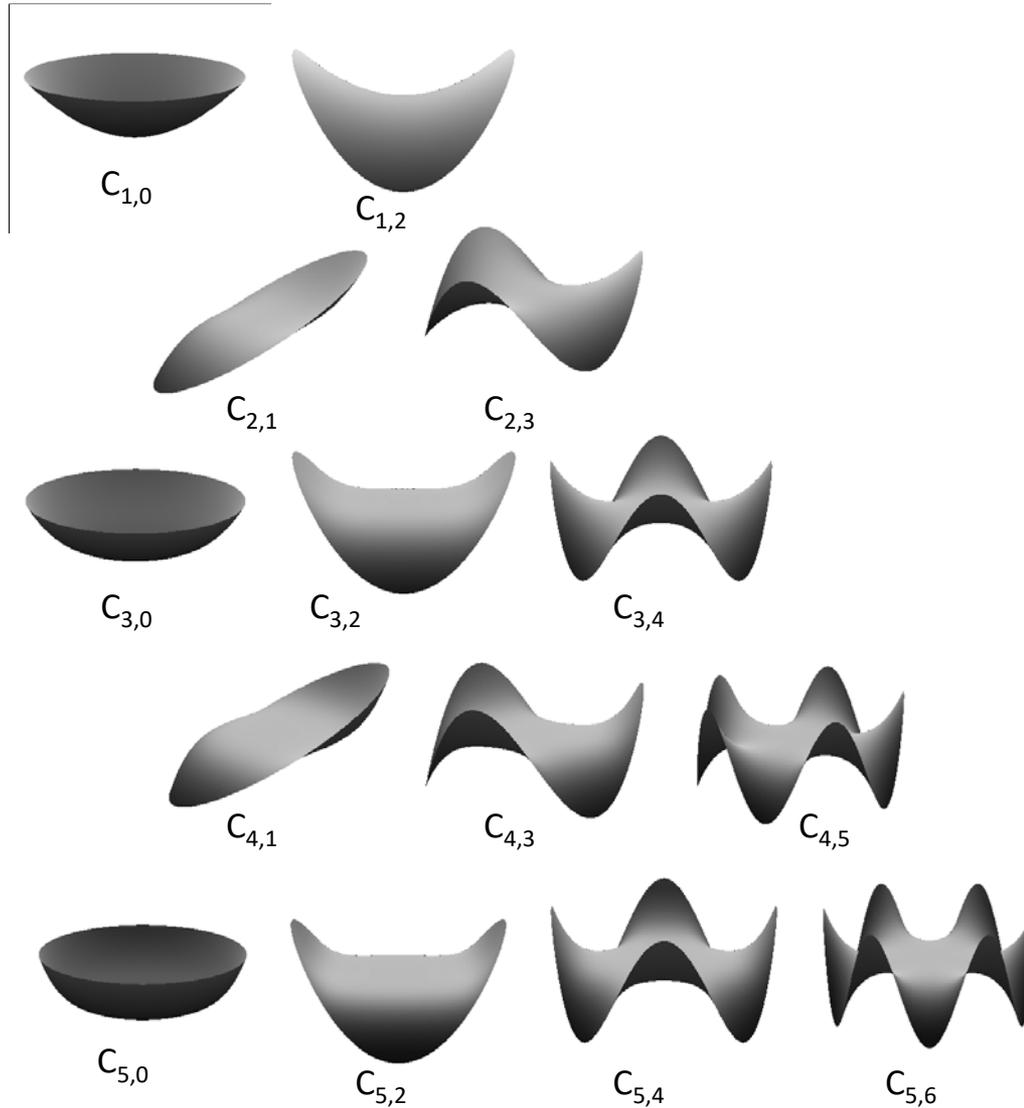
A,B don't matter

C is defocus, D is astigmatism, Cs has  $u^4$

- The  $\chi$  function can be expanded (as with any function) as a polynomial radially and harmonic function azimuthally



# Aberrations



# Basics of HREM (very incomplete)

Phase contrast transfer function:

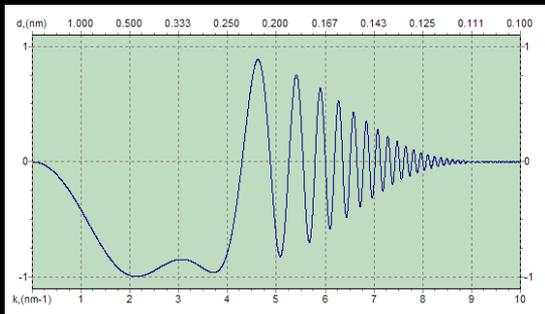
$$T(u) \sim \sin(\pi C_s \lambda^3 u^4 / 2 + \pi \Delta z \lambda u^2) E(u).$$

$C_s$ : Spherical aberration constant.

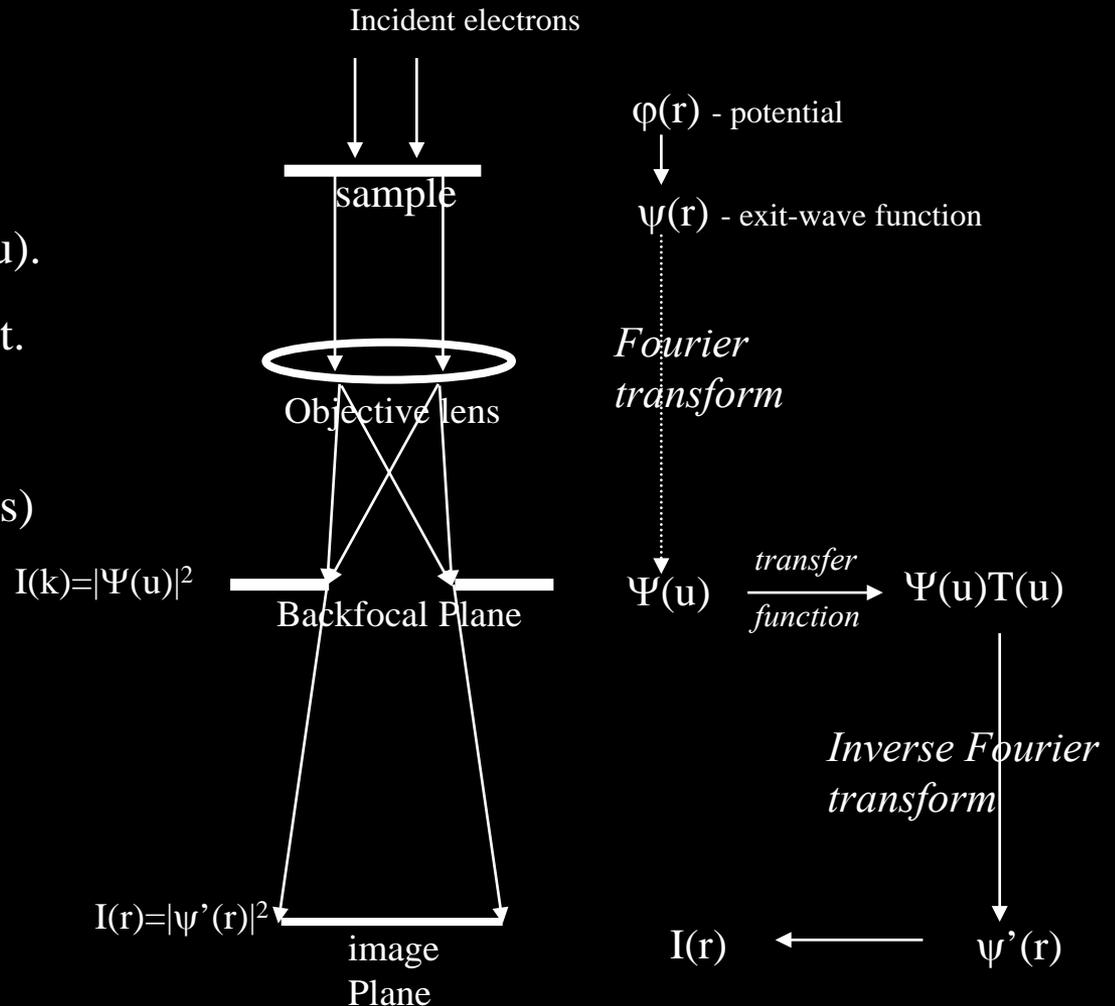
$\Delta z$ : defocus value.

$E(u)$ : Envelope term (instabilities)

Only approximately right

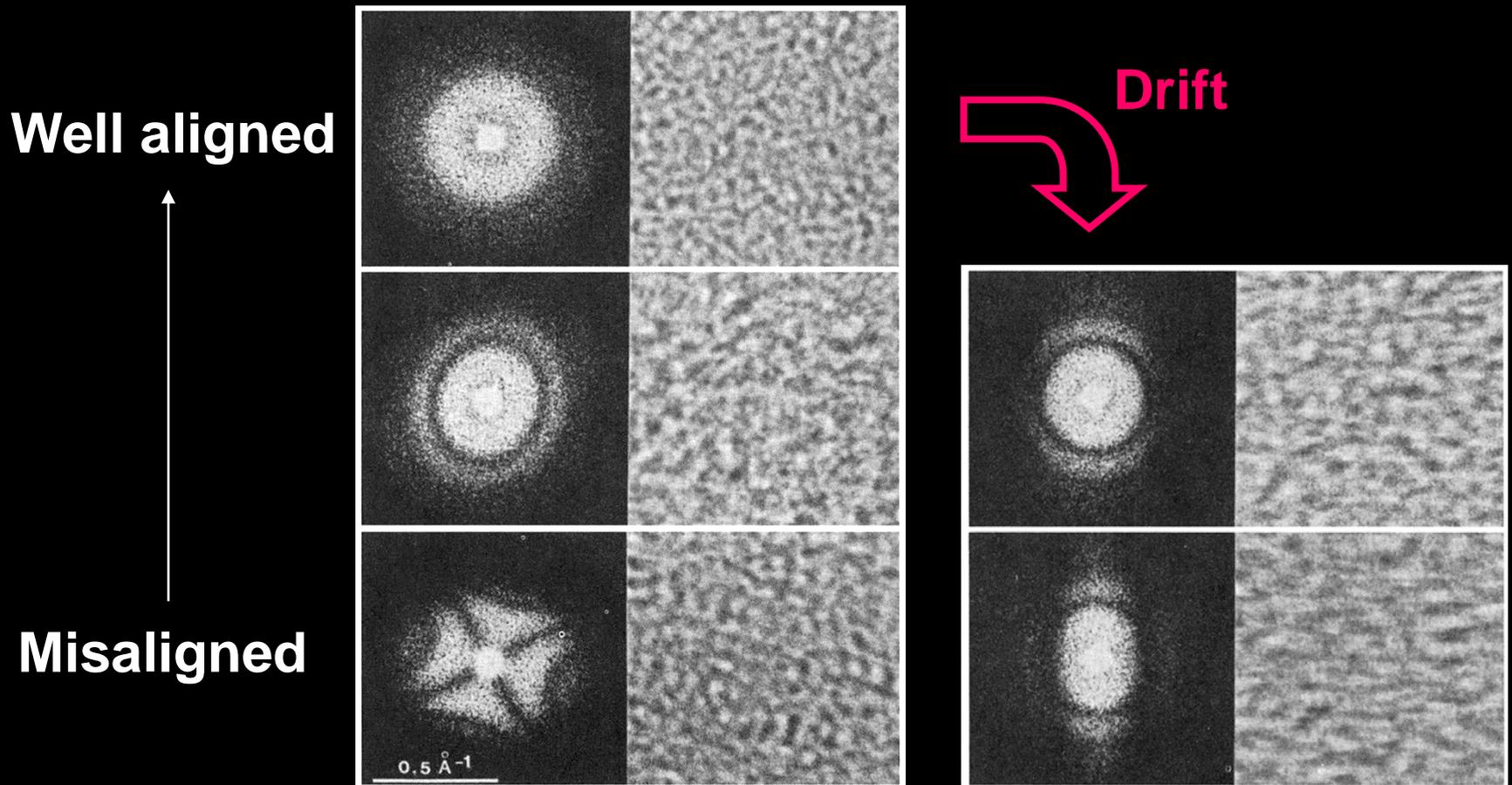


Phase contrast transfer function calculated at  $\Delta f = -61$  nm with  $C_s = 1.0$  mm.



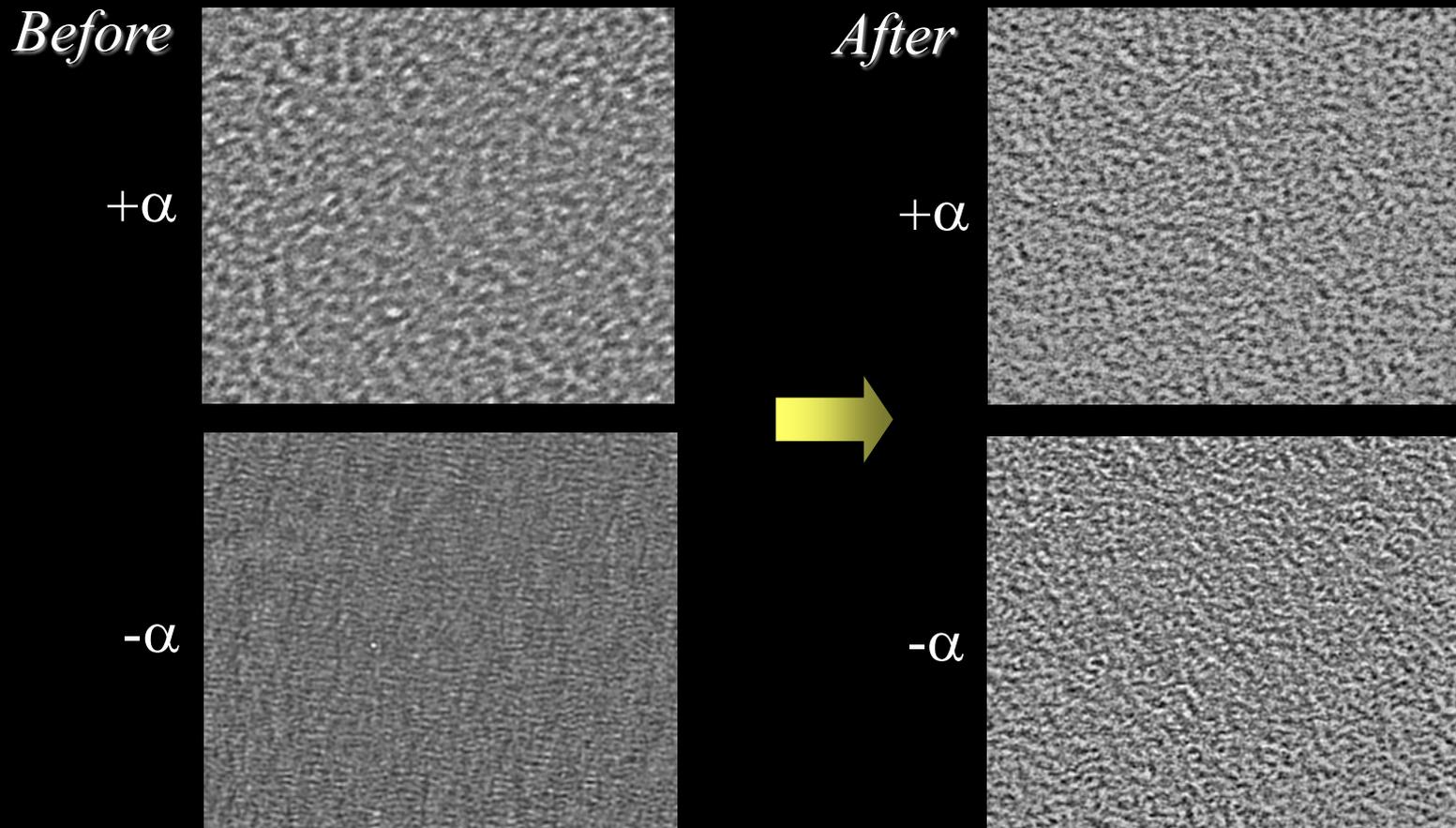
# Astigmatism Correction

FFT pattern of amorphous area  
(Real-time processing)

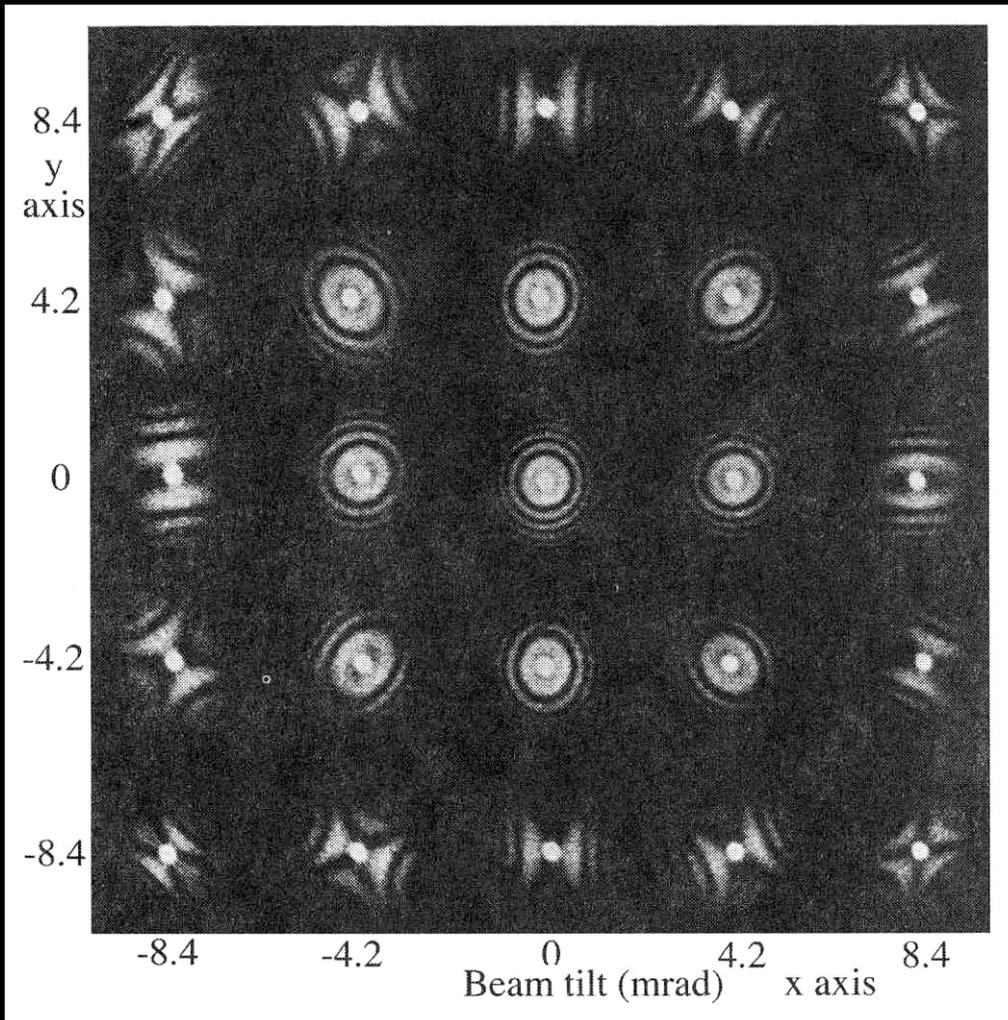


# Coma-Free Alignment

Carbon foil images obtained at two different angles of the incident beam, before and after coma-free alignment.



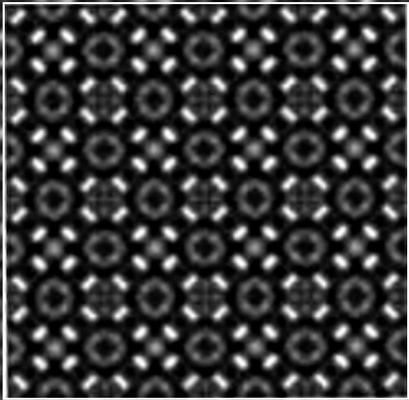
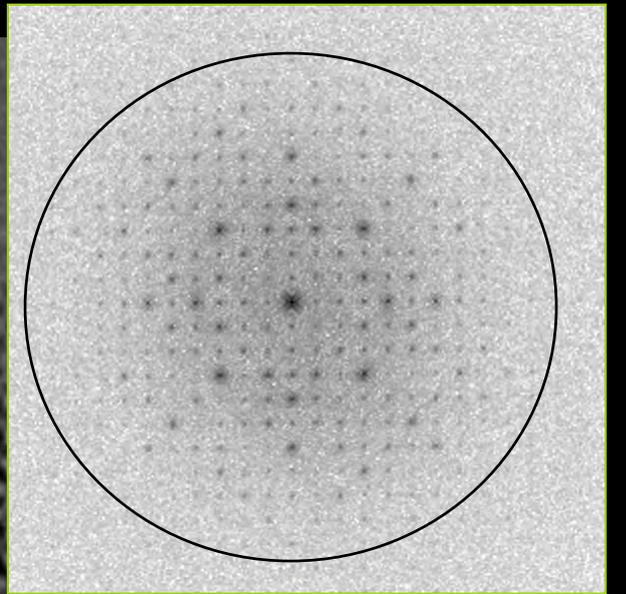
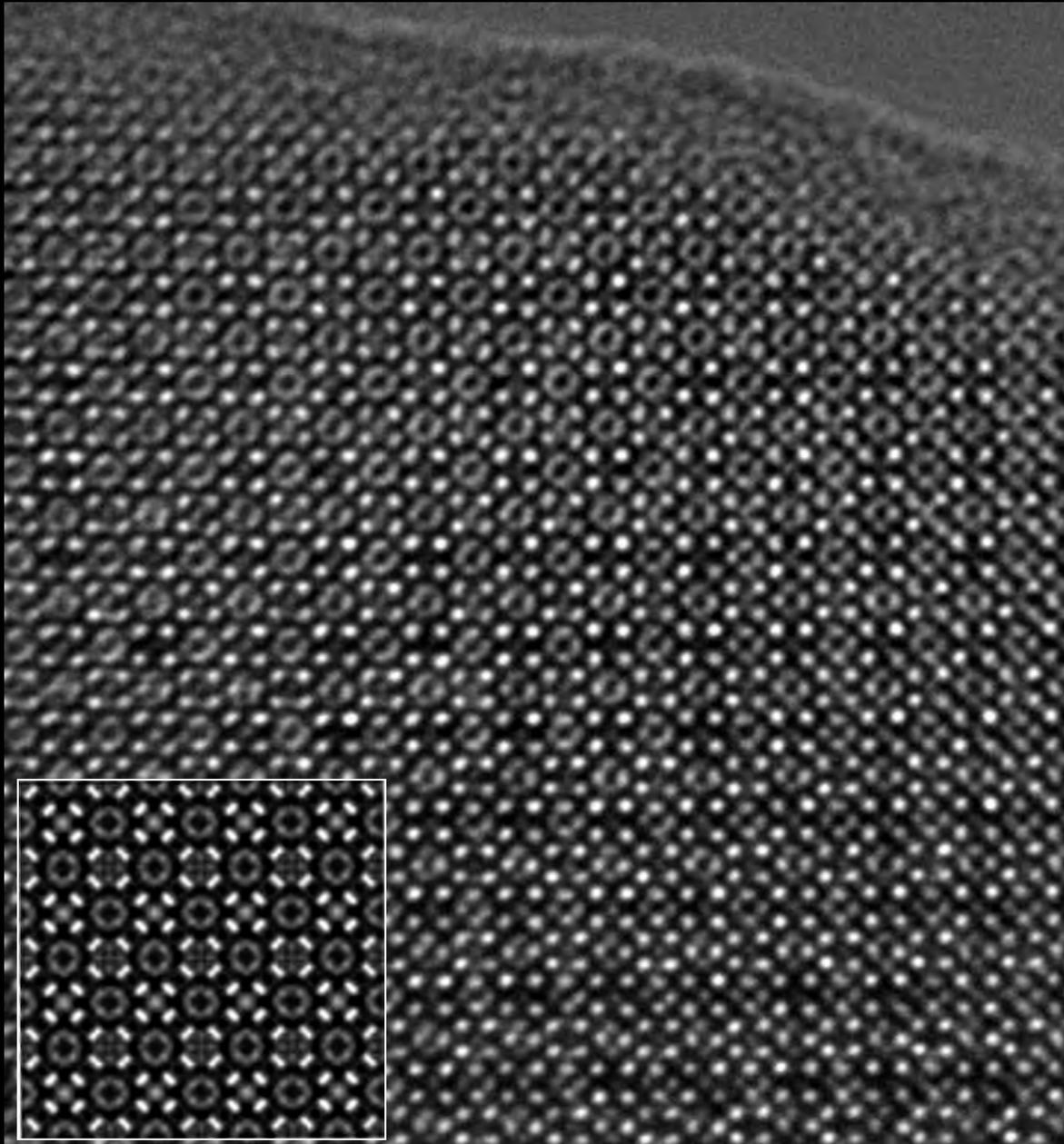
# Effect of Beam Tilt on Diffractograms

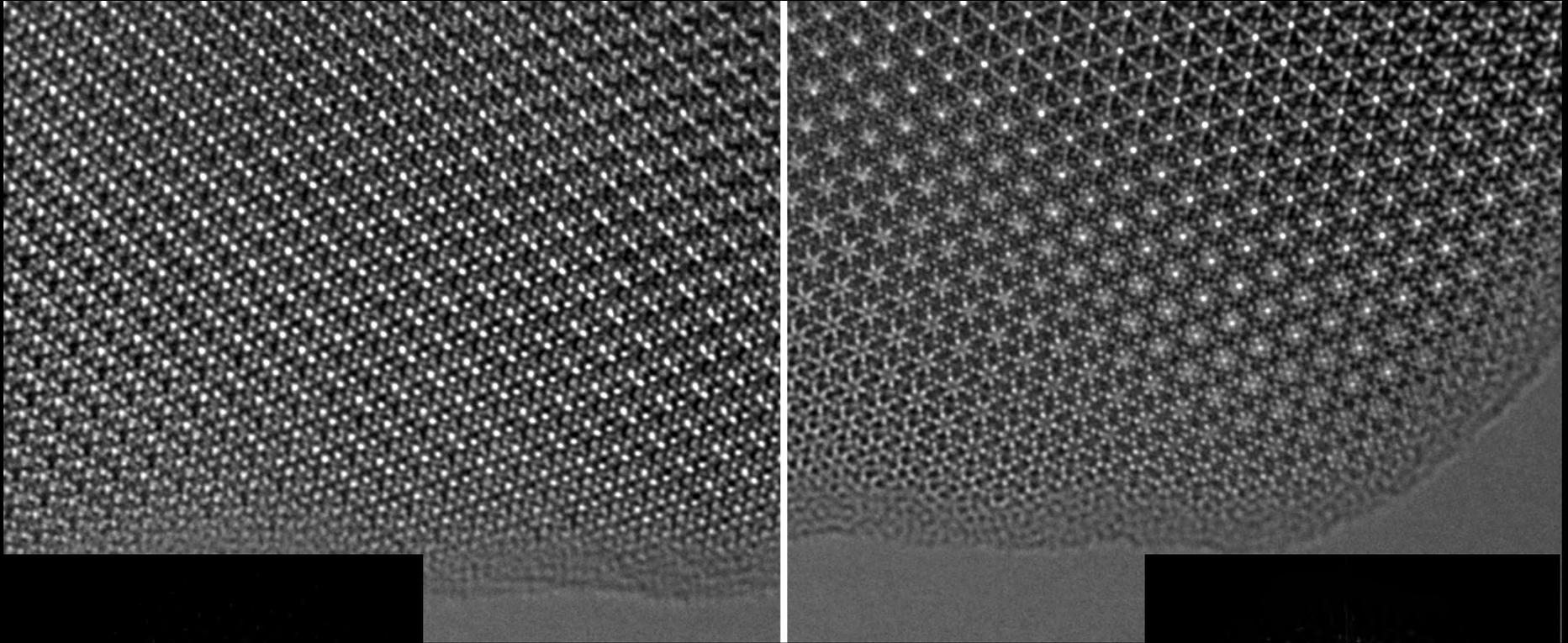


Diffractograms  
(FFT patterns of  
amorphous  
regions)

Similar to the effect  
of astigmatism

Astigmatism correction  
may be required after  
the alignment of beam  
tilt.





**effect of slight  
beam tilt**

# Computer Interface.

1 2 3 4 5 6 7 8 9 10 11

12

13

14

15

16

TEM CsCorrector GUI V2.9pre8 built by csgui@corrector140703s

File Debug Tableau Dialog

Learn off Max: 1.75um Supply Image 750

Correct: A1 A2 B2 A1 coarse Reset A2

100% Focus A3 S3 1st - 2nd A3 + S3

-20um C3

18mrad B2

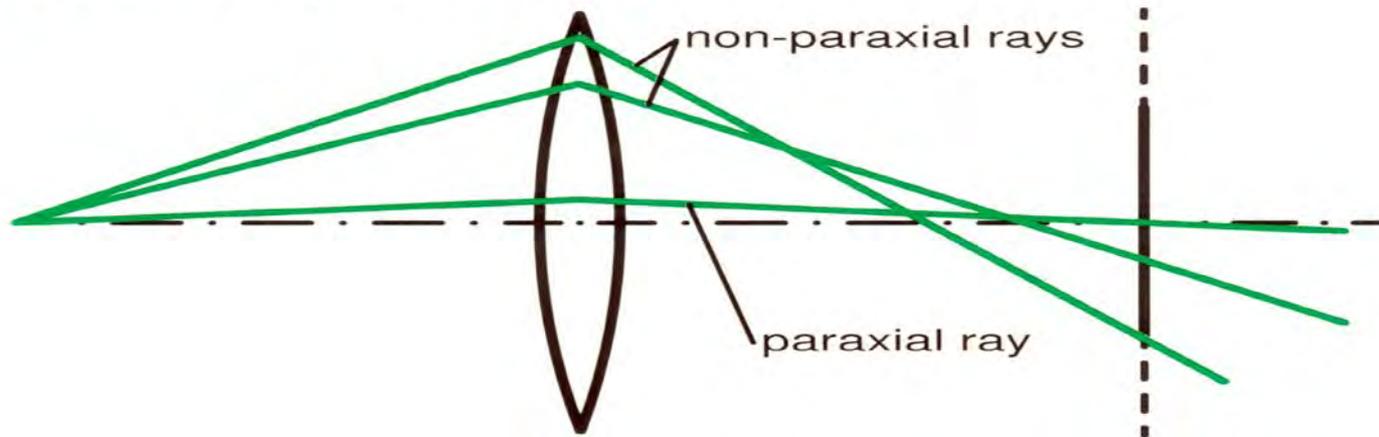
56mrad S3

#18 C1: -463.6nm A1: 1.3nm / +60deg signal mean: 243cnts

File: /hone/cscorr/en\_data/StartSetting-CEOS/example.sac

# Positional Errors

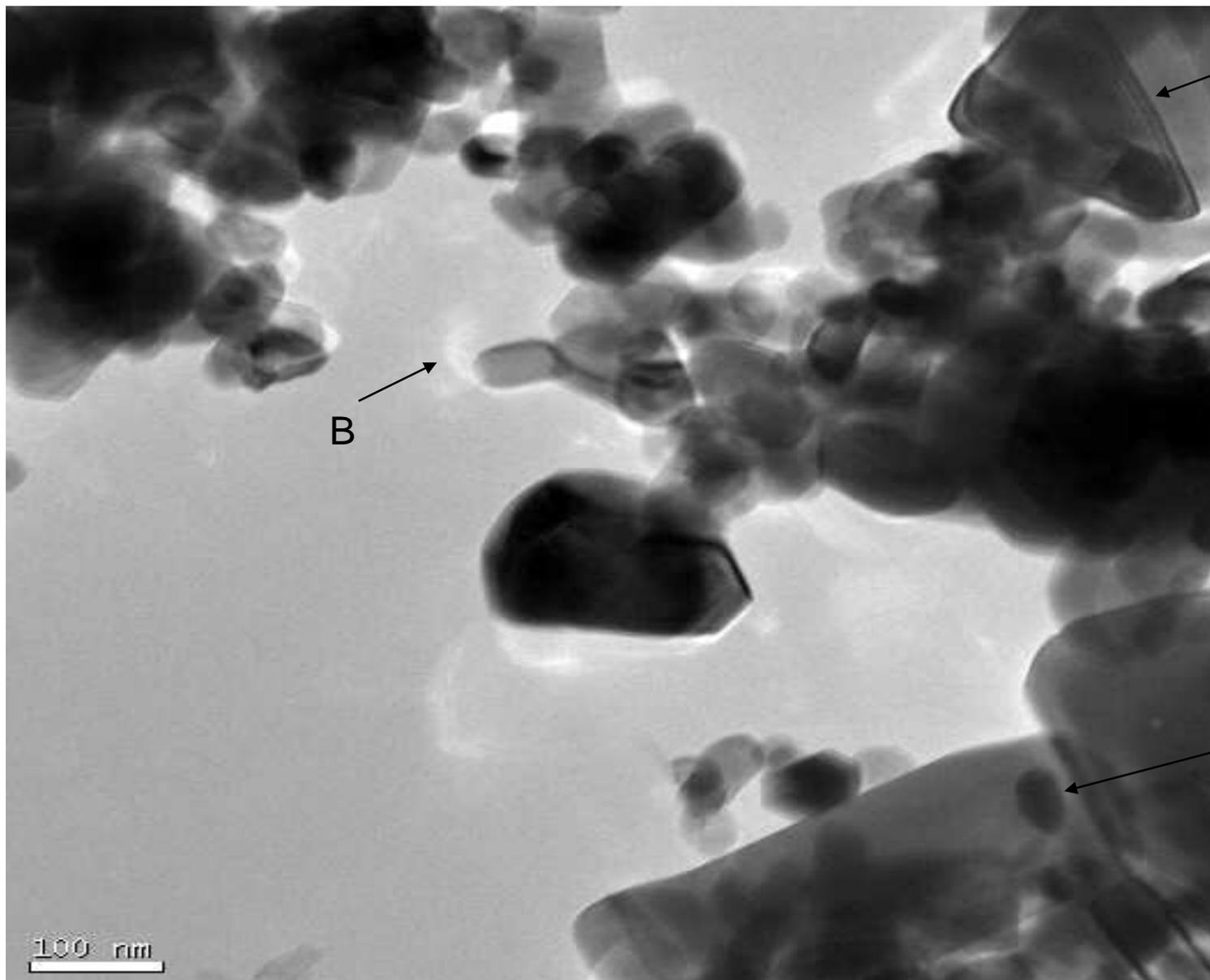
● spherical aberration



$$\exp(-i\chi(u))$$

$$\chi(\mathbf{g}+\mathbf{u}) = \chi(\mathbf{g}) + \mathbf{u} \cdot \nabla \chi(\mathbf{g}) + \dots$$

Last term leads to a shift of  $\nabla \chi(\mathbf{g})/2\pi$

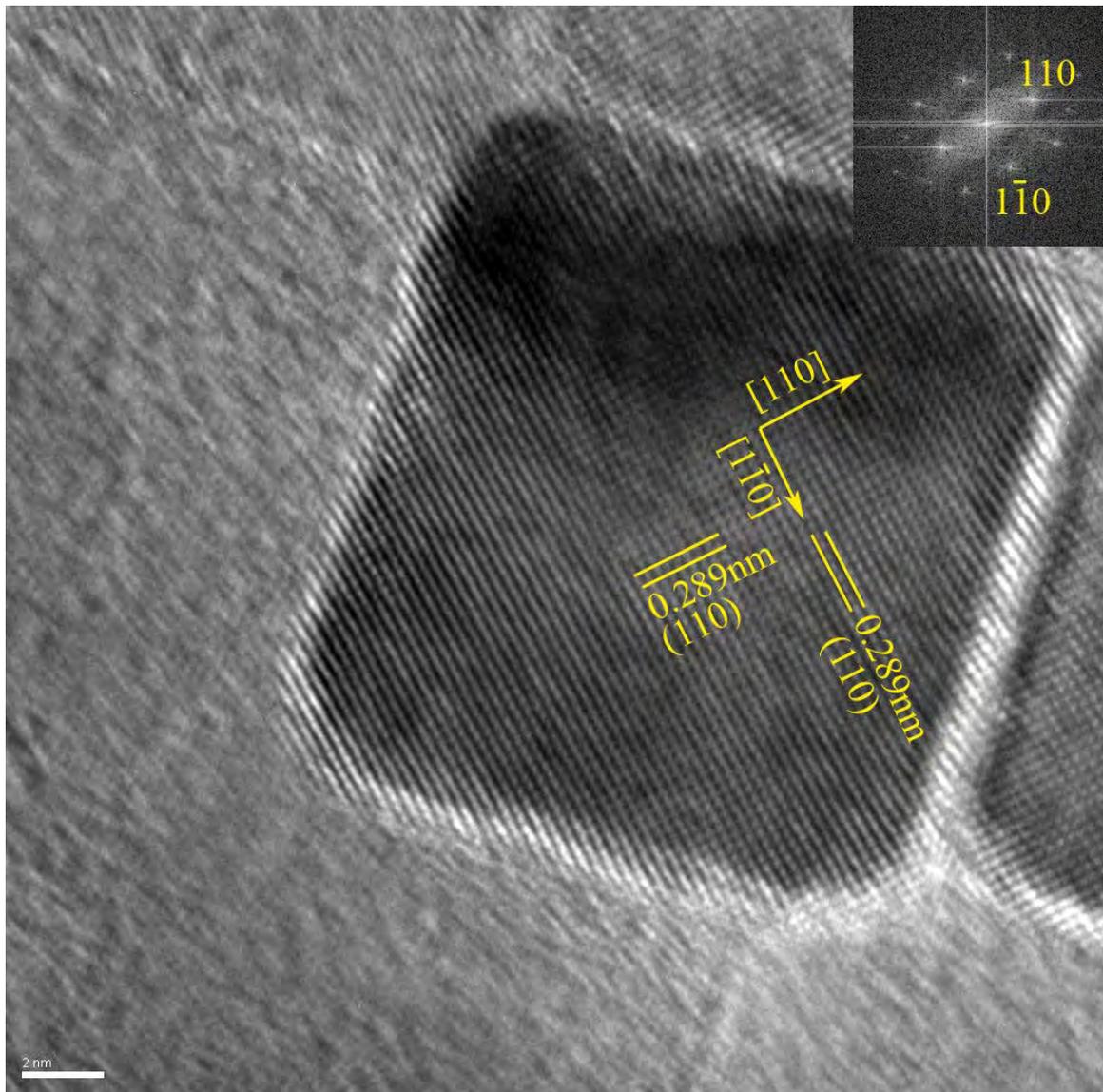


A

B

C

100 nm

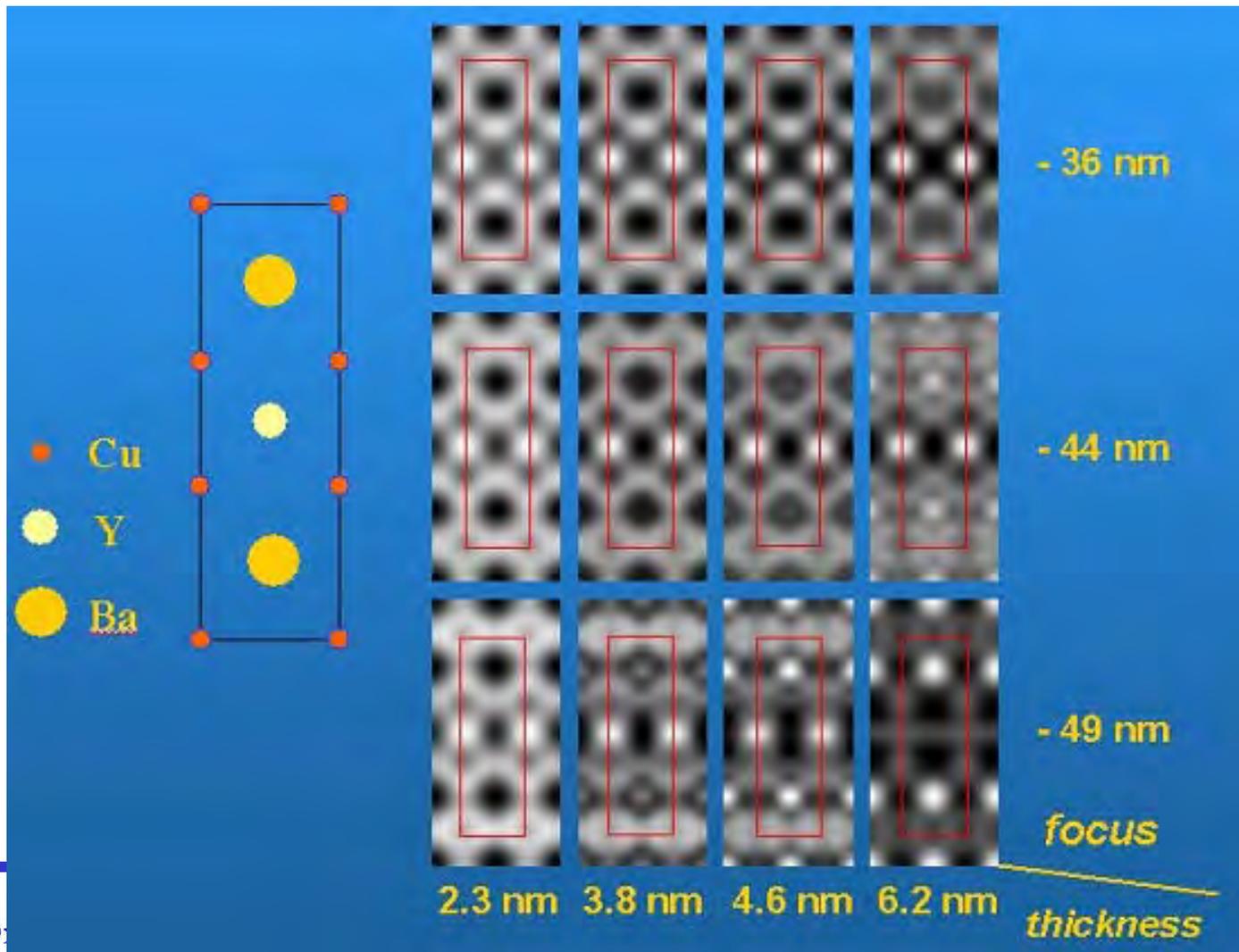


### $\text{Ba}_{0.5}\text{Sr}_{0.5}\text{TiO}_3$ HRTM

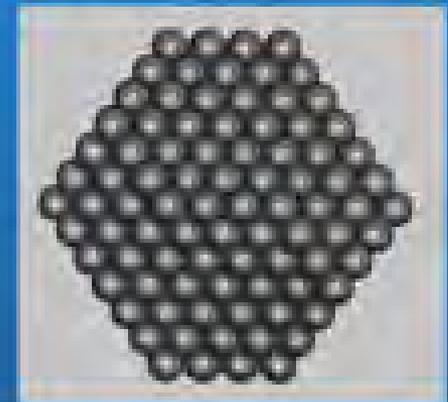
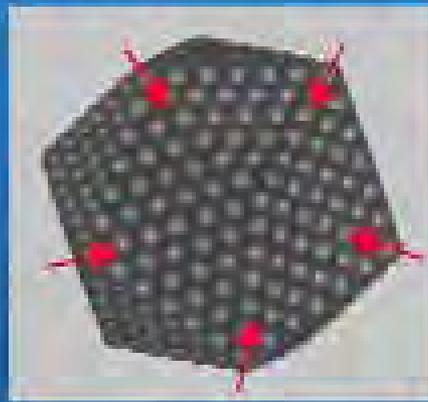
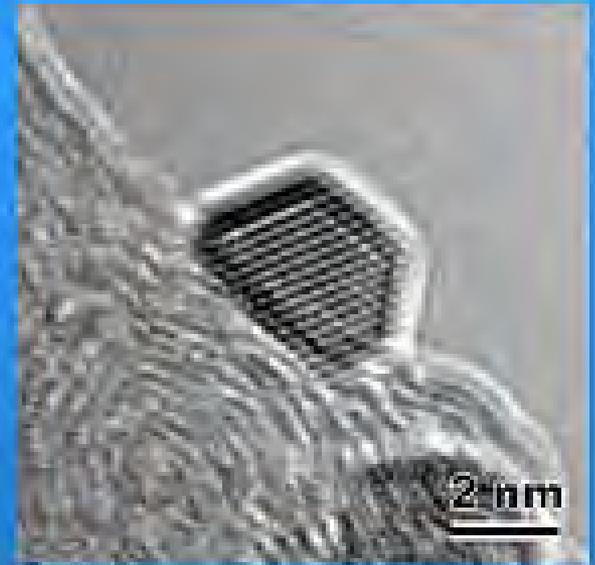
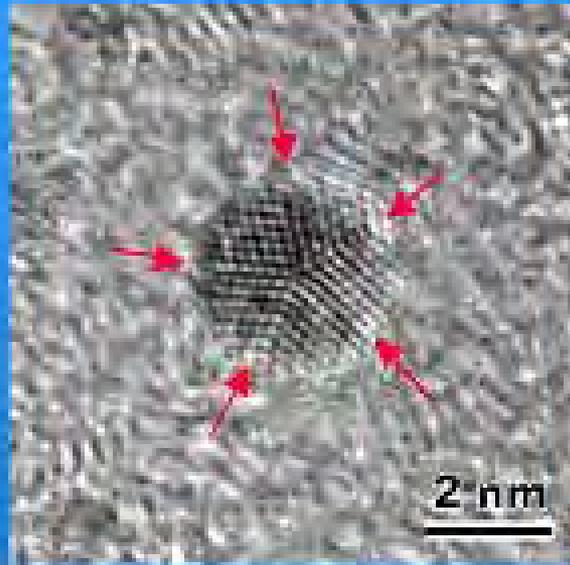
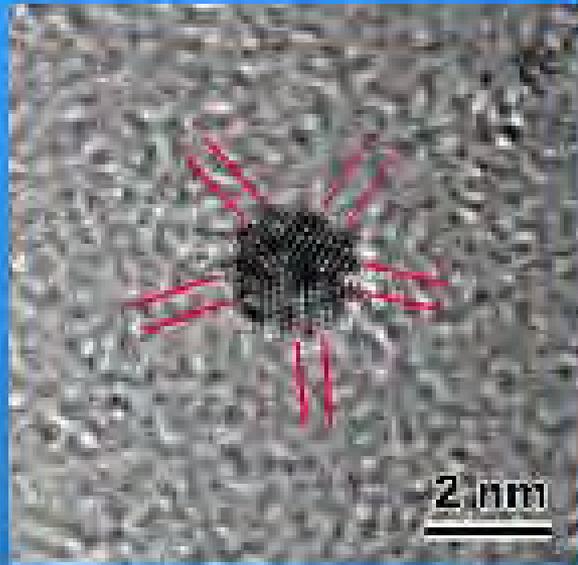
The surface lattice of  $\text{Ba}_{0.5}\text{Sr}_{0.5}\text{TiO}_3$  is 0.289nm, which is consistent with that of  $\text{BaTiO}_3$  (110), suggesting that it is possible core-shell structure.

$\text{BaTiO}_3$   $d(110)=2.850\text{\AA}=0.285\text{nm}$ (PDF 31-0174)

# High Resolution Electron Microscopy image simulation – influence of thickness of defocus



# Shape Determination of Au Nanoparticles



a truncated icosahedron

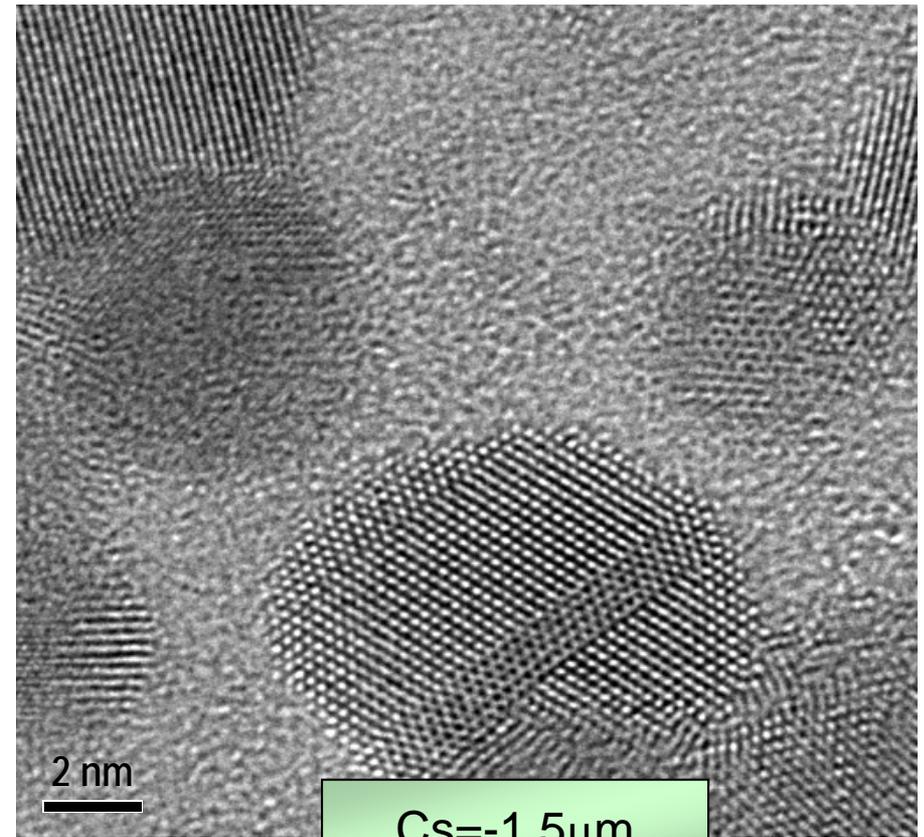
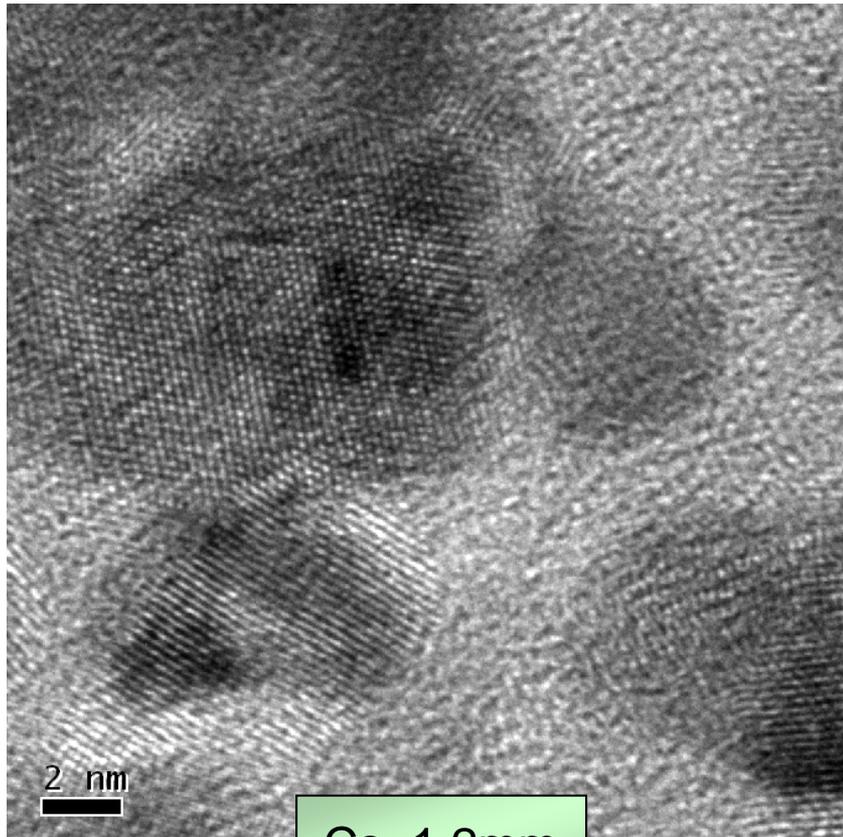
an icosahedron structure

an *fcc* single crystal

# With/Without an imaging Cs-corrector

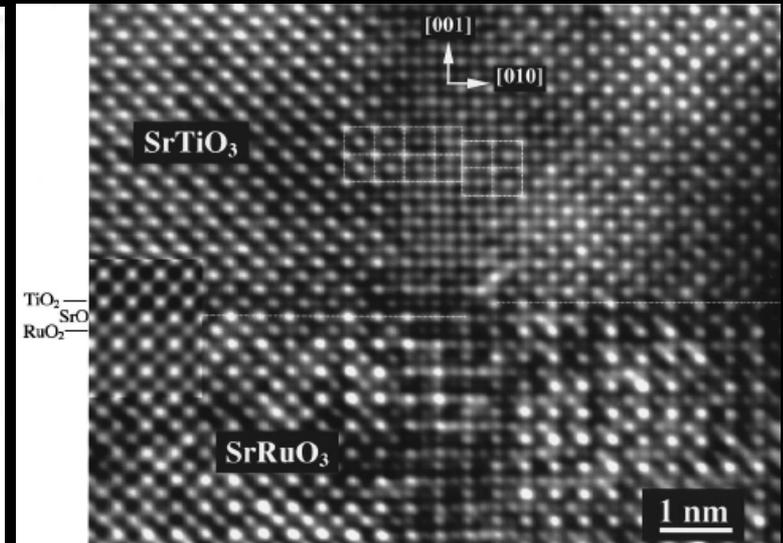
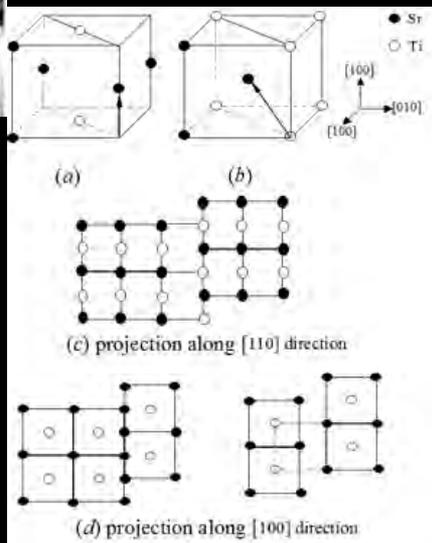
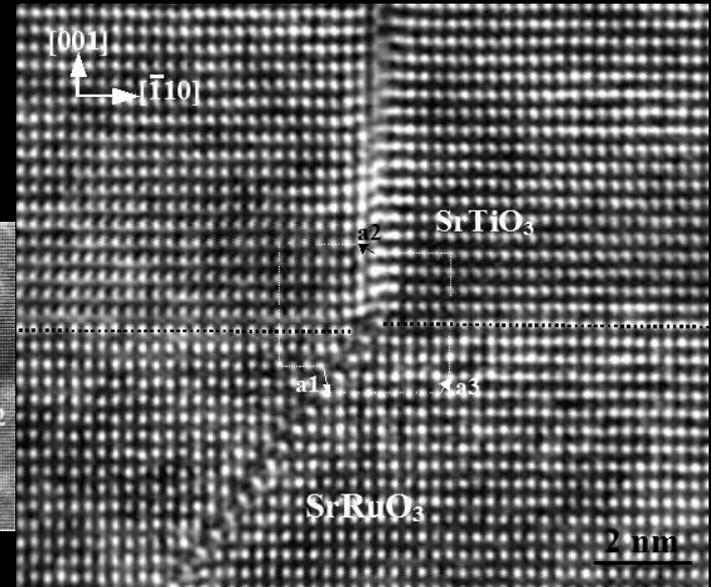
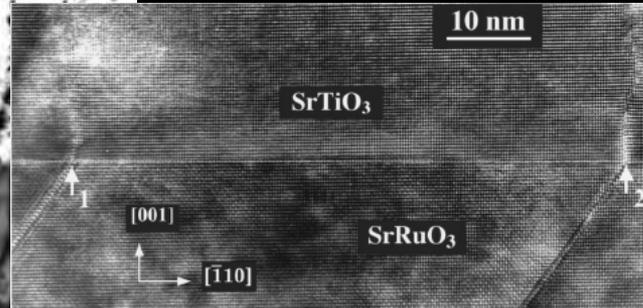
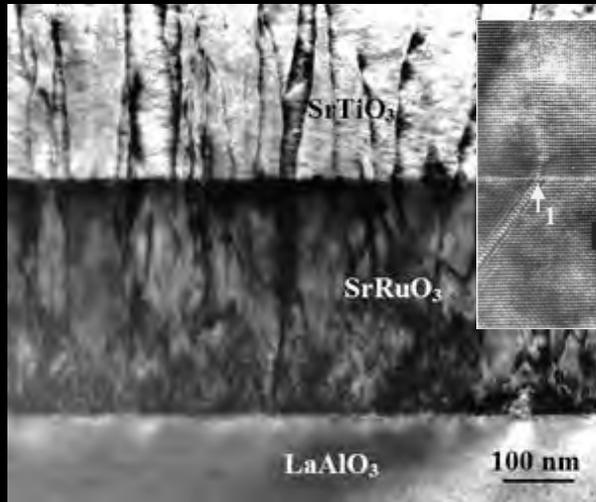
Gold nano-particles on carbon film

Not only the resolution is improved, but also the delocalization is minimized



# Examples

# High-Resolution Electron Microscopy: Interfaces



Columnar grain boundaries in SrTiO<sub>3</sub> film are associated with {111} planar defects in the SrRuO<sub>3</sub> layer. Two atomic structural models of the antiphase boundaries in the (-110) plane, with a crystallographic shear vector  $\mathbf{R}=a/2[001]$  (a) and  $\mathbf{R}=a/2[-1-11]$  (b).

$$a/3[-112] \rightarrow a/6[-221] + a/2[001]$$

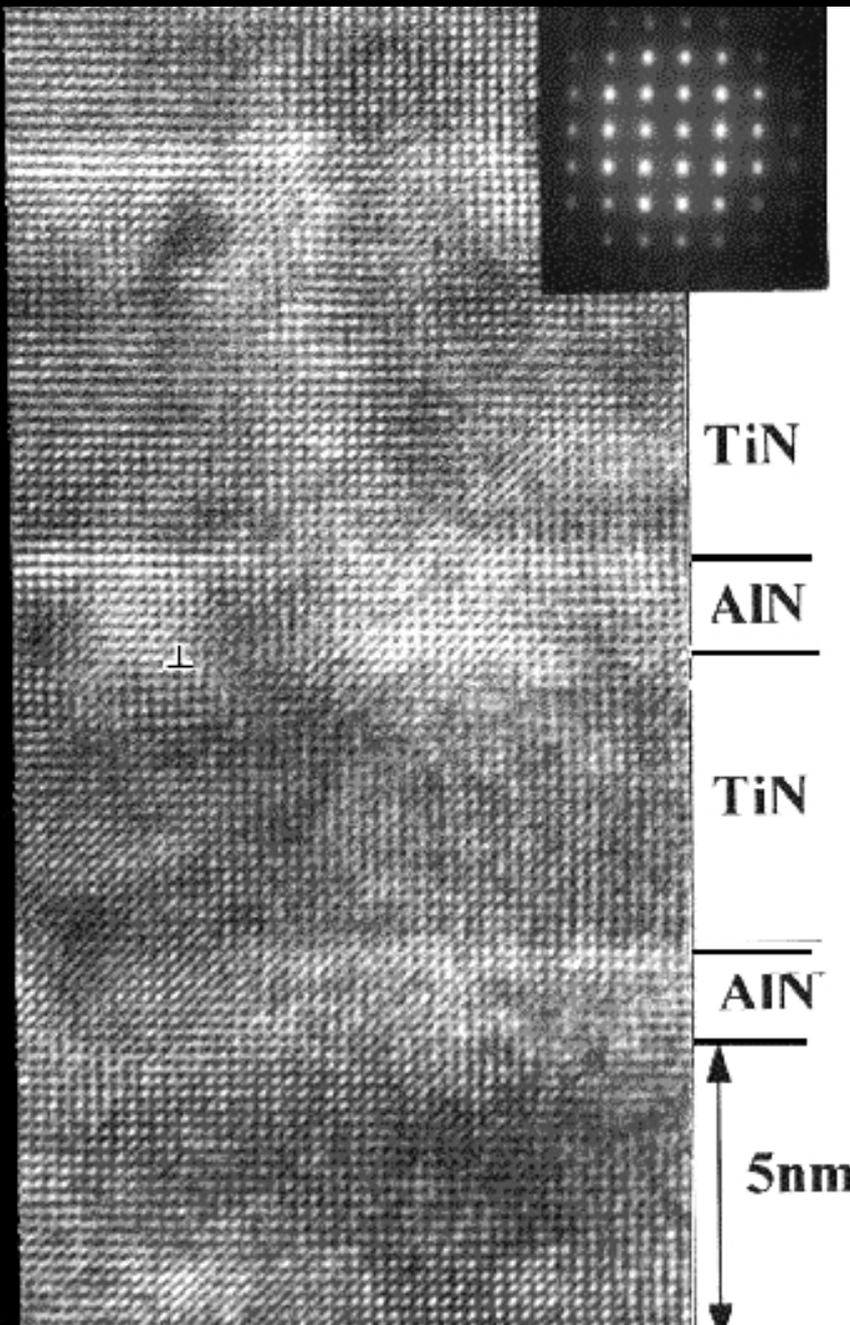
004



002



000



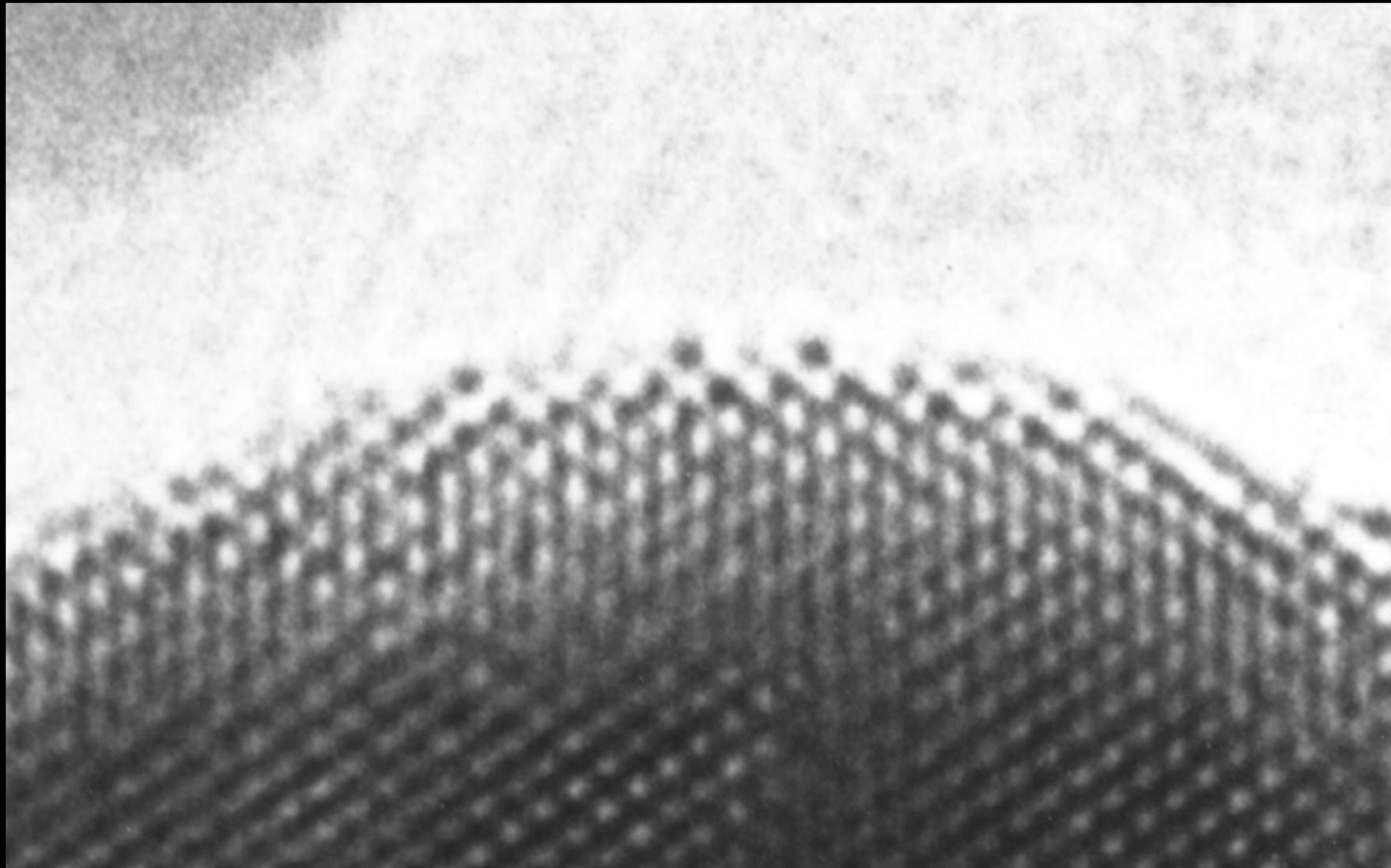
TiN

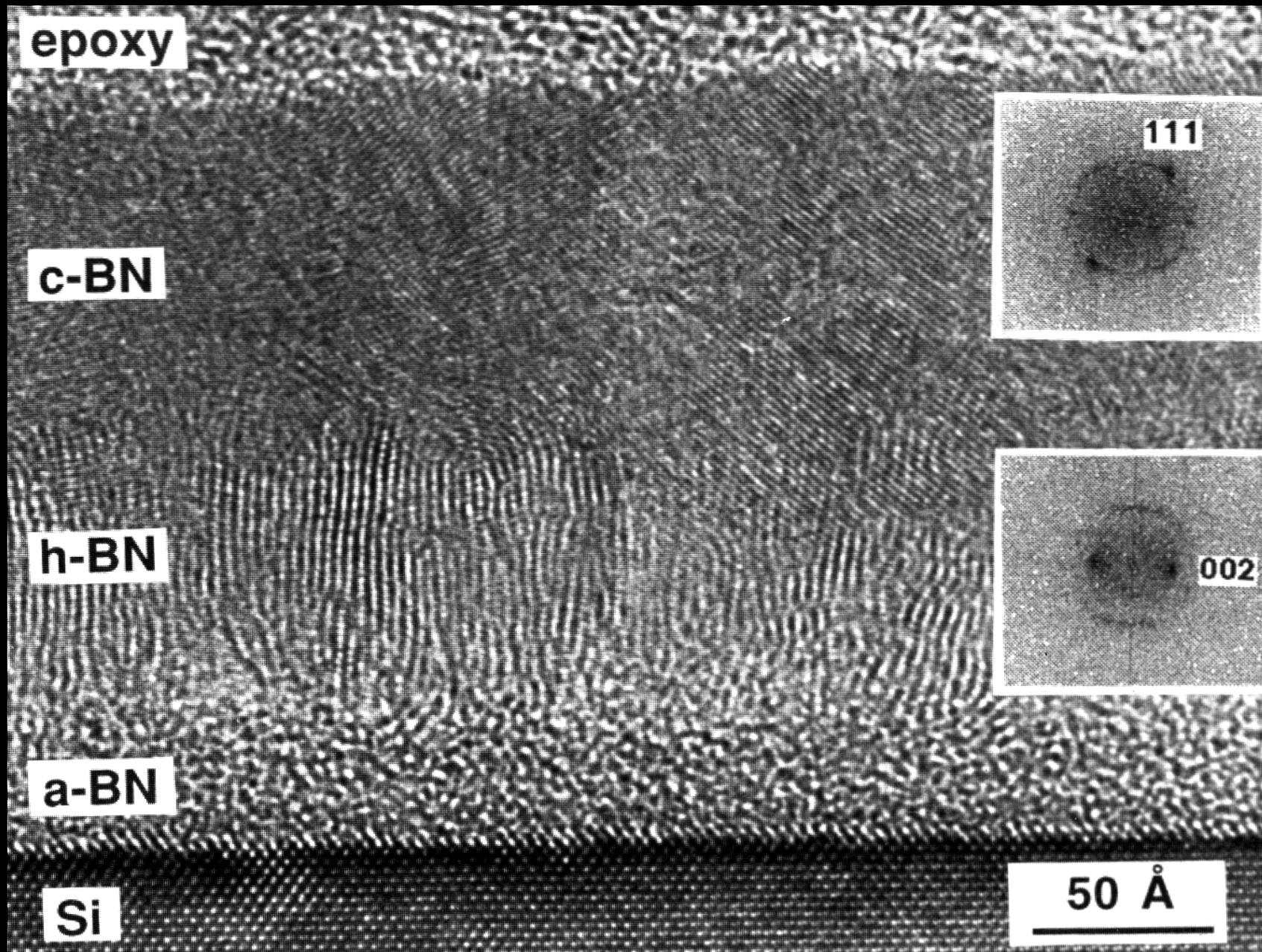
AlN

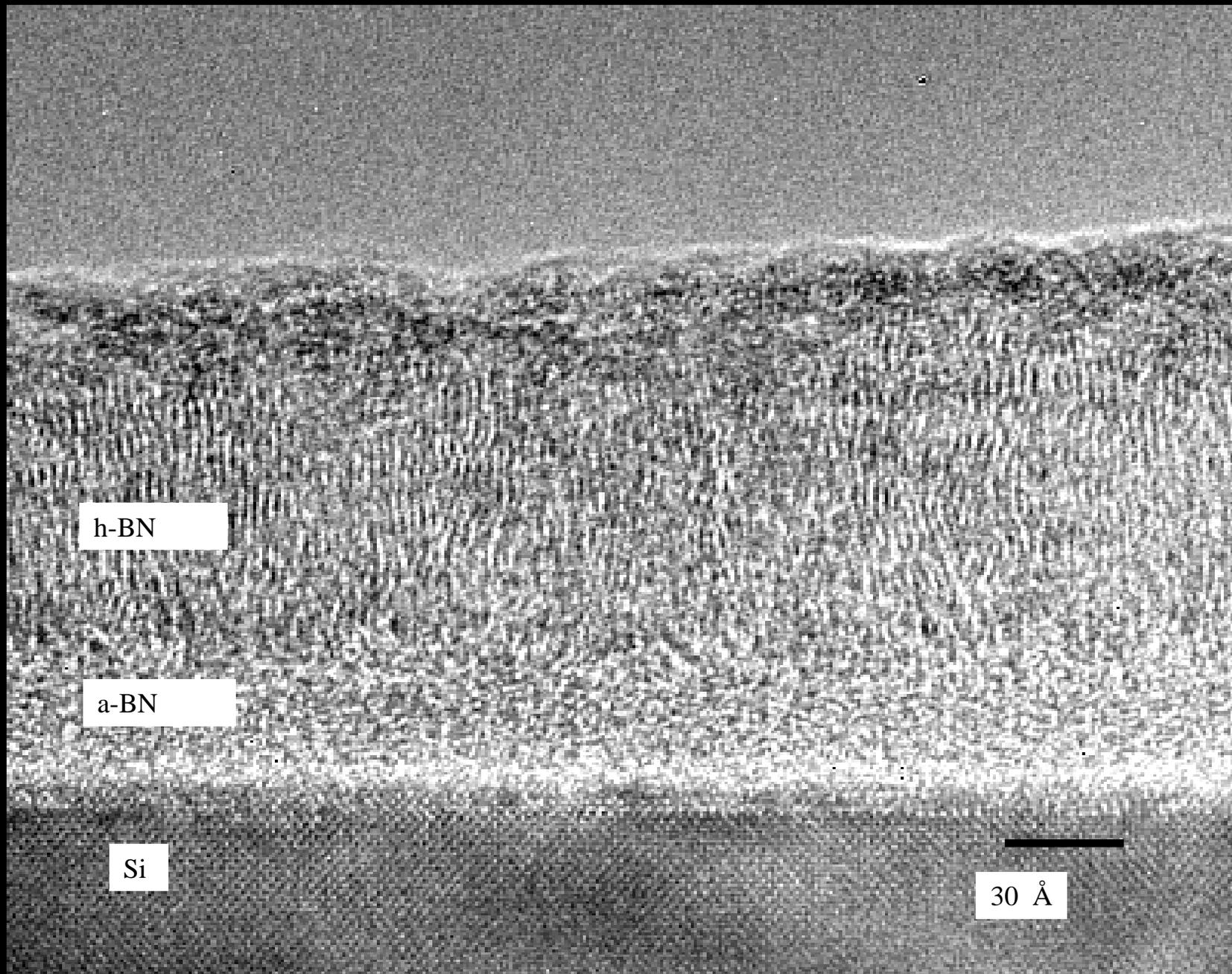
TiN

AlN

5nm







h-BN

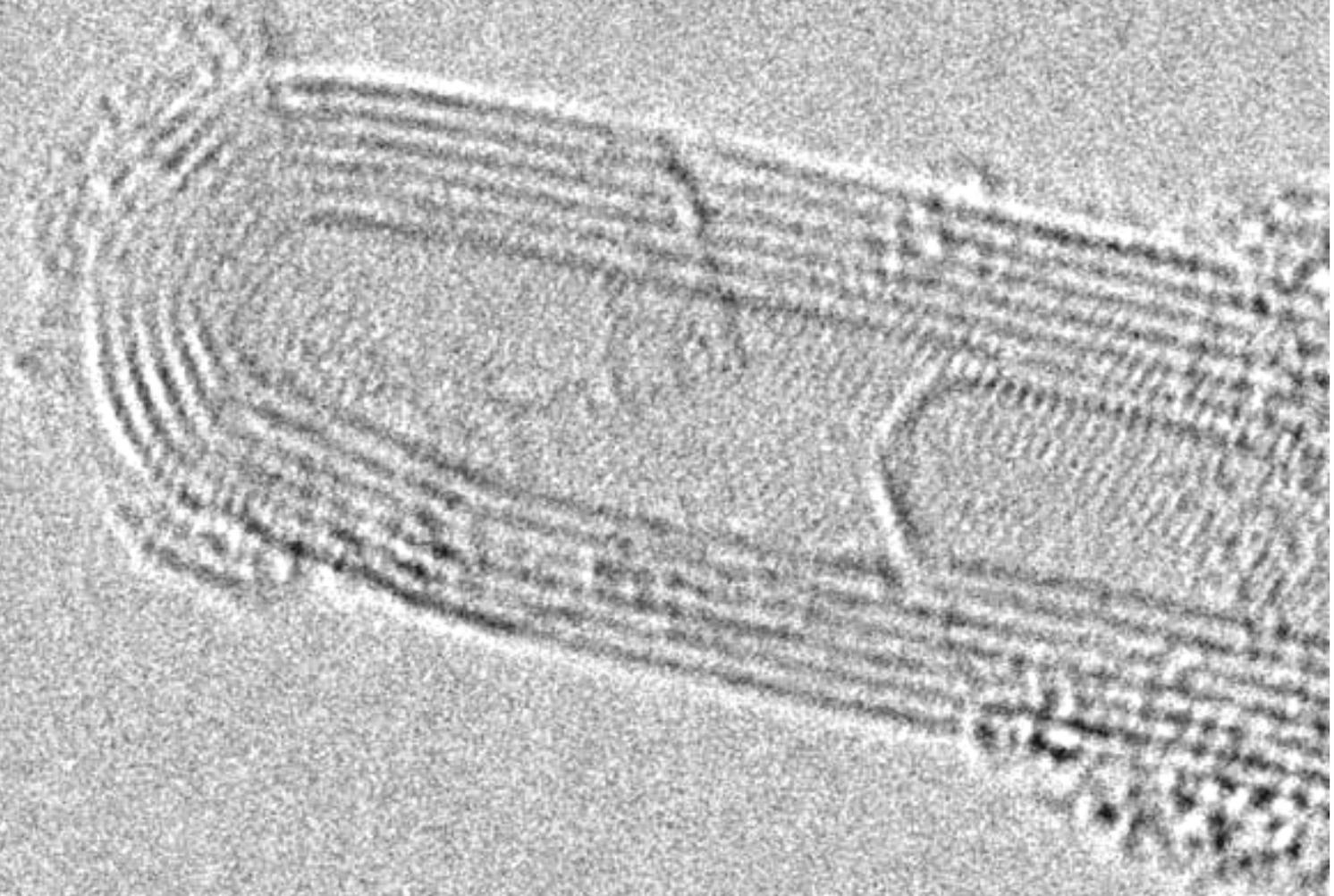
a-BN

Si

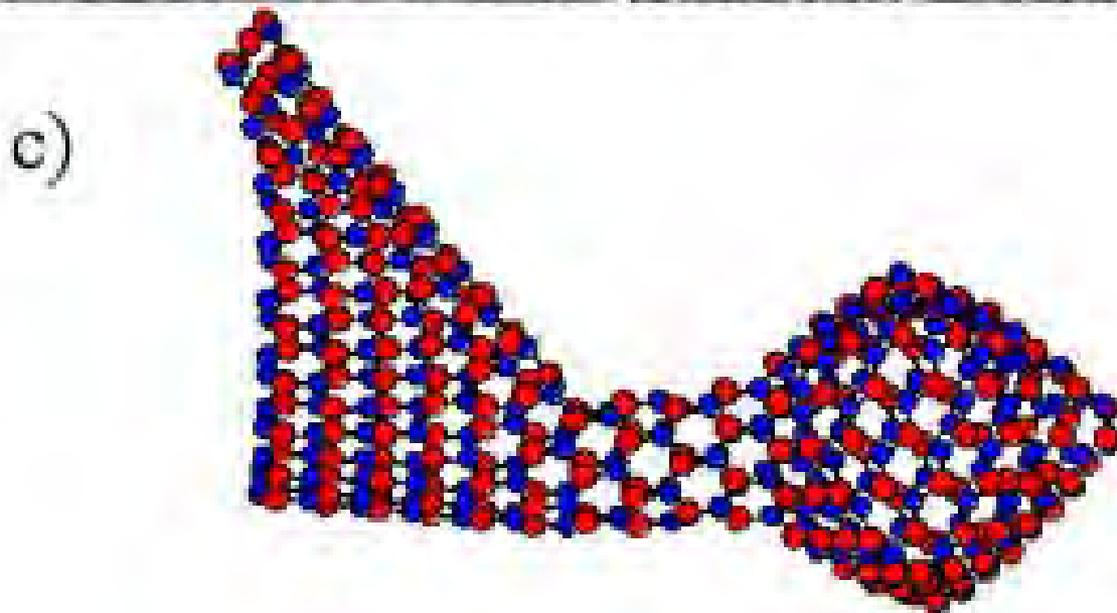
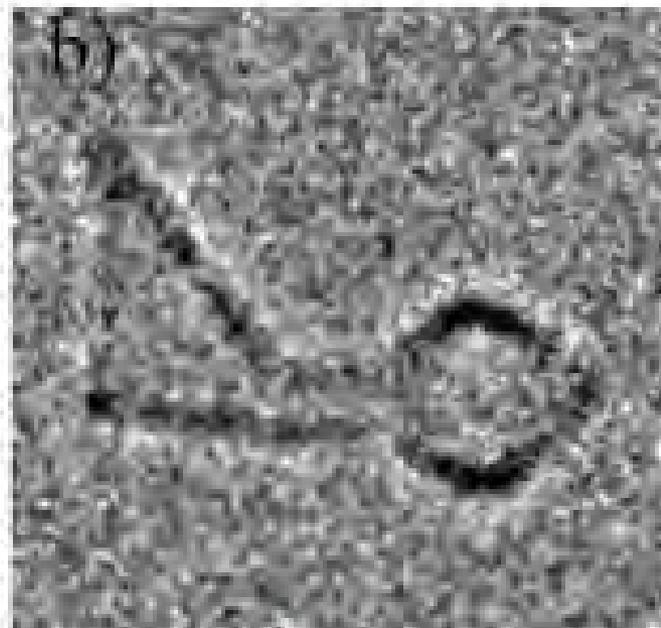
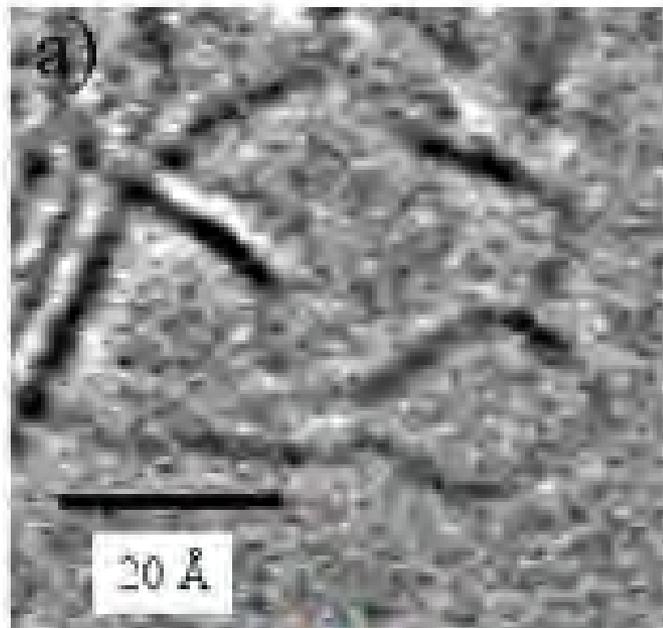
30 Å

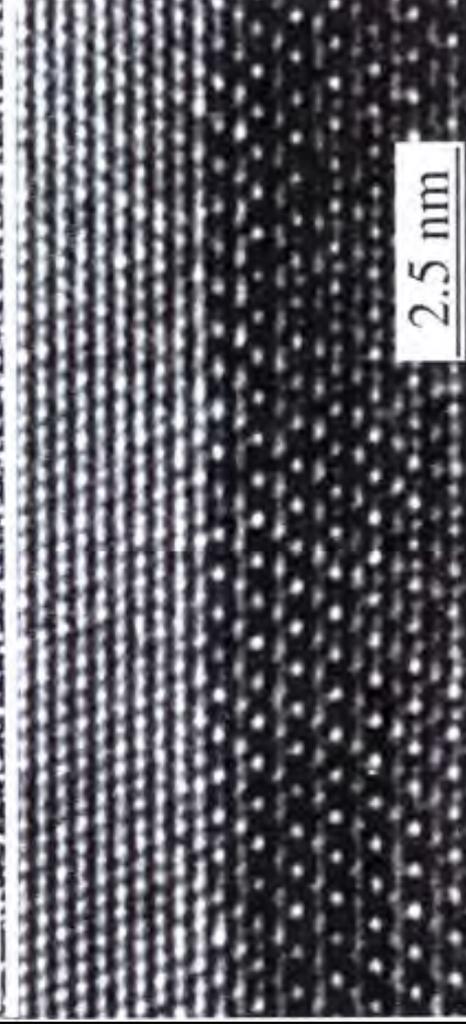
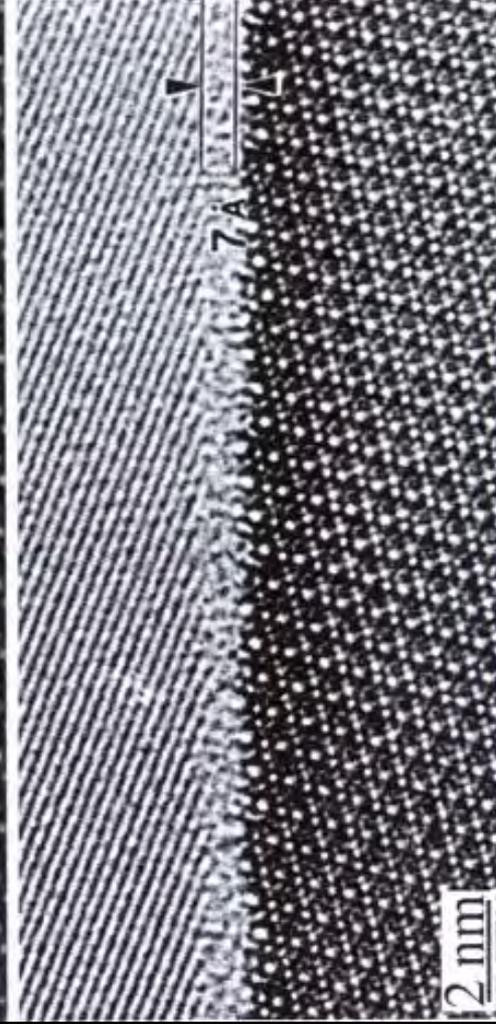
Copyright Michigan State University Board of Trustees 2002

Image: Xindong Fan Center for Advanced Microscopy

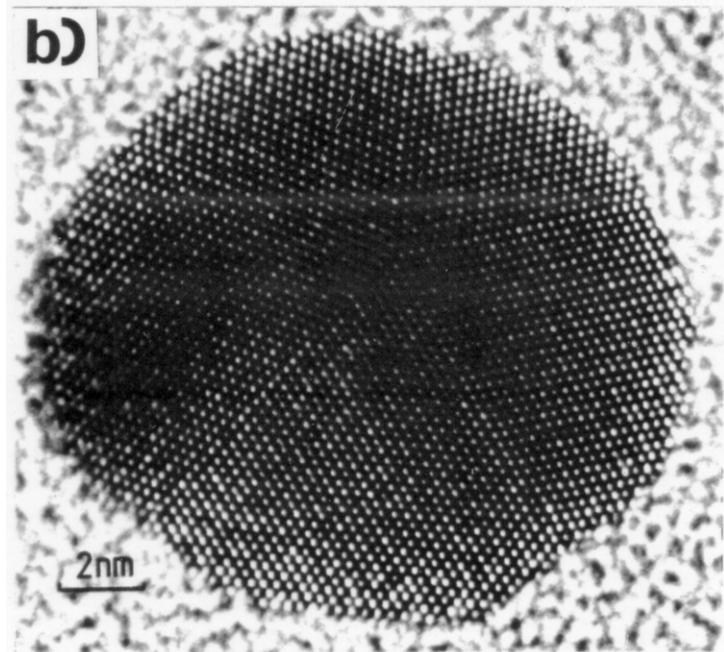
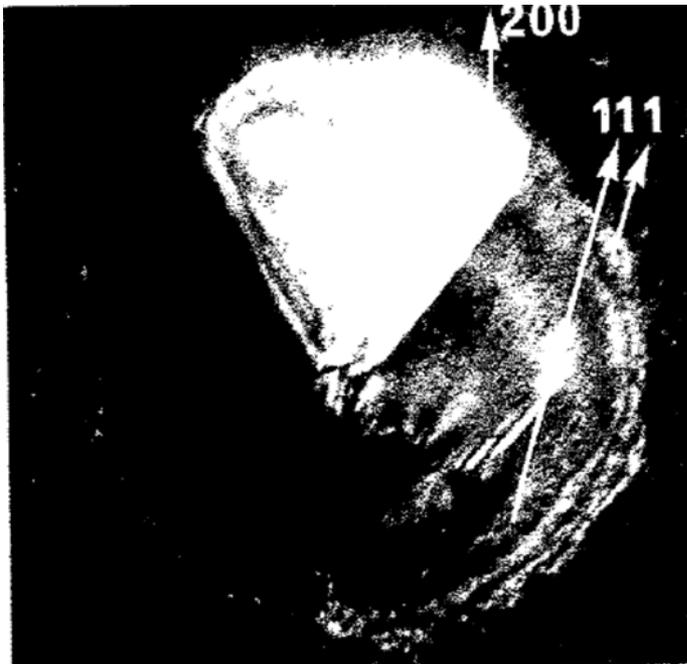


**5 nm**

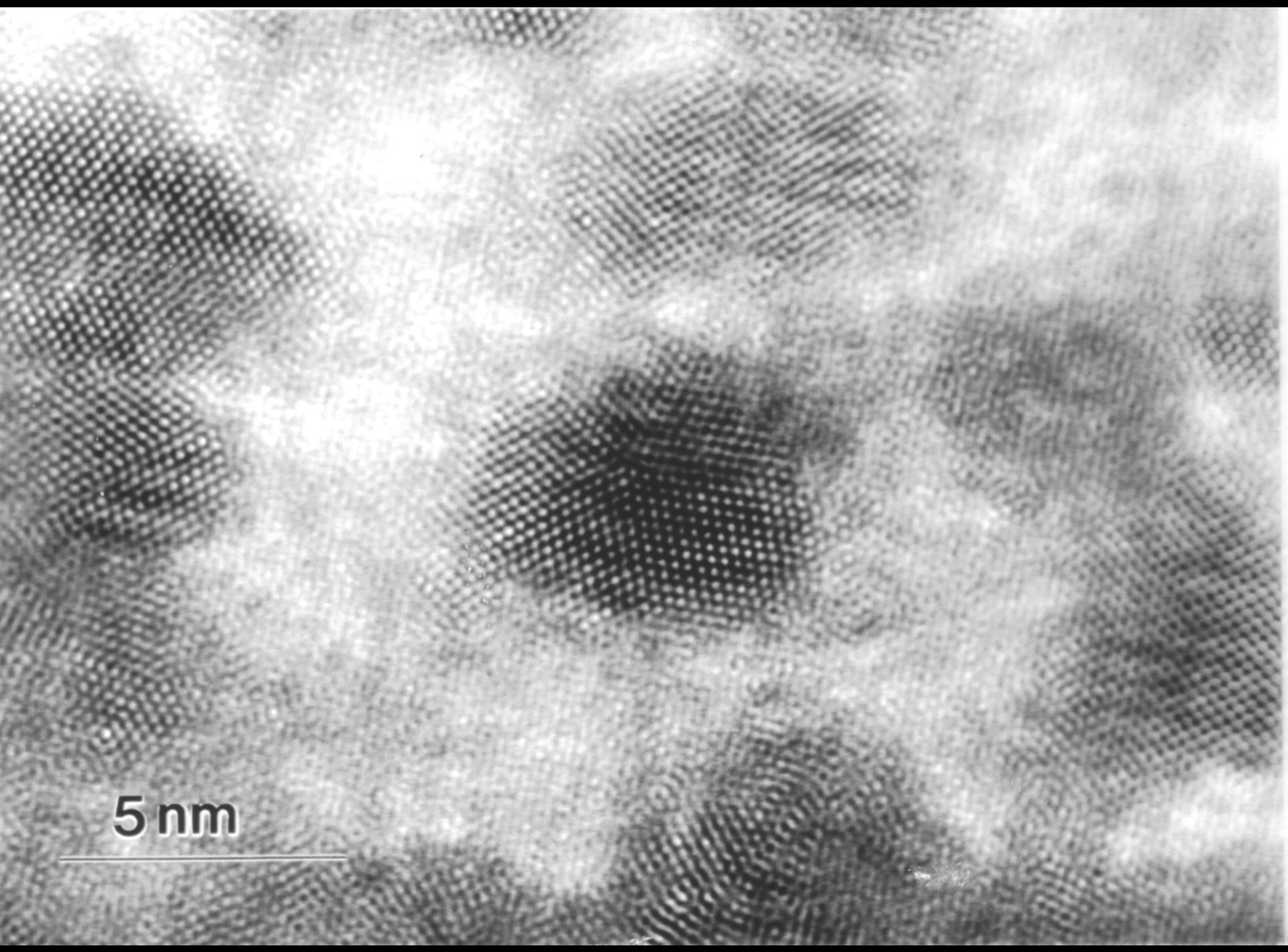




# Nanoparticles



L. D. Marks, . Philos. Mag. A. **49**, 81 (1984).



5 nm



# Surfaces depend upon environment

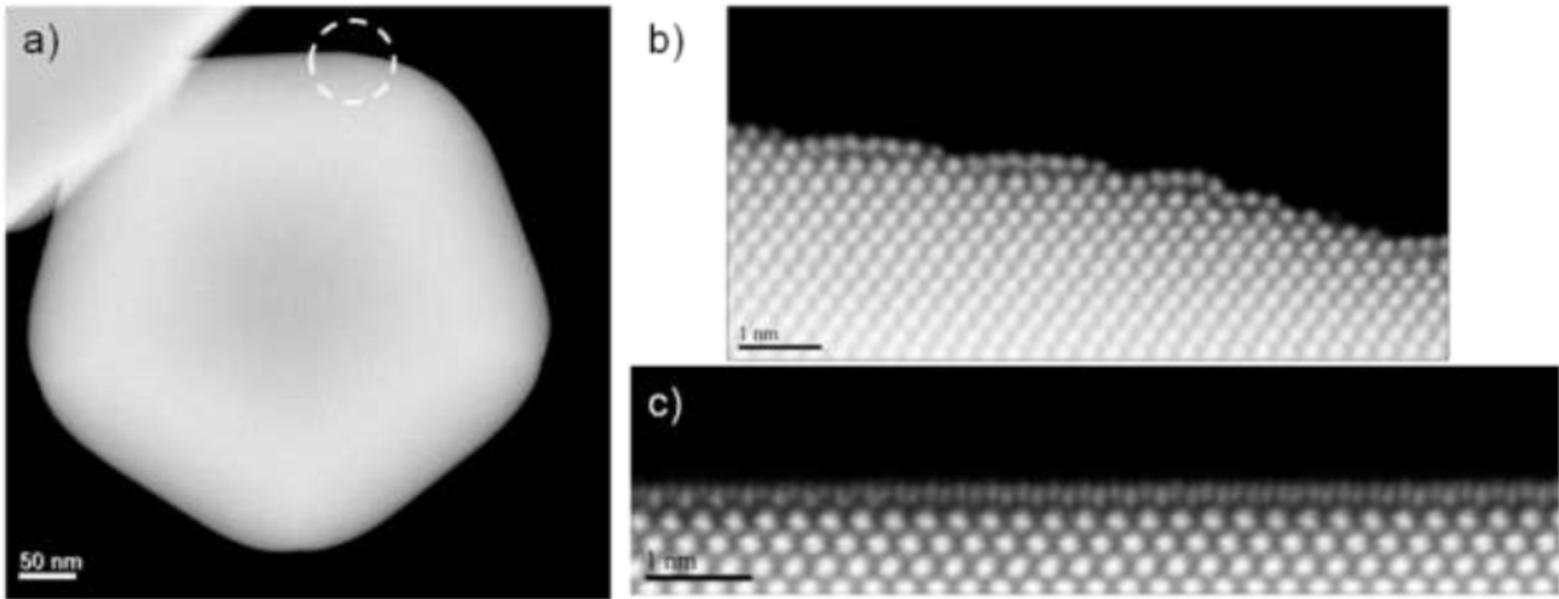
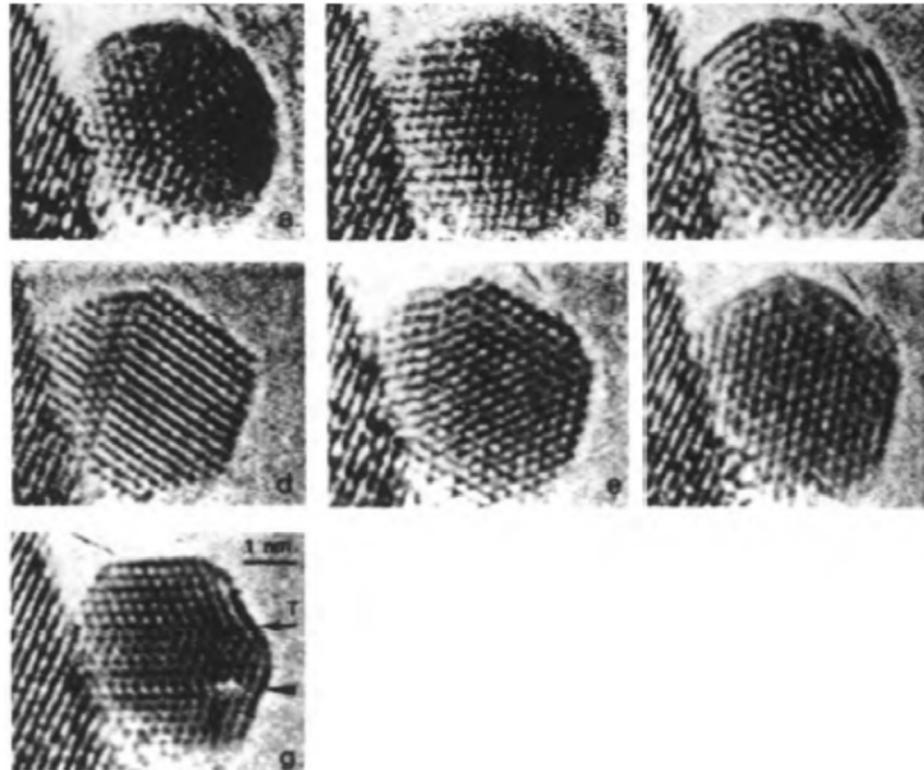


Fig. 20 a) Resulting decahedron after washing the solution. Area enclosed by the white circle is shown in b) and c). b) Dislocations observed on the (100) terraces. c) Surface reconstruction of the (100) surface.

“5x1” (001) reconstruction on Au Dh, Image courtesy of Gilberto Casillas-Garcia, UTSA



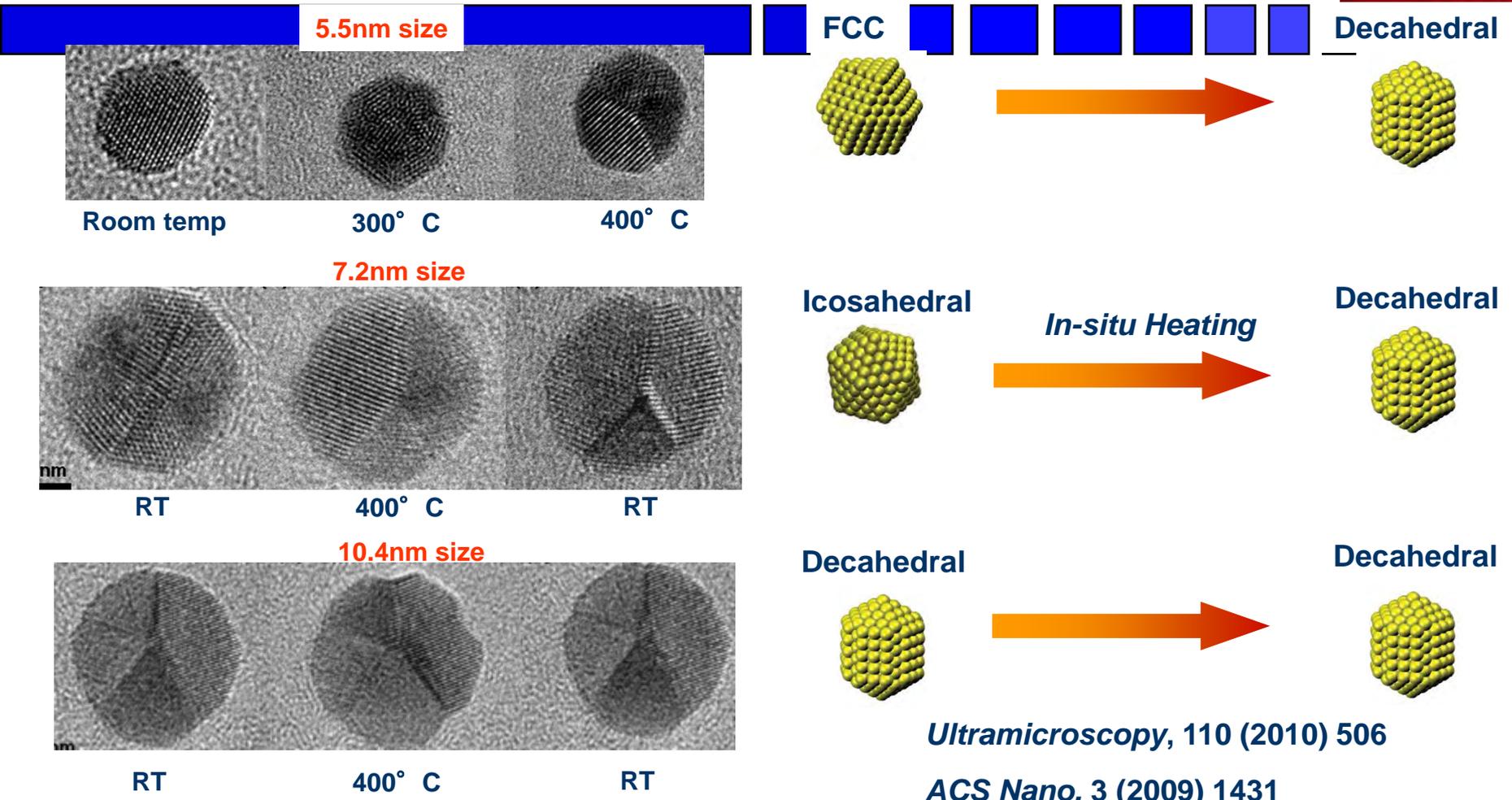
# Structural Fluctuations (Iijima)



**Figure 5** Shows the structural changes observed in a 3.5 nm gold crystal supported on amorphous silicon, as seen in single frame exposures from a real time video recording. The shapes change as follows: a) Icosahedral. b) Single crystal; 1.8 sec. c) Icosahedral; 4.2 sec. d) Stacking fault; 6.0 sec. e) Twin plane; 6.2 sec. f) Single crystal; 9.6 sec. g) Stacking fault (arrowed) and a twin plane, T; 20 seconds.

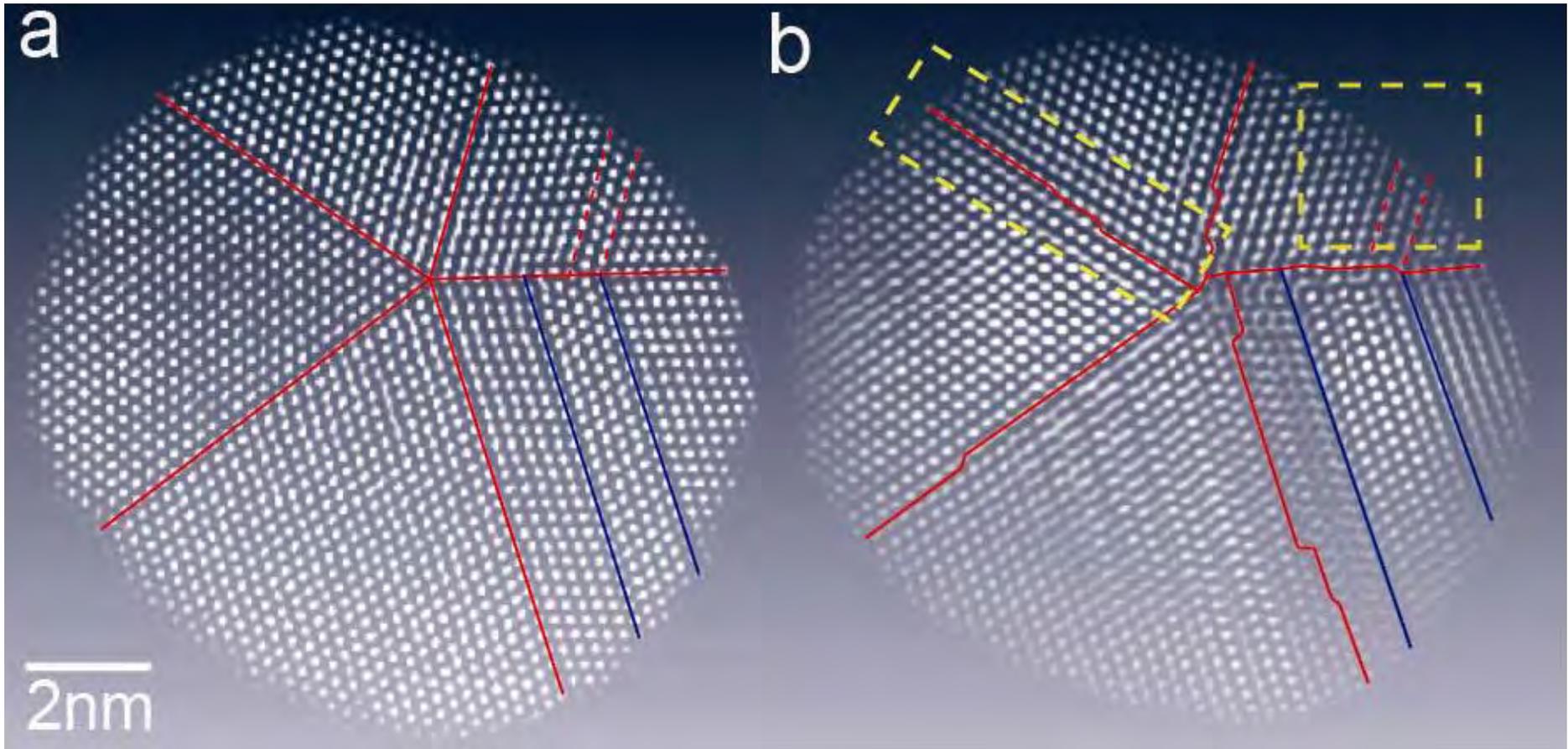
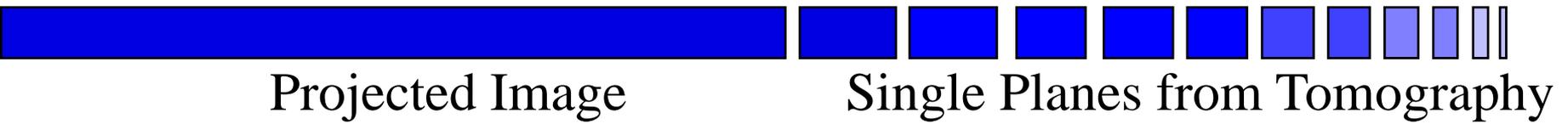
P. M. Ajayan, L. D. Marks, . 24-6, 229 (1990)

# Morphological Transitions (Courtesy Angus Kirkland)

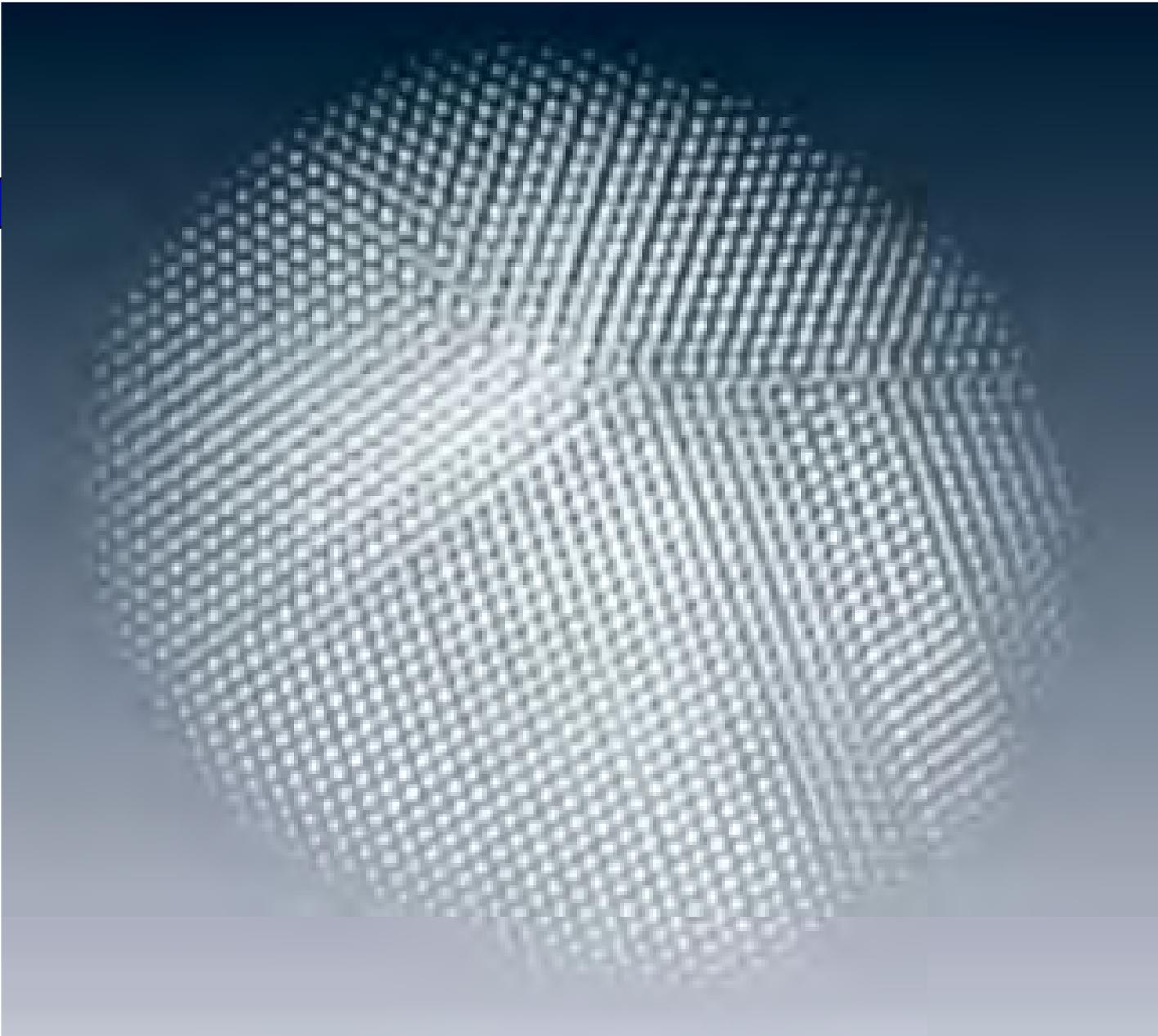


Solid – Solid Transition below  $T_m$   
 As Synthesised Particles not in Thermodynamic Ground State

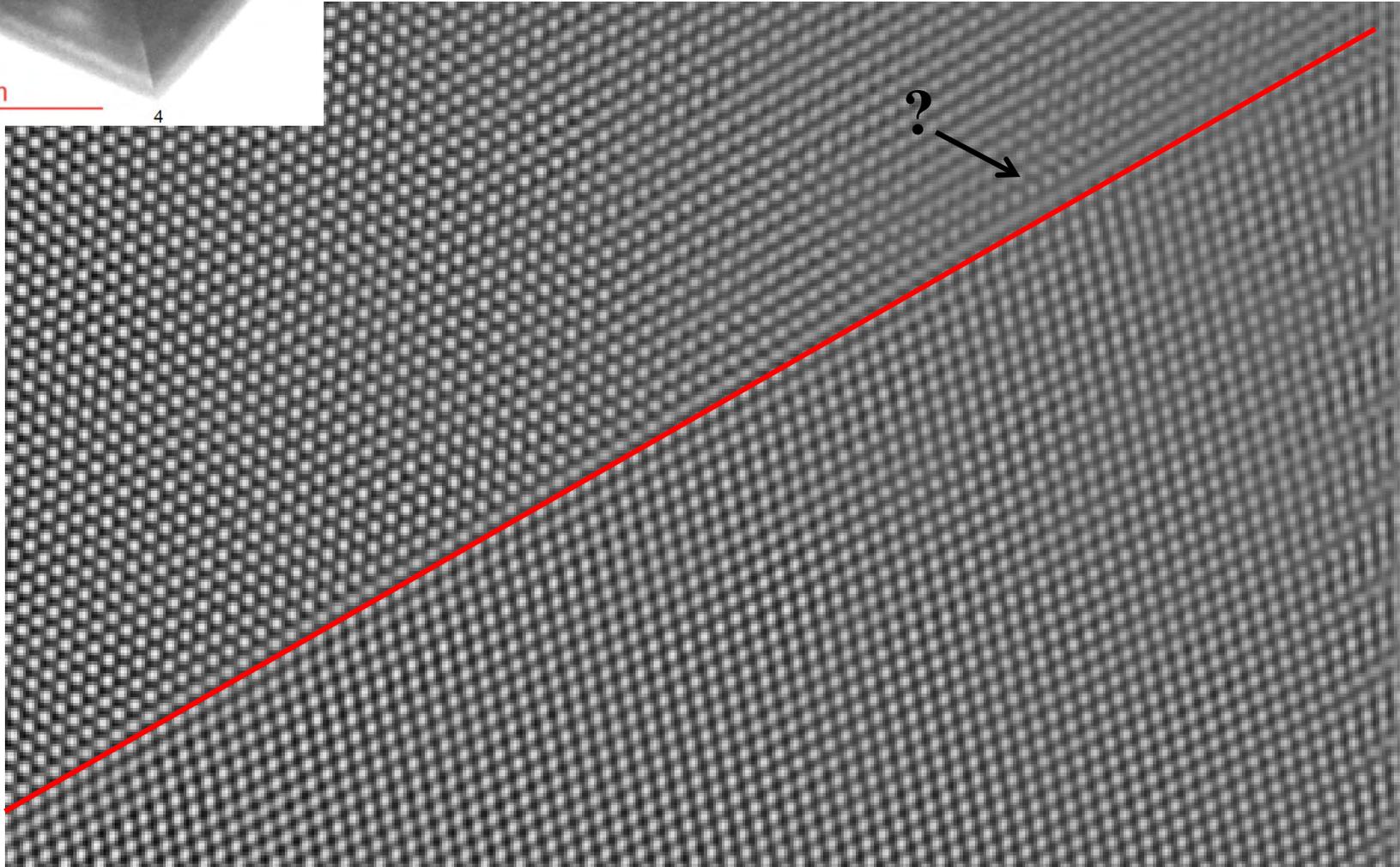
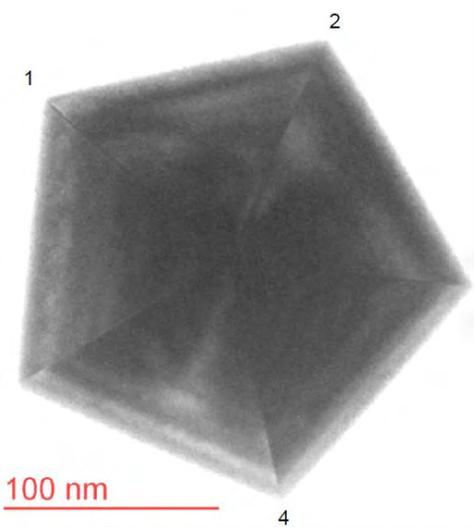
# Nanoparticles are more complicated in 3D



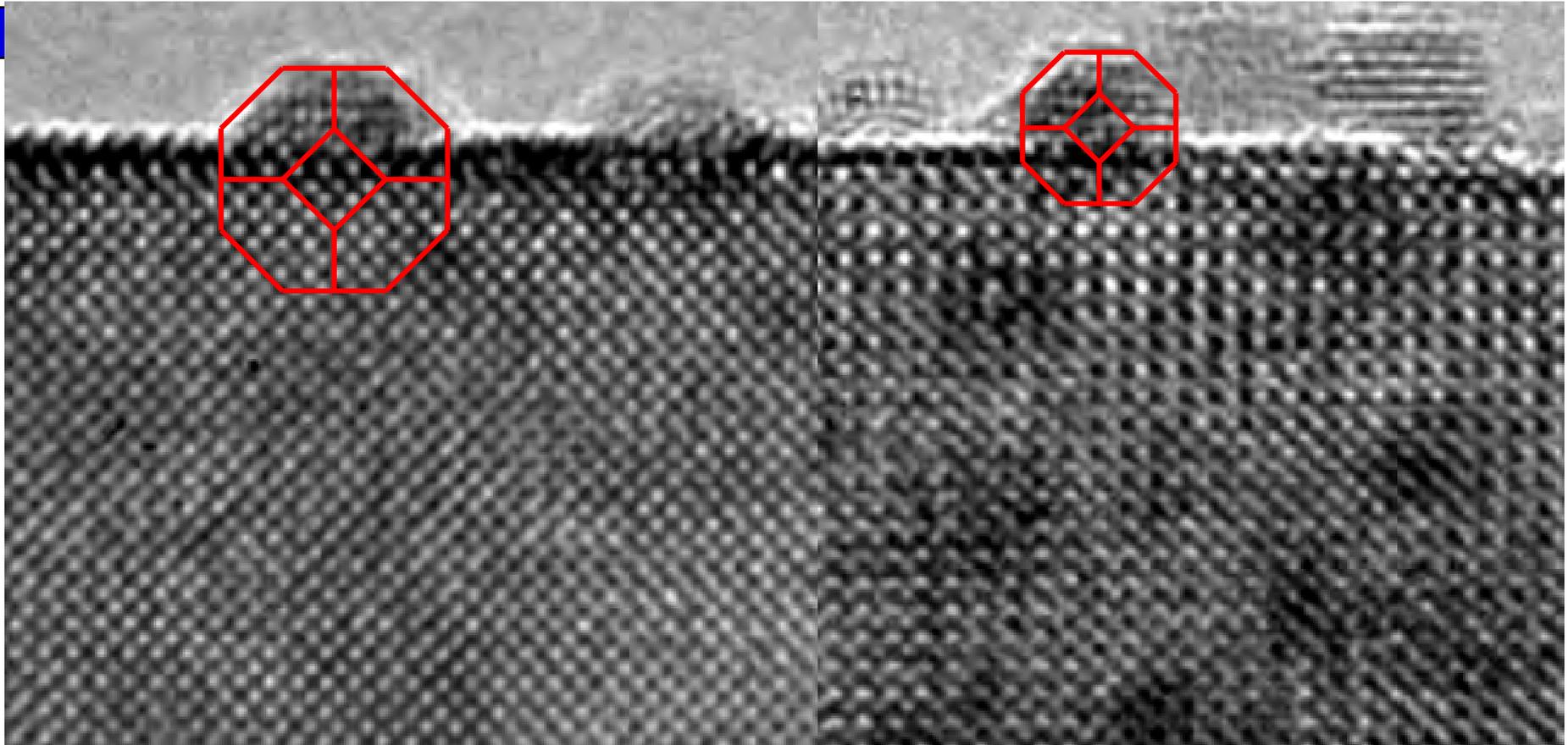
Steps at twin boundaries are a probable stress relief mechanism



# Consistent with HVEM data



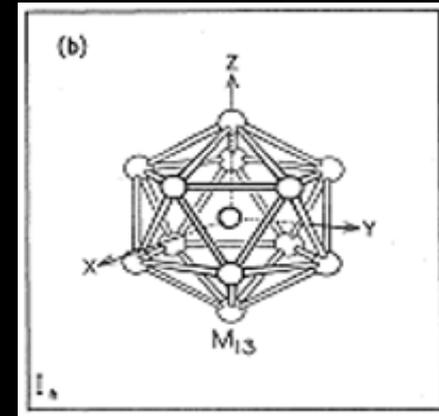
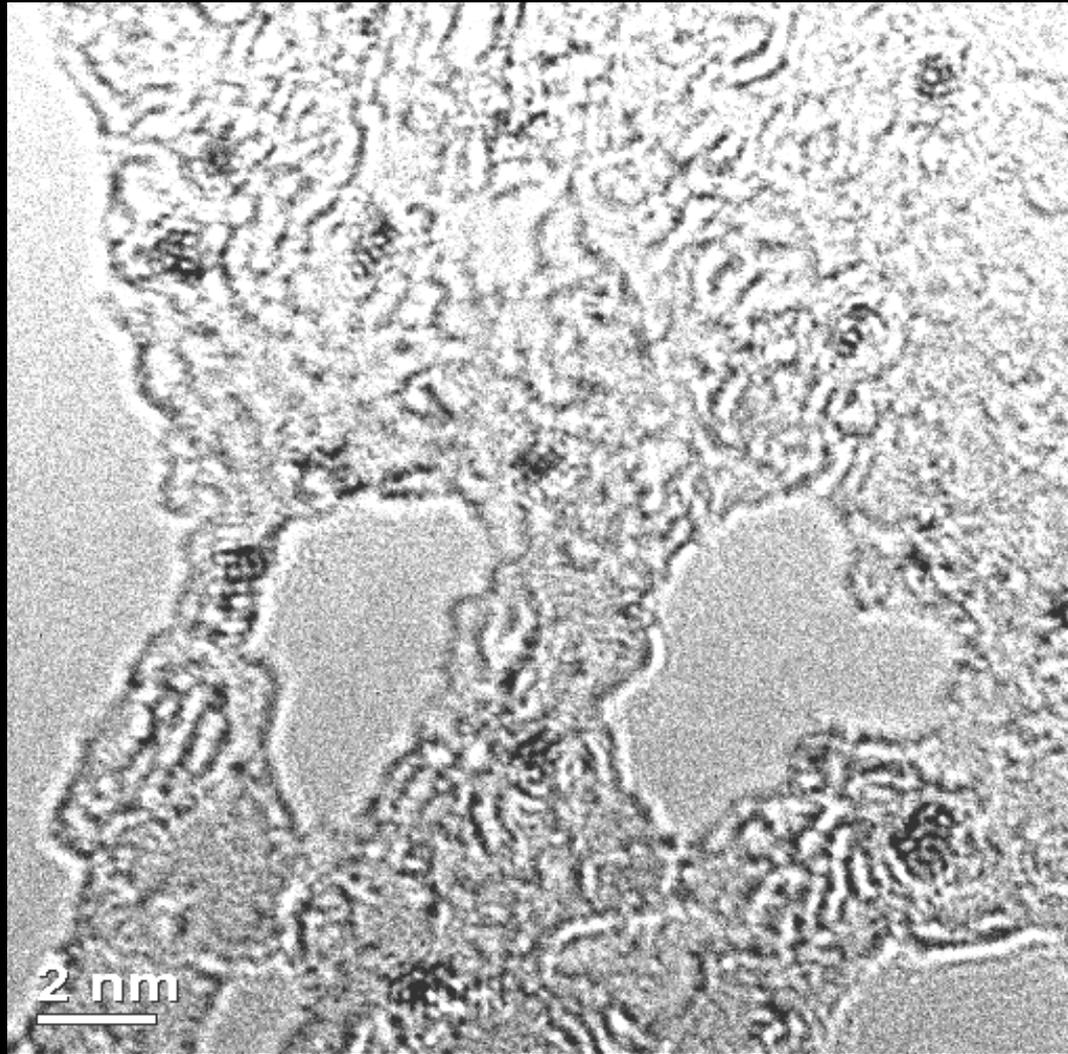
# Pt/Ba<sub>0.5</sub>Sr<sub>0.5</sub>TiO<sub>3</sub>



Pt/SrTiO<sub>3</sub>

Preliminary

# High-Resolution TEM: <1 nm



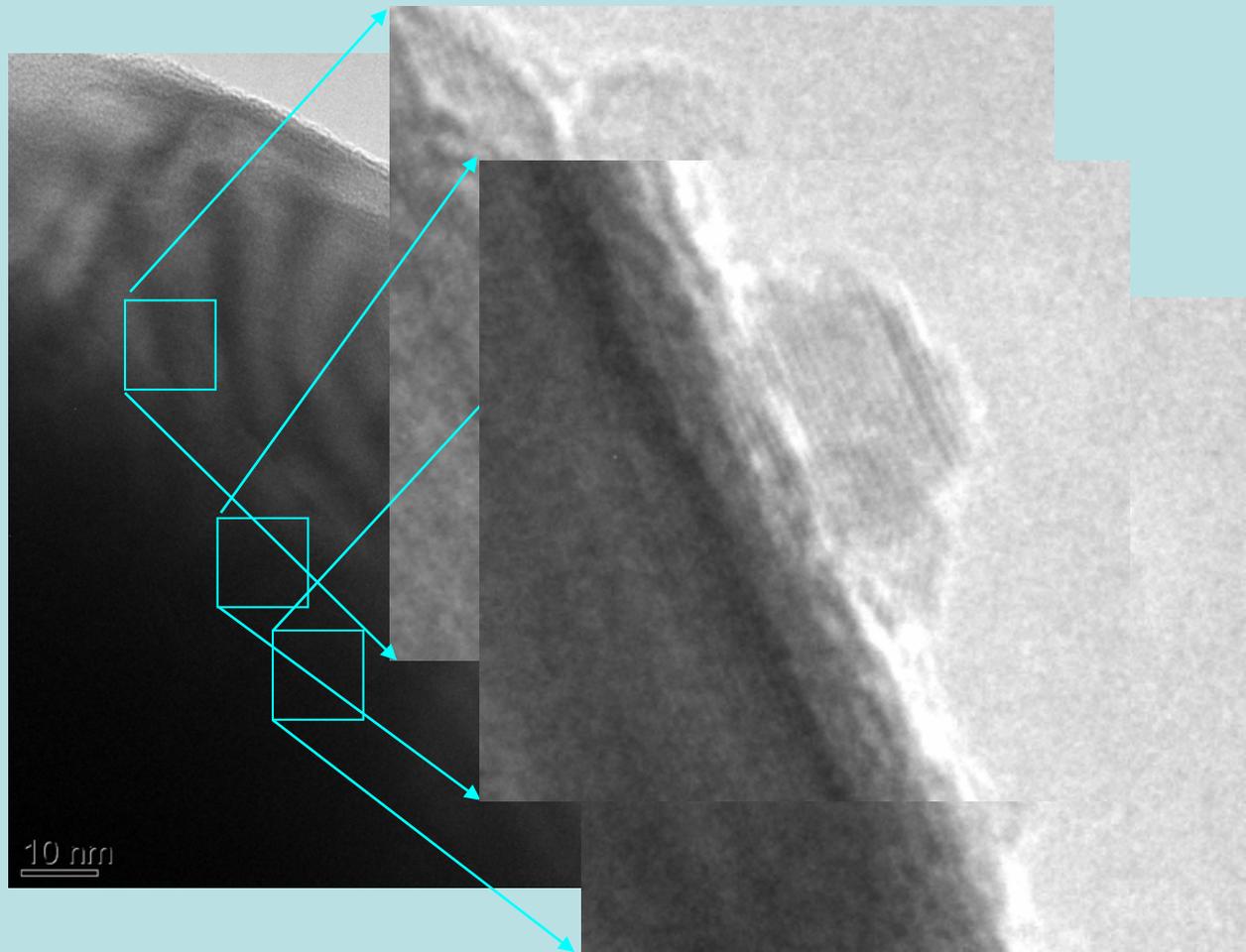
- Uniformity in size (<1nm) and spatial distribution
- High crystallinity evident from visible lattice fringes inside particles
- Lattice spacing =  $2.39 \pm 0.07(\text{\AA})$
- Icosahedral shape based on trace analysis of particle edge

HREM image of  $\text{Au}_{13}(\text{PPh}_3)_4(\text{SC12})_4$

Huiping Xu, Ray Twisten

# TEM -Identification of the Precipitates

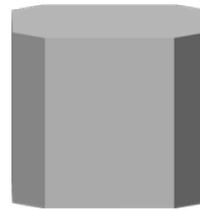
- Ru1steam



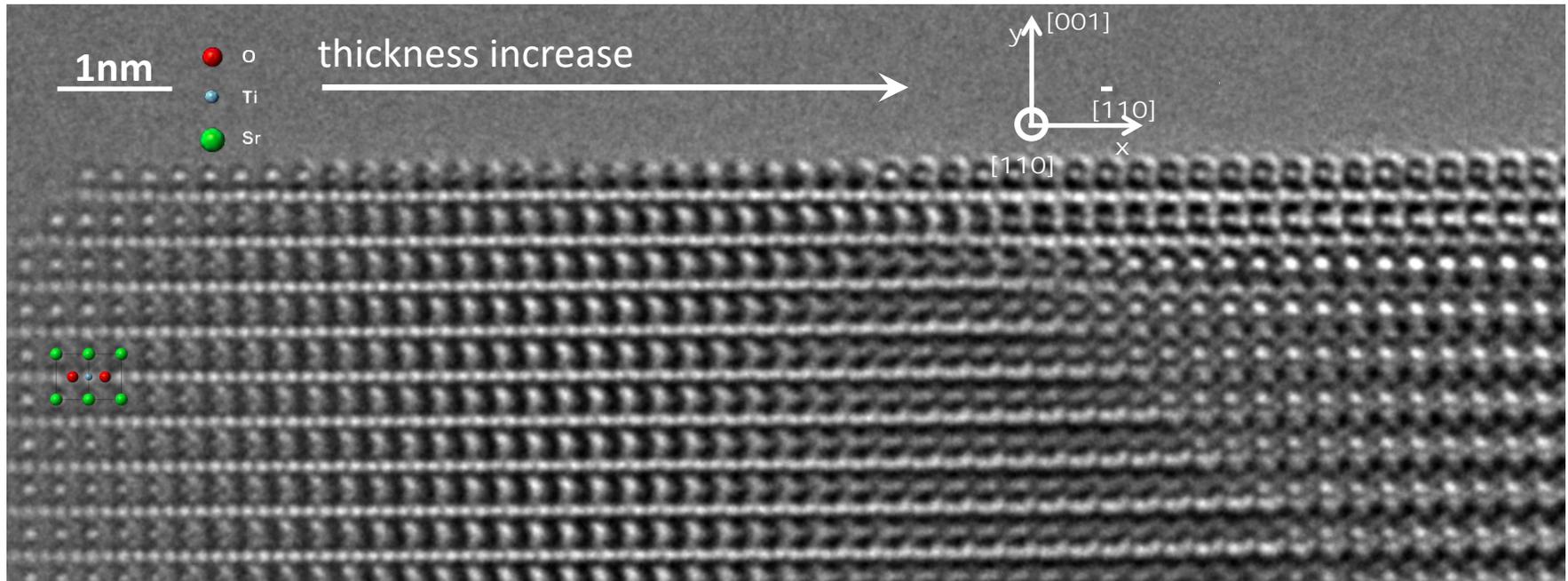
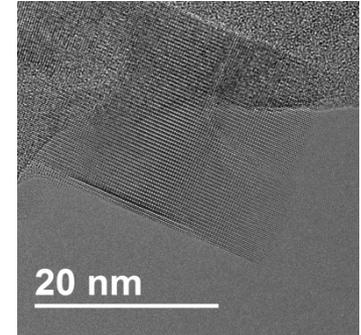
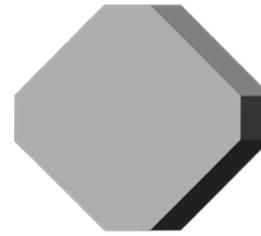
# SrTiO<sub>3</sub> (001) surface

- Around 20 nm size
- Surface is flat in projection

[100]

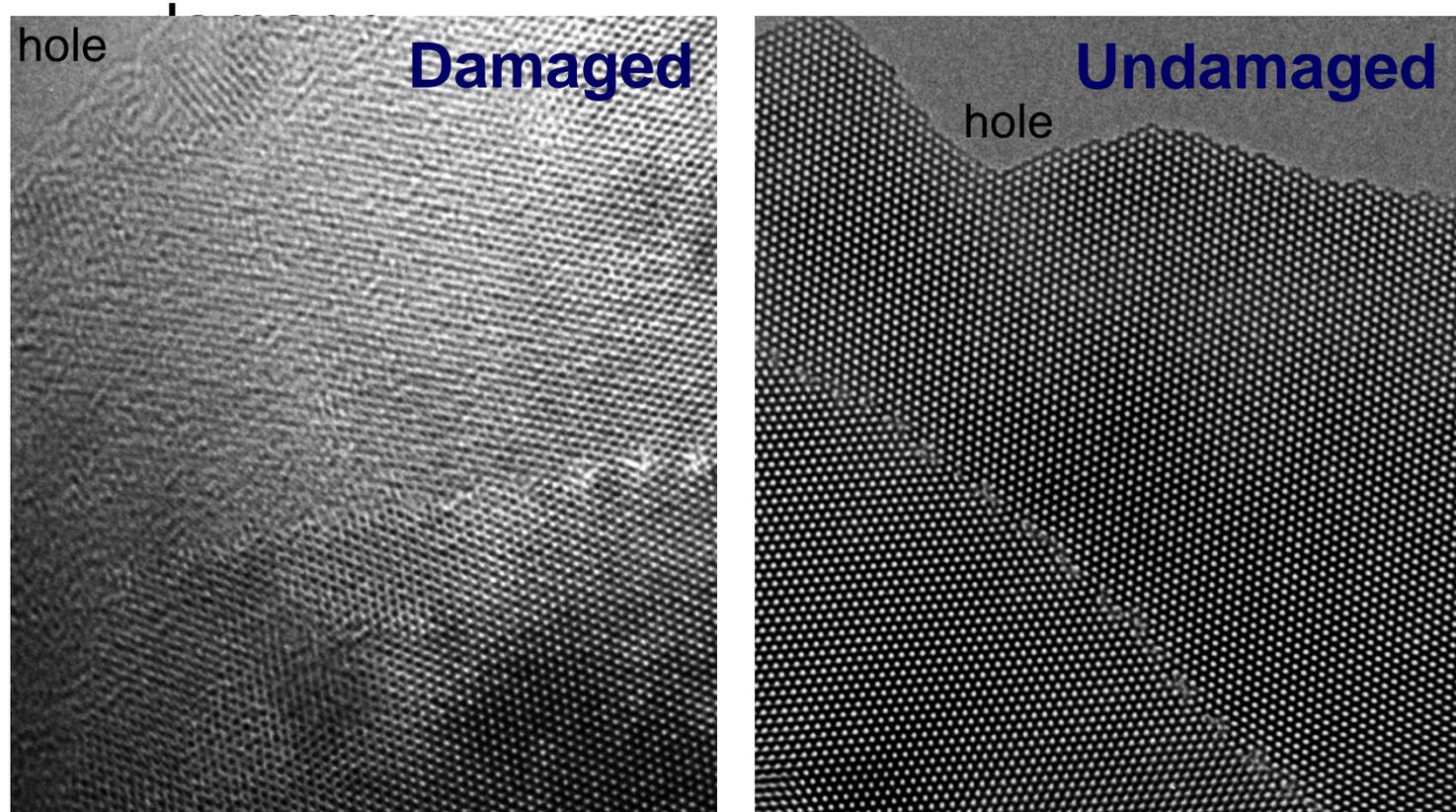


[110]



# Thin Foil Specimen

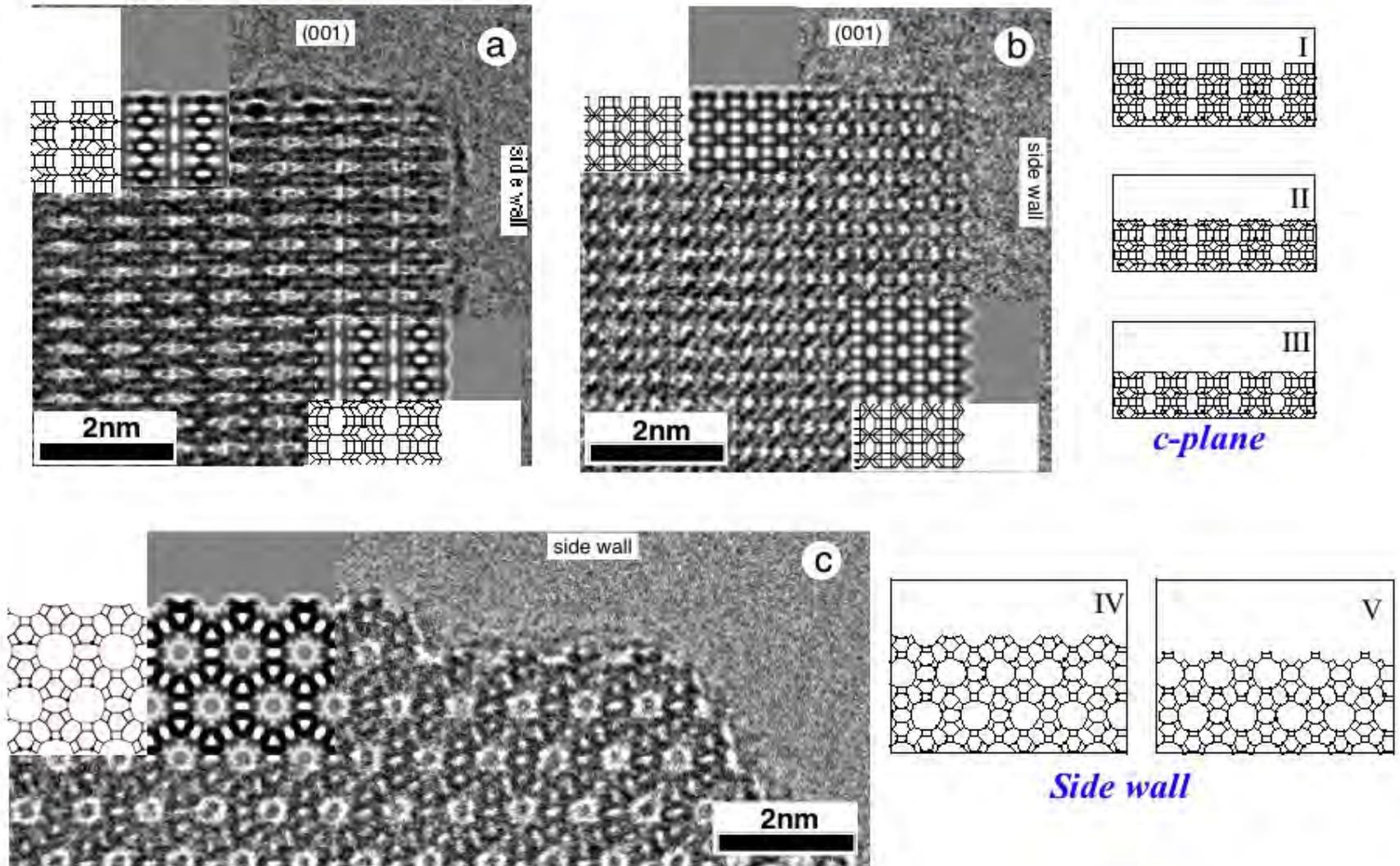
Make thin area with minimal ion-thinning



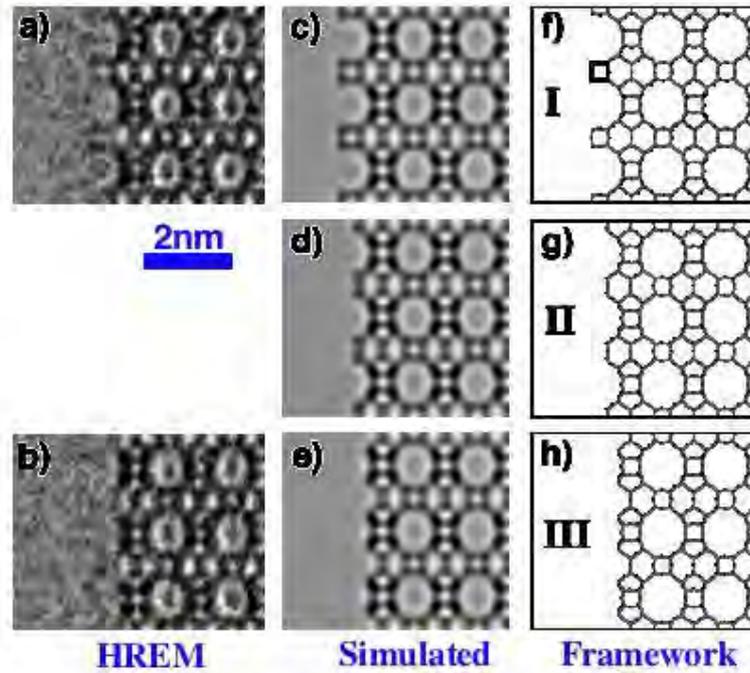
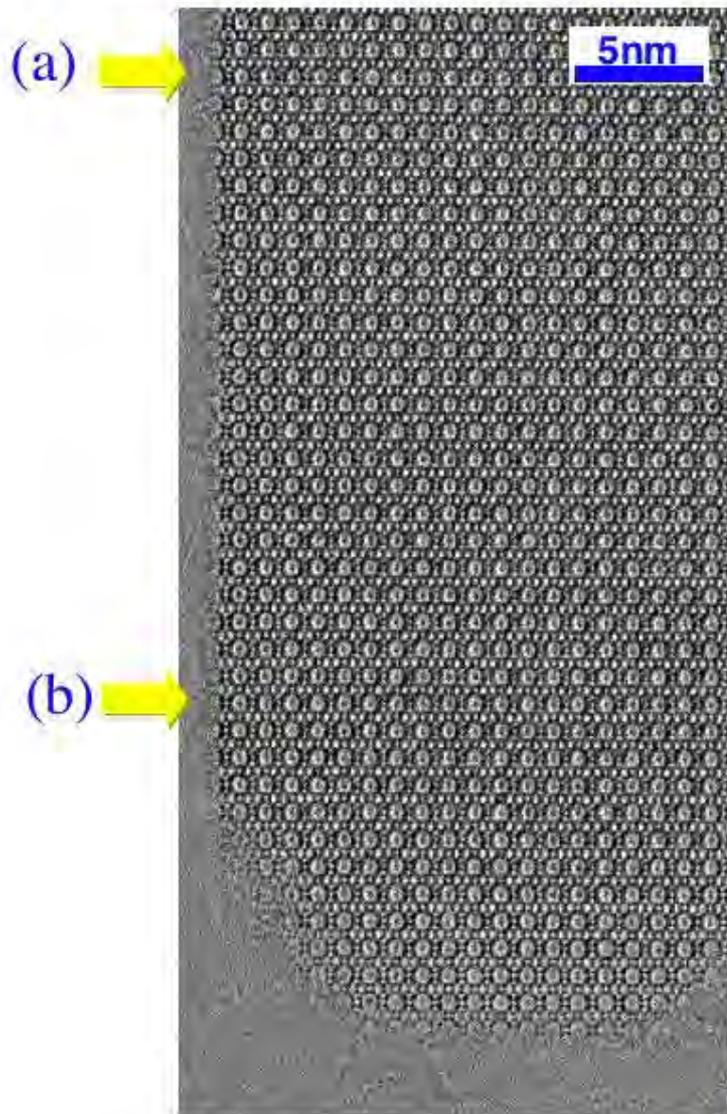
Finishing with low gun energy may be effective:

- PIPS:  $< 2.5\text{keV}$
- DuoMill:  $< 3\text{keV}$  (+ liquid Nitrogen cooling stage)

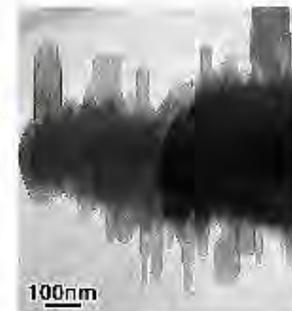
# Surface Structures of LTL, *c*-plane and side wall



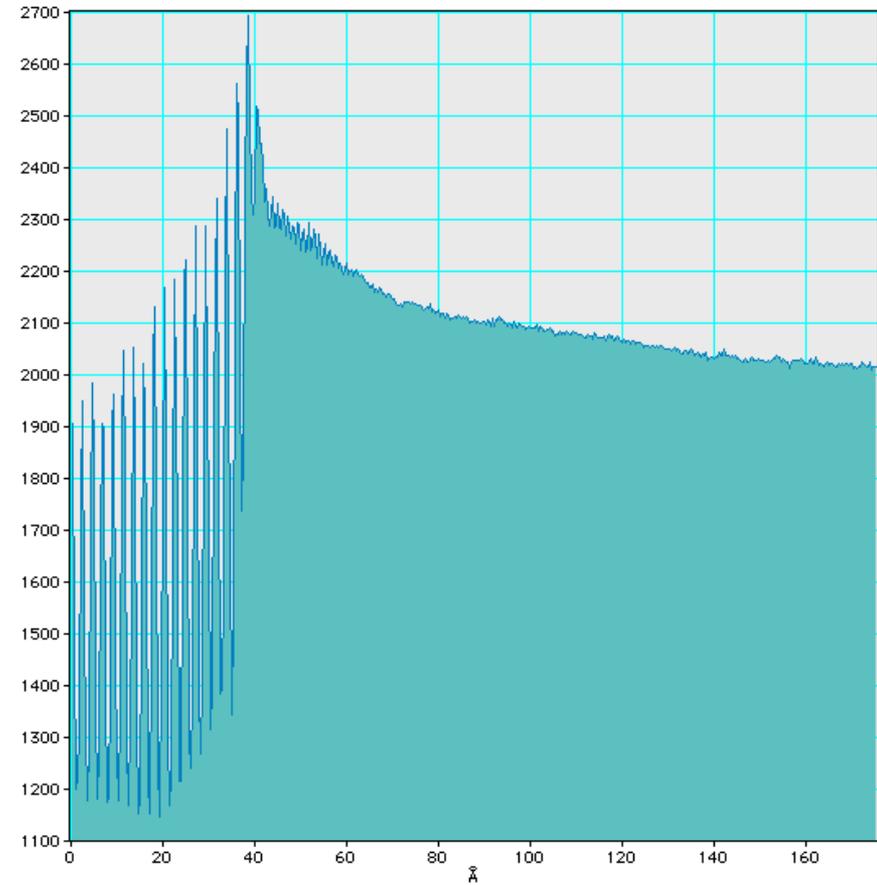
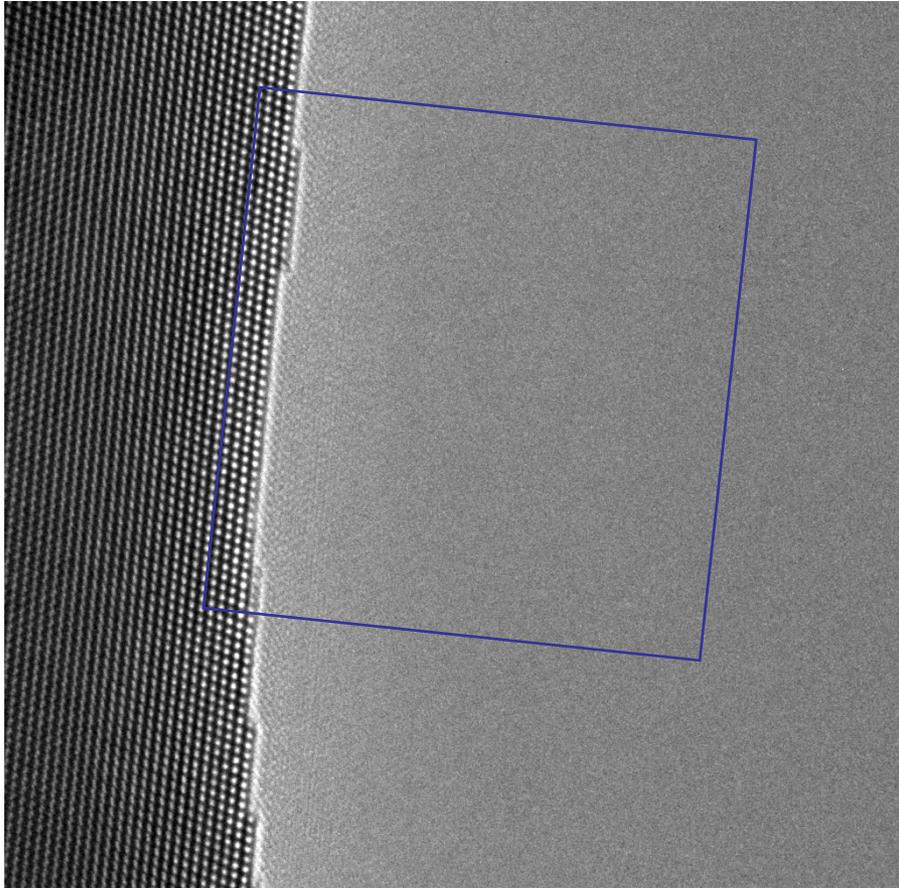
# BEC (pure SiO<sub>2</sub>)



Overgrowth on BEA

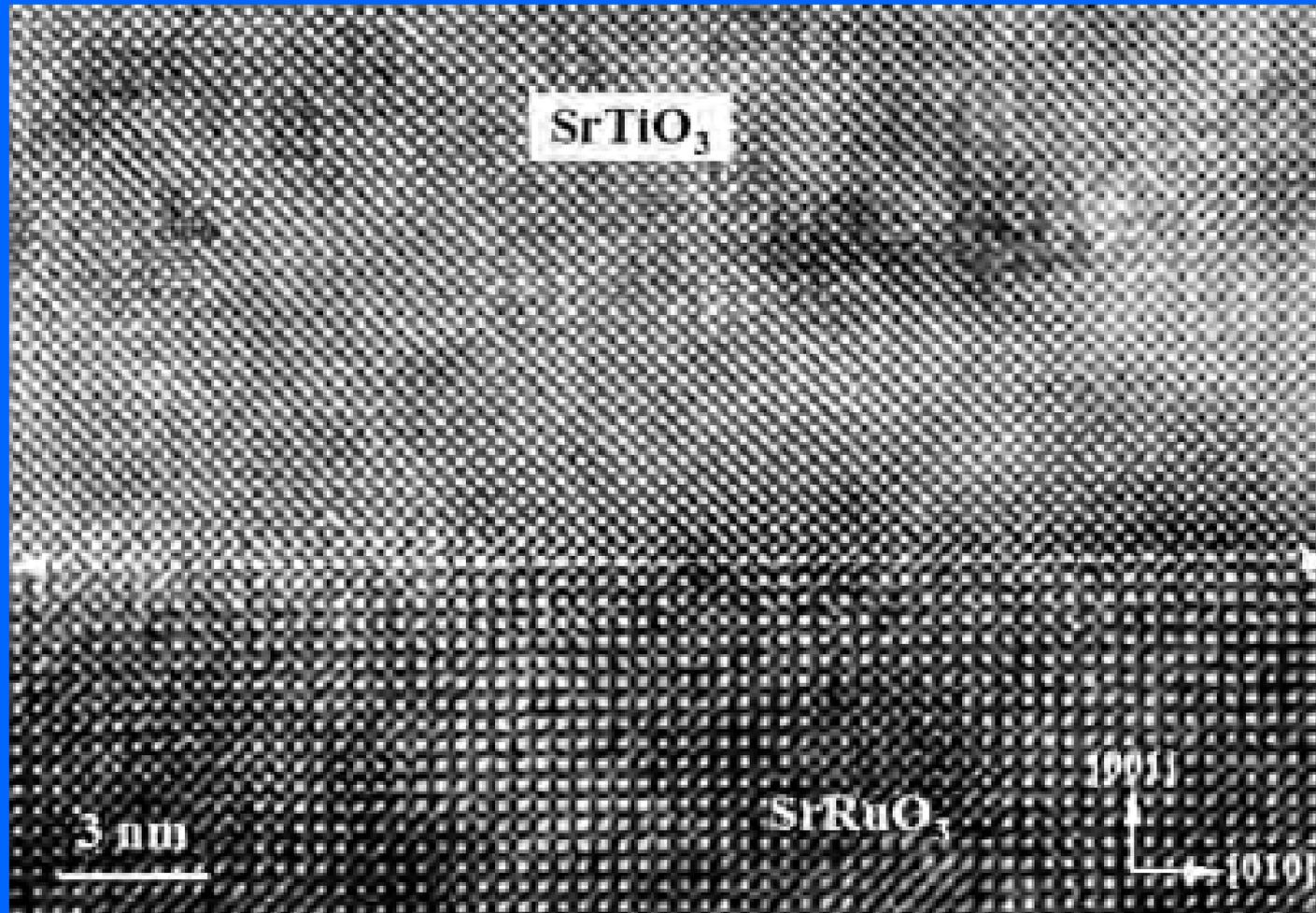


# Au [110] – Vacuum wave



Courtesy C. Kisielowski, J.R. Jinschek (NCEM, Berkeley)

# High-Resolution Electron Microscopy: Interfaces

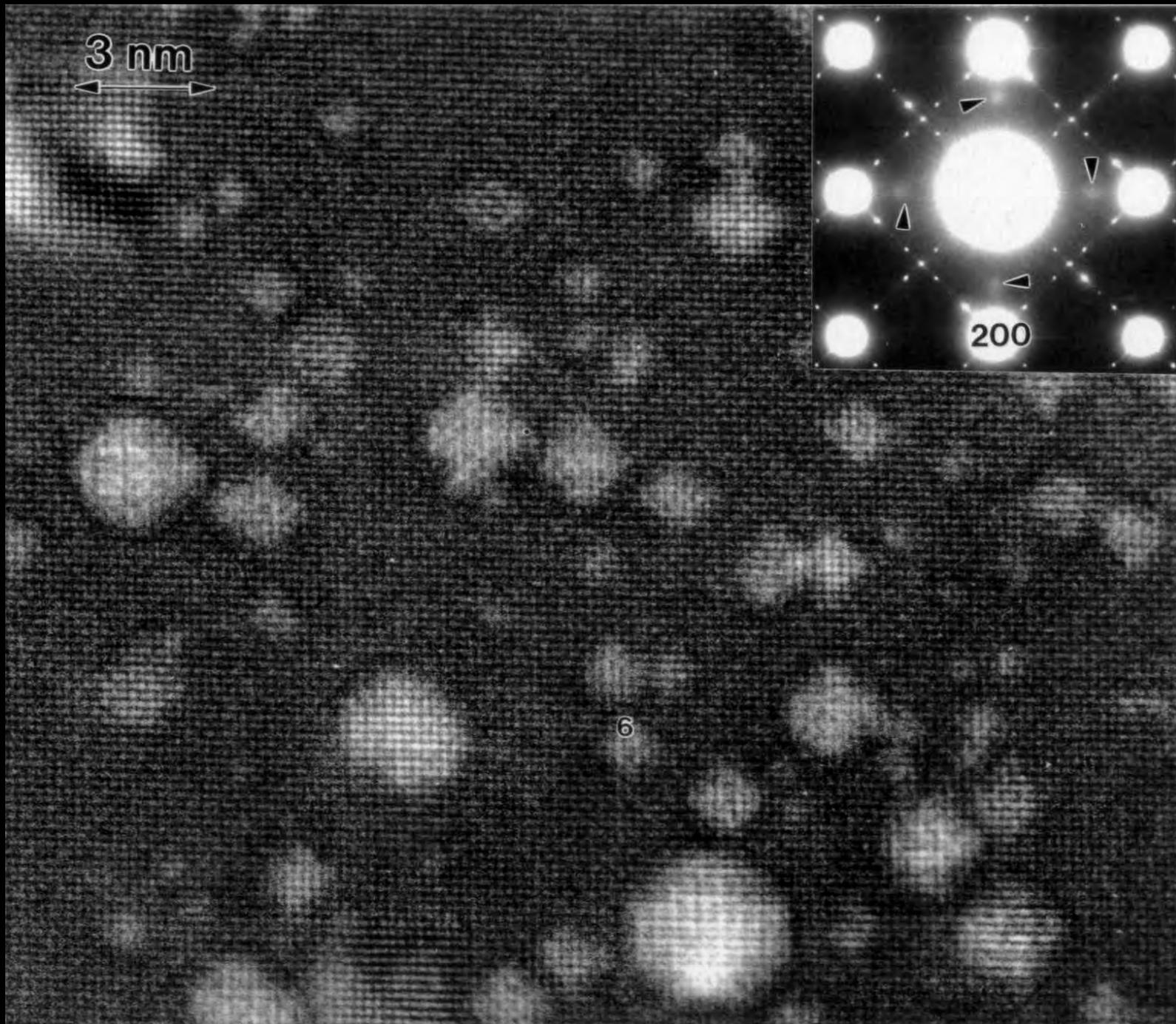


$a=0.3905$  nm

Misfit=0.64%

$a=0.3982$  nm

HREM image of coherent SrTiO<sub>3</sub>/SrRuO<sub>3</sub> interface.



# Resolution Limiting Factors.

Incoherent Aberrations  
( Source Size, Energy Spread  
HT stability, lens stability  
Chromatic Aberration ).

Coherent Aberrations  
(Objective lens  
Spherical Aberration)  
Detectors  
(Phosphor coupled CCD)



# Resolution Limiting Factors.

## Incoherent Aberrations

(Source Size, Energy Spread  
(Monochromator, Energy Spread

Novel Sources, improved  
HT stability; lens stability  
stabilities,  $C_c$  correction)  
Chromatic Aberration ).

## Coherent Aberrations

(Objective lens  
(Spherical Aberration

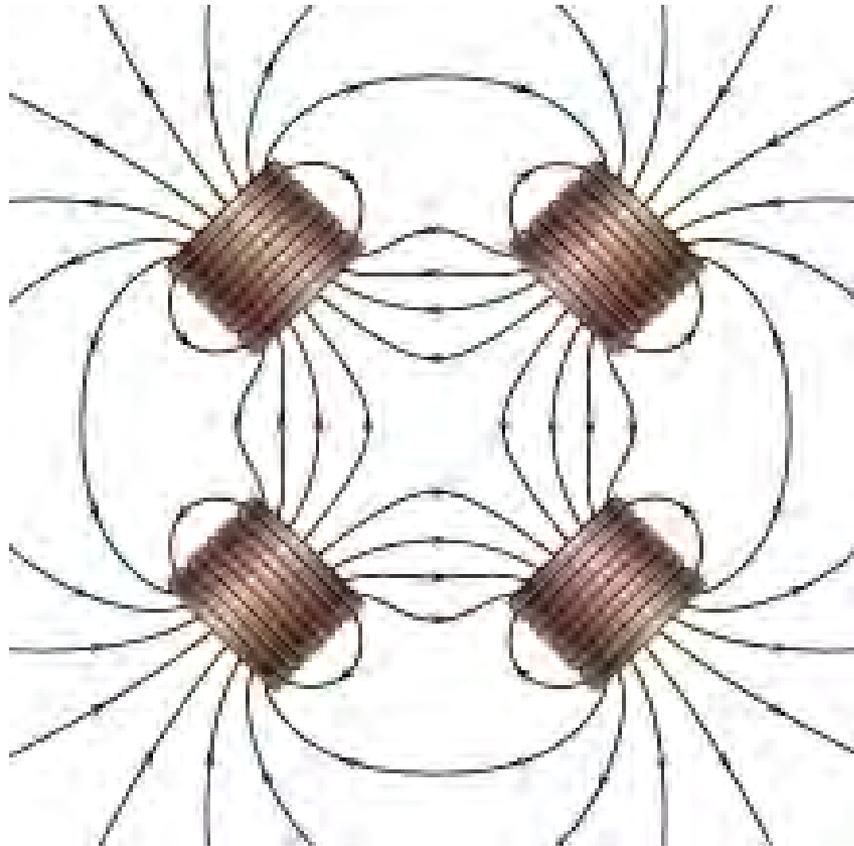
Spherical Aberration)

## Detectors

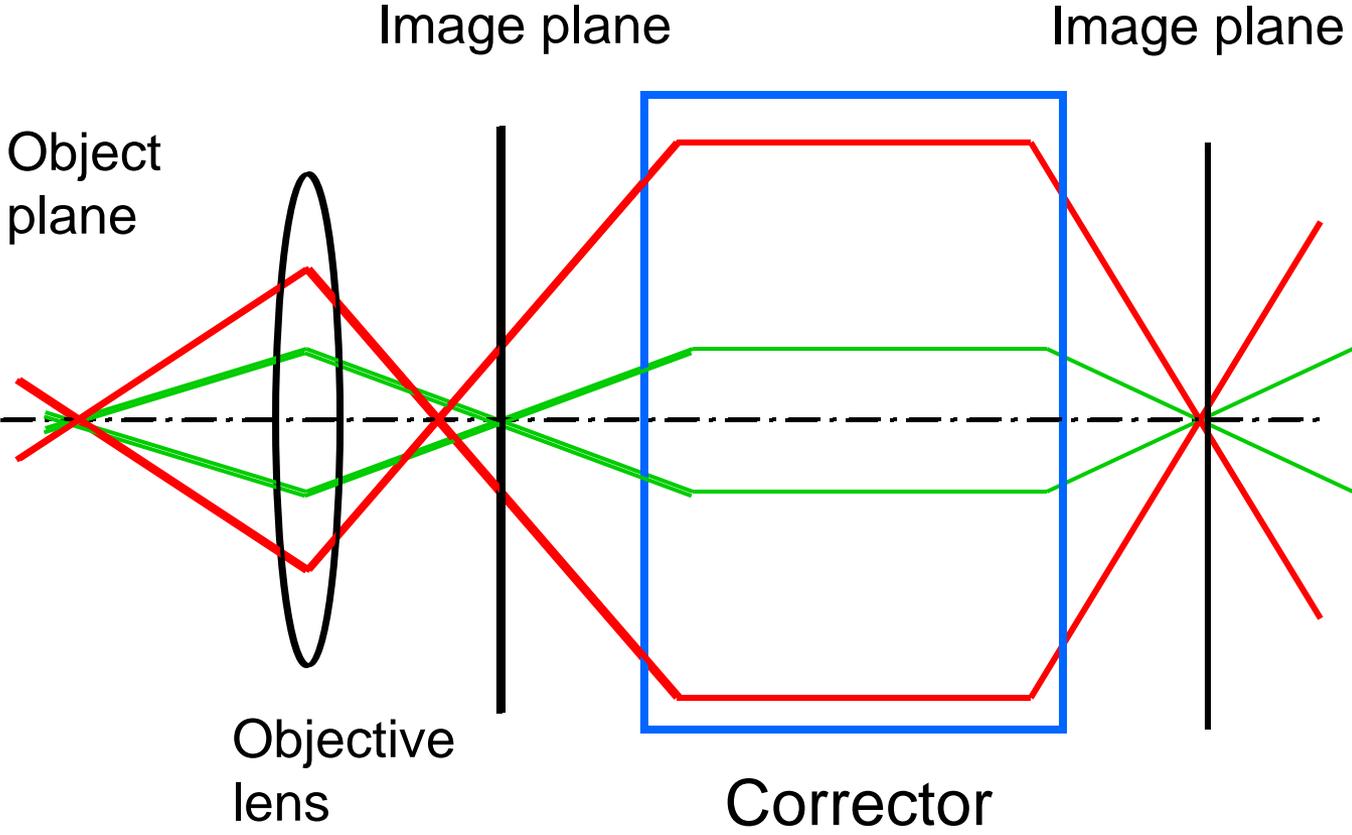
(Phosphor coupled CCD)  
(Direct Electron Detectors)



# Multipole Lenses



# Correction of Spherical Aberration.



*Need to have non-spherical elements*

# Aberrations

- Aberrations are a phase-shift in reciprocal space
- Multiply by  $\exp(-i\chi(u))$
- Can expand as a Taylor series

$$\chi(u) = A + Bu + Cu^2 + D(u.a) + \dots$$

A,B don't matter

C is defocus, D is astigmatism, Cs has  $u^4$

# Aberrations



$C_{1,0}$



$C_{1,2}$



$C_{2,1}$



$C_{2,3}$



$C_{3,0}$



$C_{3,2}$



$C_{3,4}$



$C_{4,1}$



$C_{4,3}$



$C_{4,5}$



$C_{5,0}$



$C_{5,2}$



$C_{5,4}$

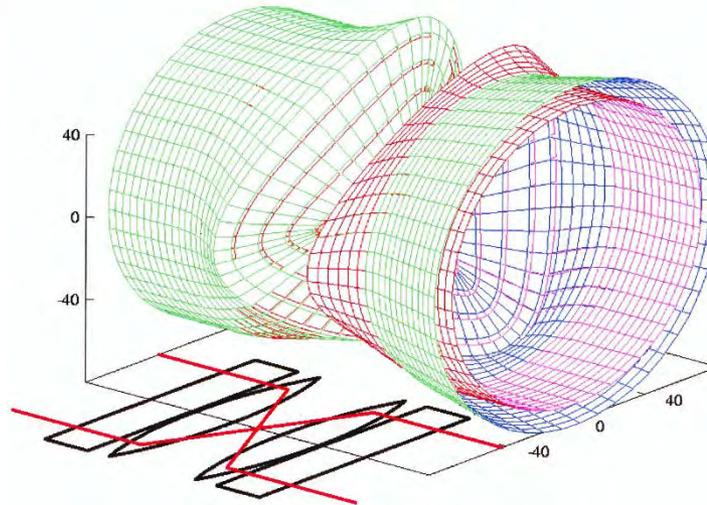
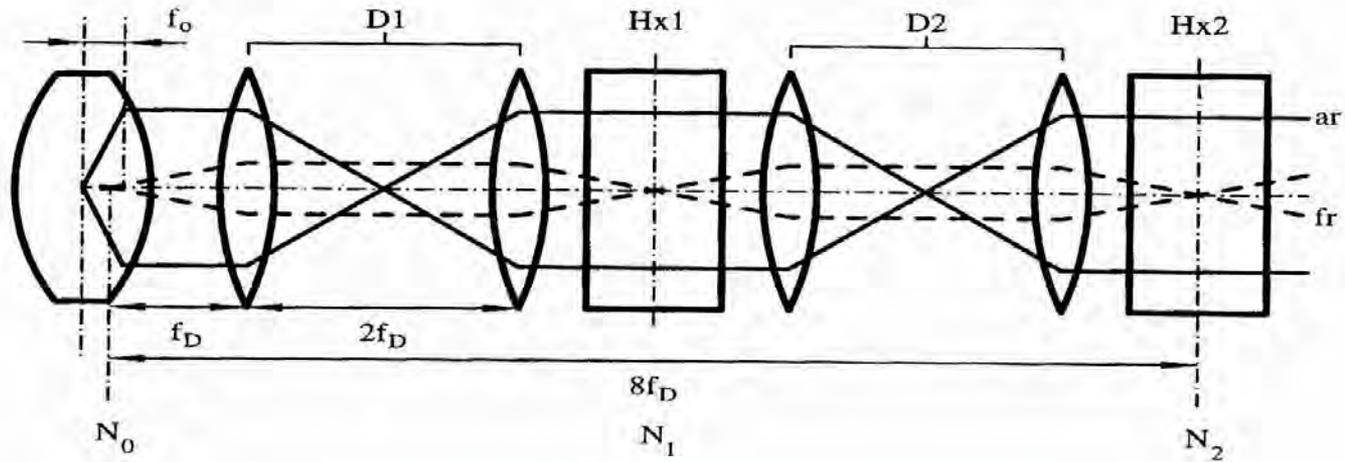


$C_{5,6}$

# Basic concept

- One cannot correct with a spherical lens, i.e. one with only even  $u$  terms
- One can, however, generate  $-ve$  4<sup>th</sup> order terms by breaking symmetry
  - Use hexapoles, which introduce terms with 3-fold symmetry
  - Octapoles, 4-fold symmetry
  - Arrange to cancel out most of the 3-fold or 4-fold aberrations

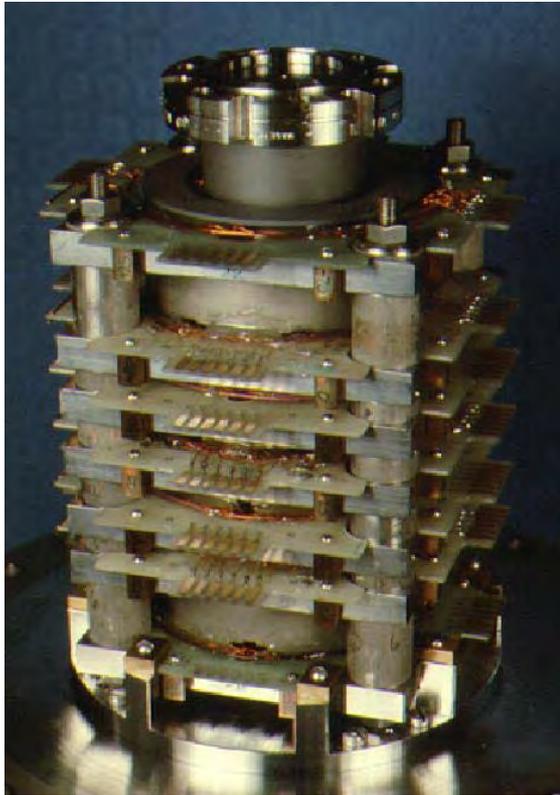
# Corrector Optics.



Dr Peter Hartel, CEOS

# Two early aberration correctors

---



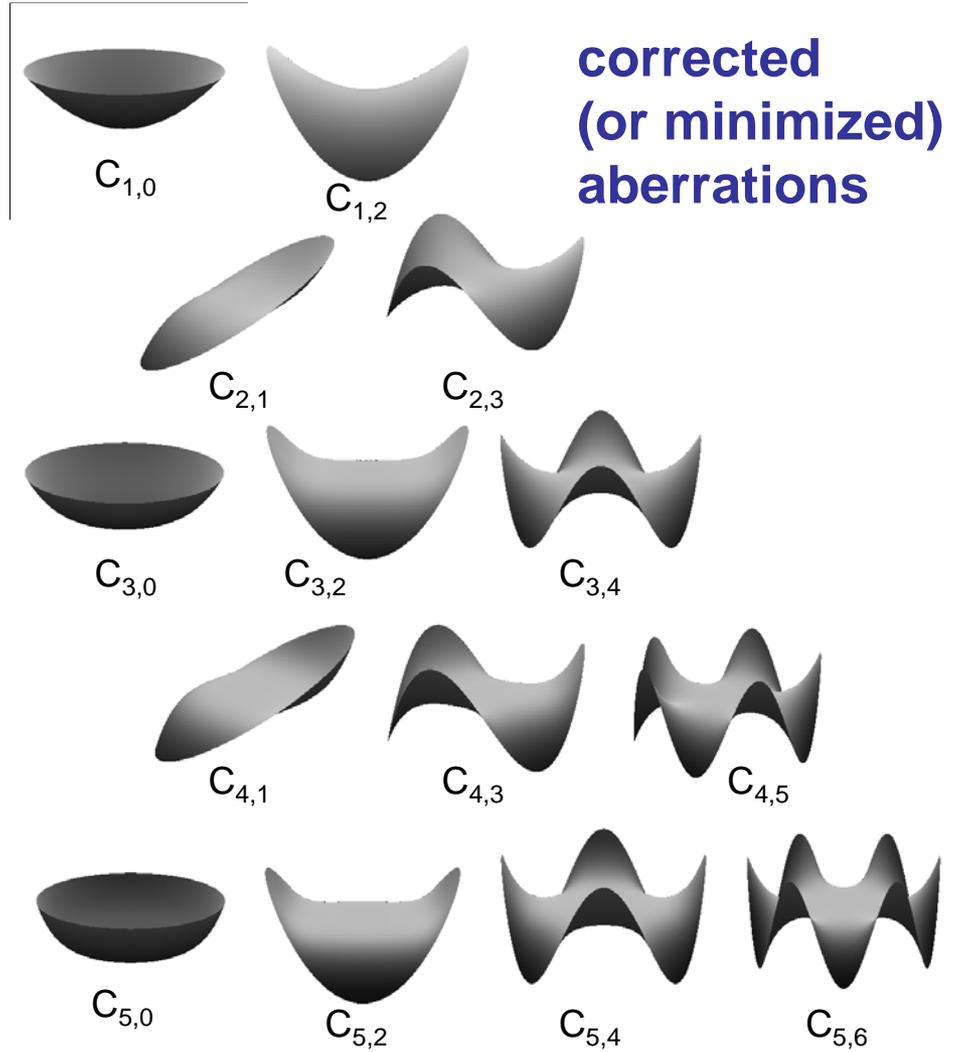
Quadrupole-octupole corrector (~1975)  
(grandfather to Nion's corrector)



Sextupole - round lens - sextupole corr. (~1980)  
(grandfather to CEOS's corrector)

Neither corrector ever worked properly, most likely because parasitic aberrations were neither quantified nor accurately compensated.

# Aberrations



# Coherent Aberration Resolution Limits.

TEM

HAADF-STEM

200kV Interpretable Limit

Uncorrected 0.19nm

0.13nm

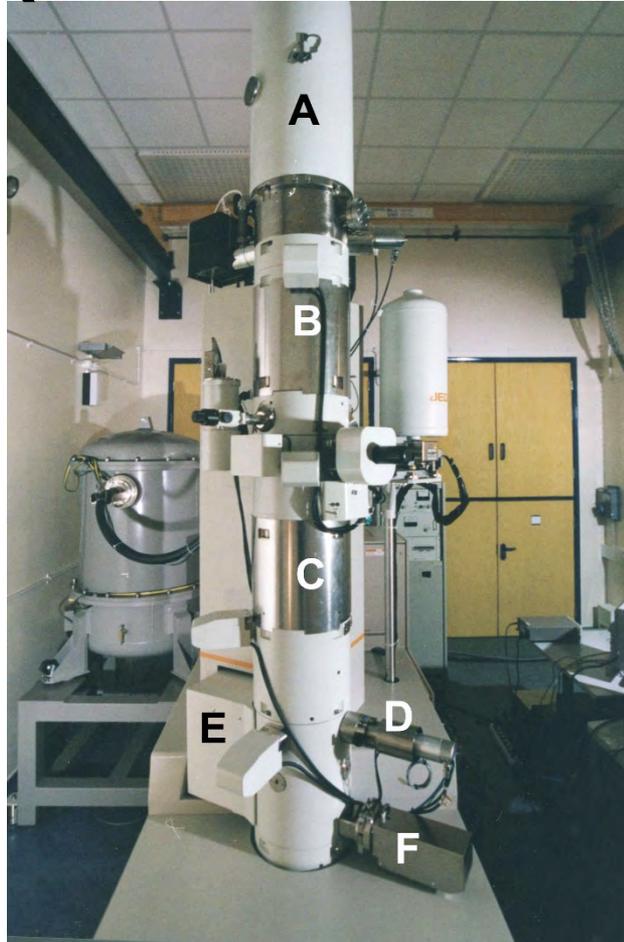
$C_3$  corrected 0.08nm

0.05nm

$C_5$  corrected 0.05nm

0.03nm

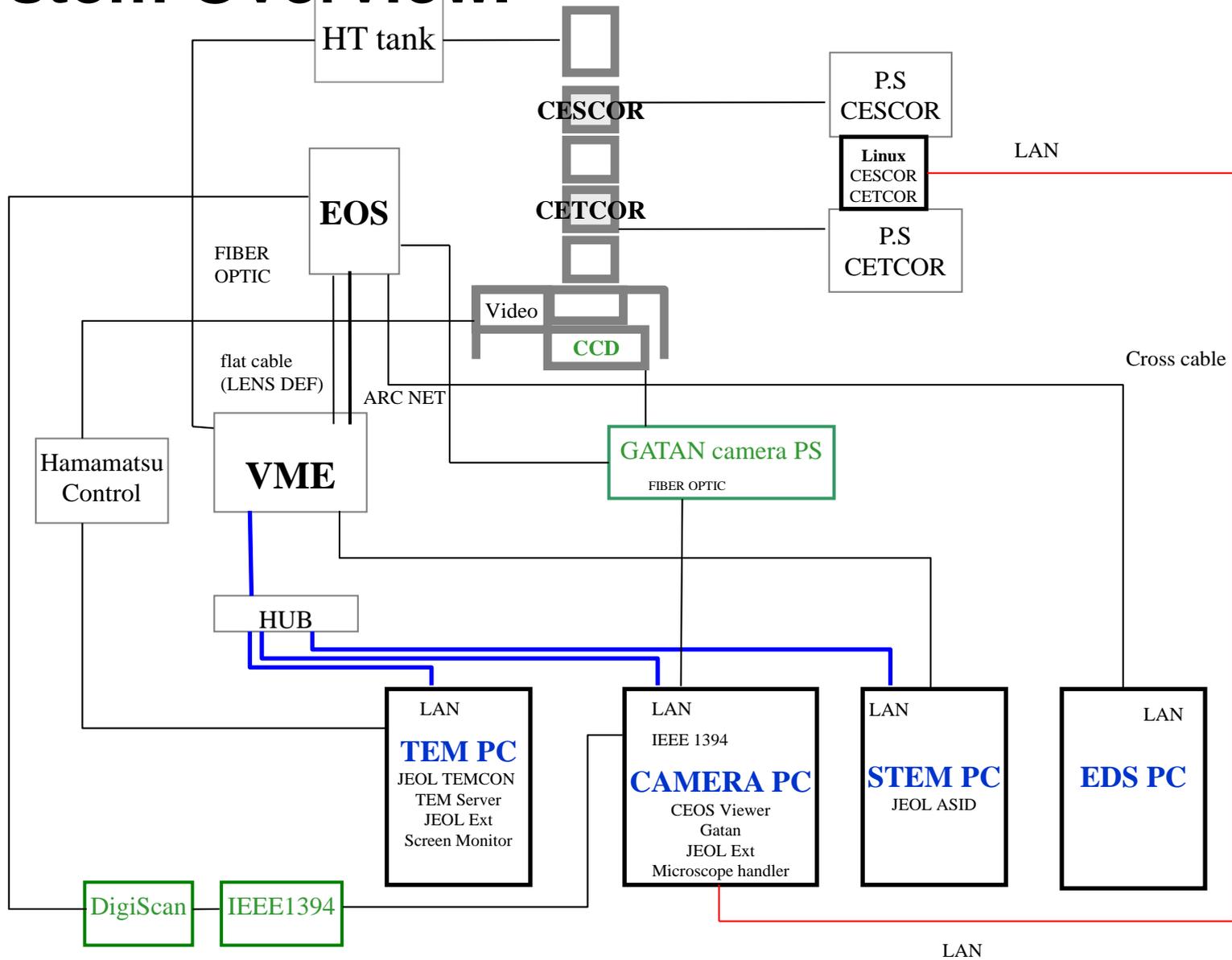
# Instrumentation – Mark 1 (JEOL 2200FS).

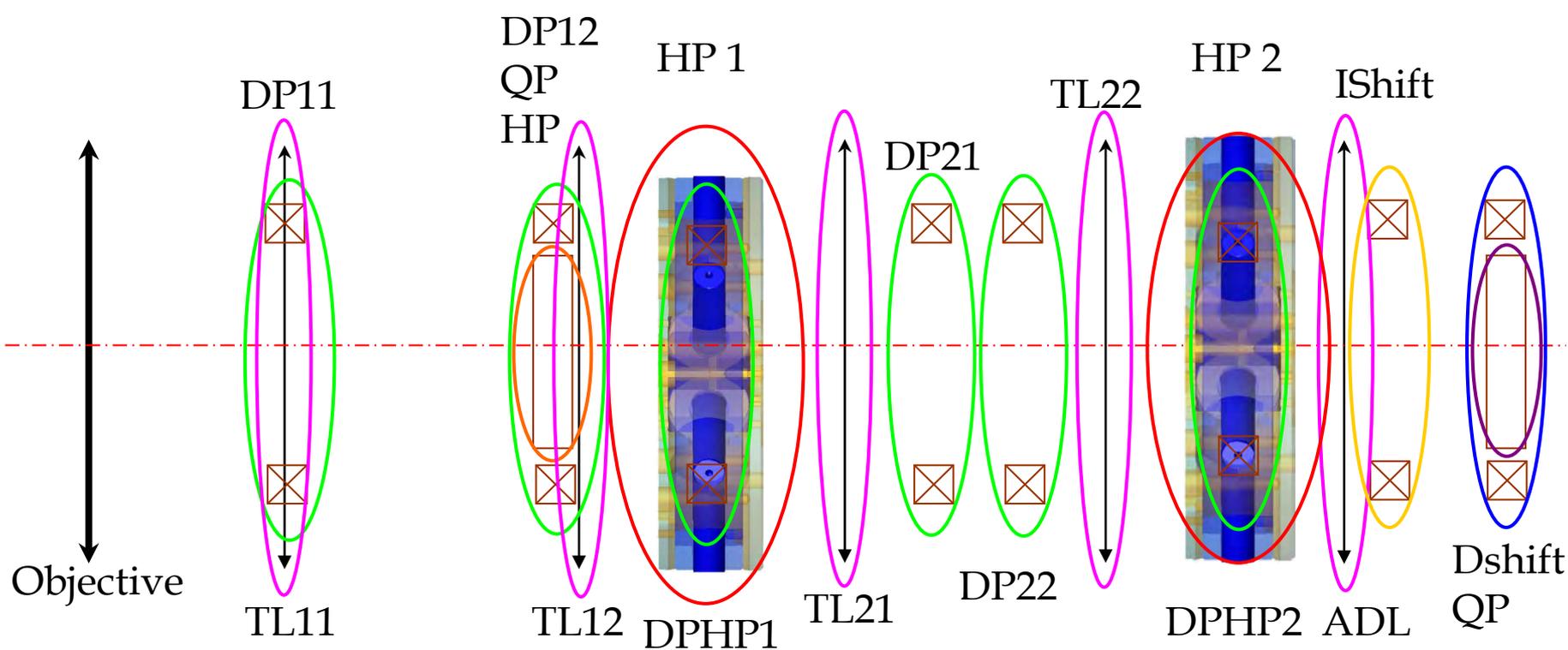


# Instrumentation – Version 2 (JEOL 2200 MCO).



# System Overview.





Single Channel control

OK	+3.0992 Tlens11	Step width
+127.235 Hexapole11	+1.7542 Tlens12	<input type="radio"/> Bit 0
+133.482 Hexapole12	+1.5198 Tlens21	<input type="radio"/> Bit 3
+2.752 DP HP1X	+1.5292 Tlens22	<input type="radio"/> Bit 6
-14.713 DP HP1Y	+1.3302 ADL	<input type="radio"/> Bit 9
+0.463 DP HP2X	-42.452 IshiftX	<input type="radio"/> Bit 12
+2.843 DP HP2Y	+116.401 IshiftY	<input type="radio"/> Bit 15
-40.639 Dipol11X	+0.800 Dipol21X	Clear
+0.499 Dipol11Y	+46.812 Dipol21Y	Clear all
+4.596 Dipol12X	-59.972 Dipol22X	
-52.985 Dipol12Y	-81.616 Dipol22Y	
-3.144 QpolX	+17.677 DshiftX	
-2.187 QpolY	-42.355 DshiftY	
+26.578 HpolX	+11.315 DstigX	
+24.053 HpolY	+5.720 DstigY	

Cs correction

Hexapole centering

B2 correction,  
Beam centering

A1, A2 correction

2<sup>nd</sup> Order correction (off, on axis)

- Anisotropic coma  
- Image and diffraction plane  
alignment

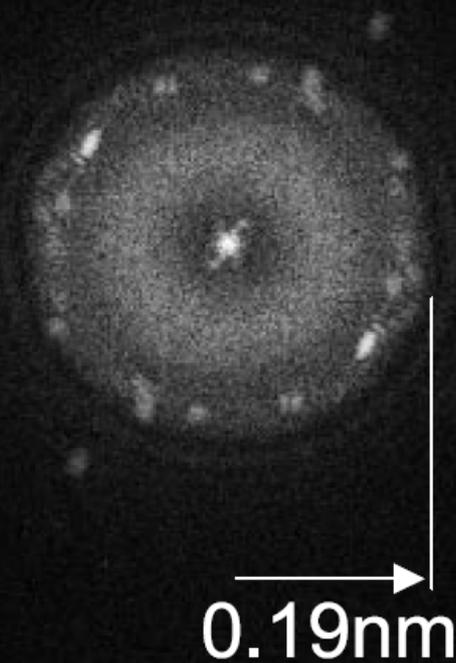
Image shift

B2 correction,  
Beam centering

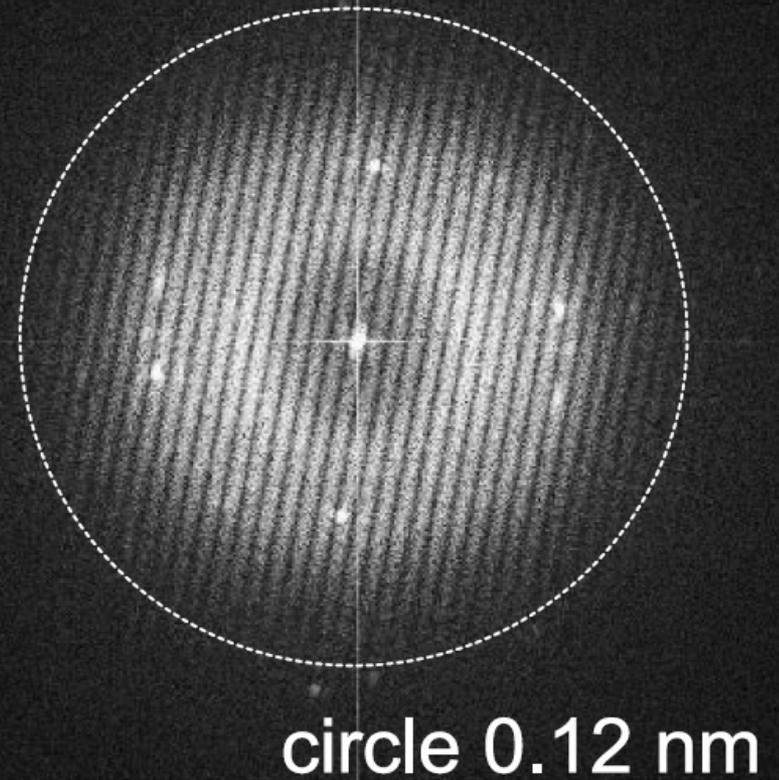
Diffraction shift

A1 diffraction plane correction

Un-Corrected

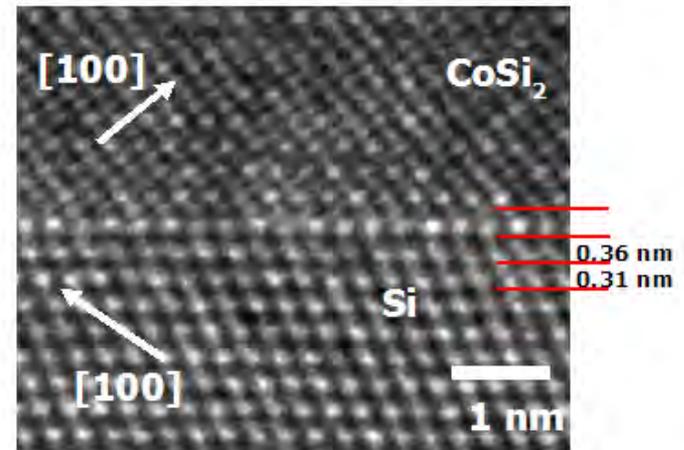
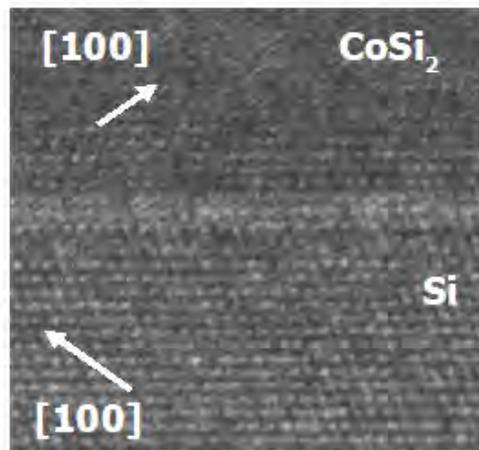
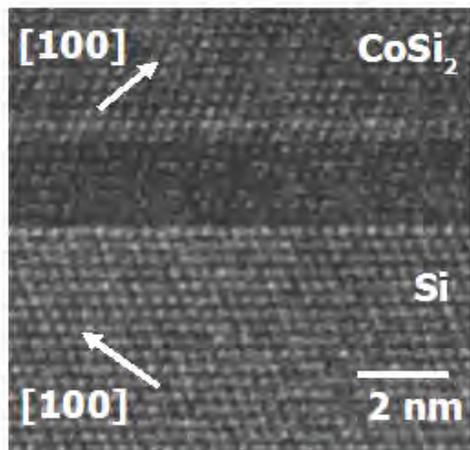
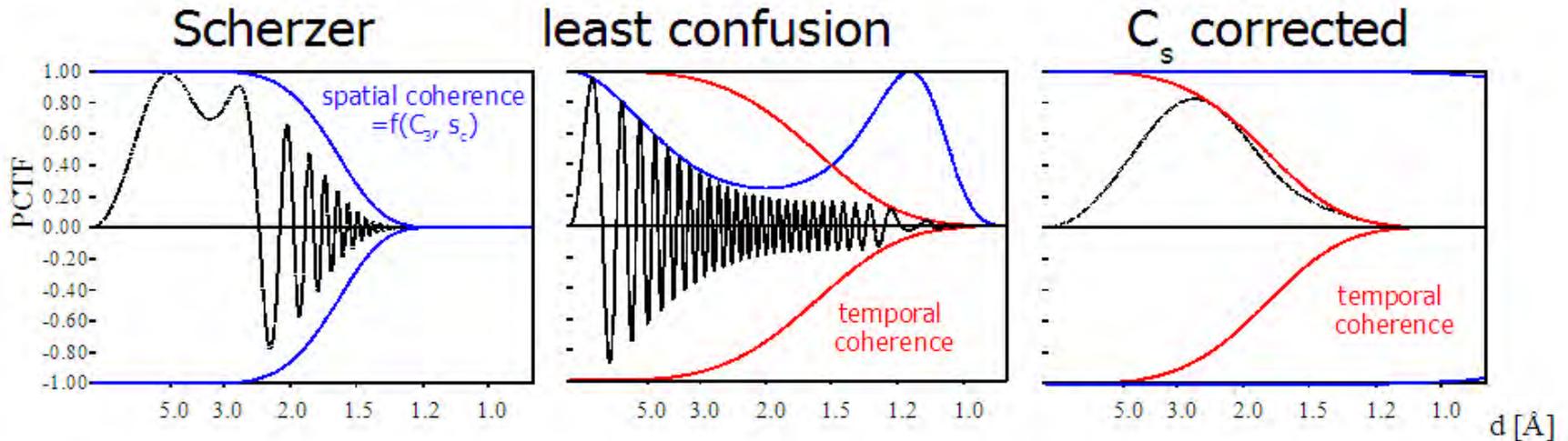


Corrected



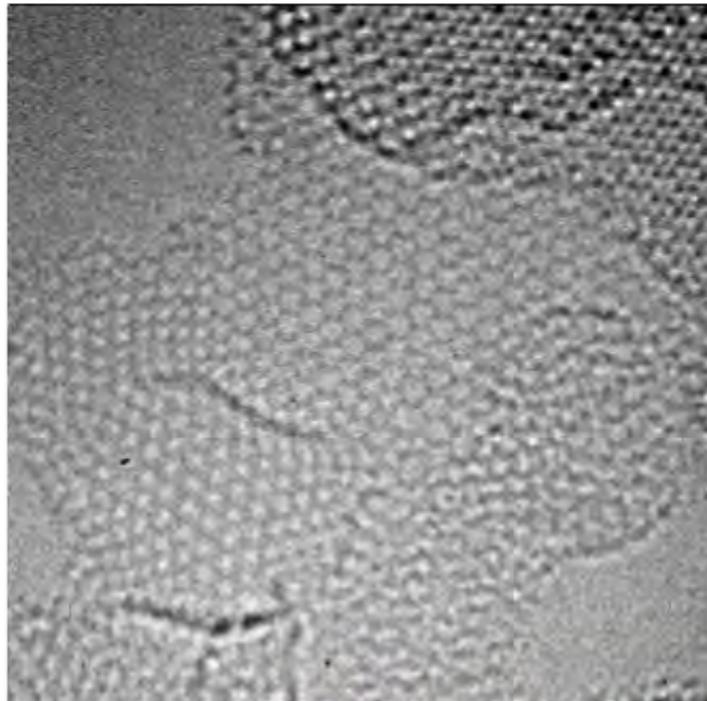
Sawada, H. et al. Experimental evaluation of a spherical aberration corrected TEM and STEM, *Journal of Electron Microscopy*, 2005, 52, 2, 120,

# $C_s$ correction

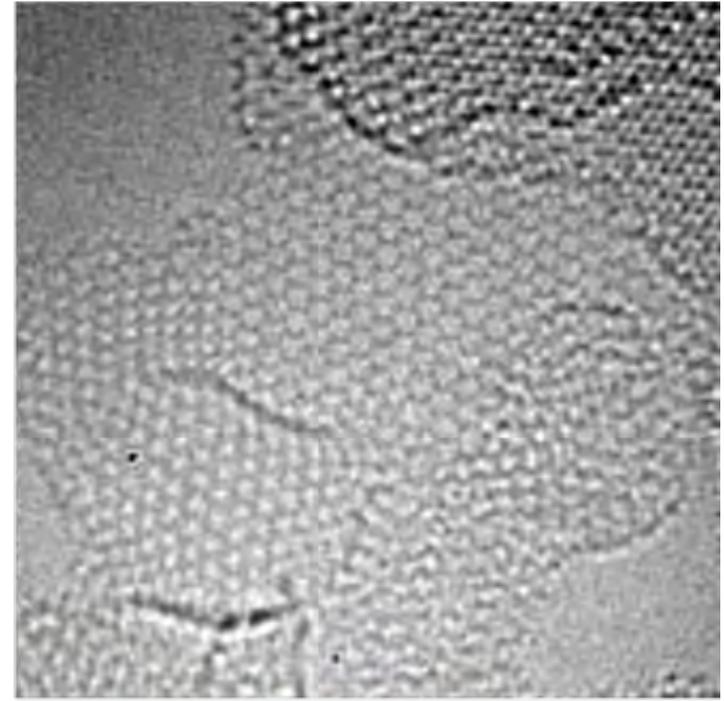


M.Haider, S.Uhlemann, E.Schwan, H.Rose, B.Kabius, K.Urban, Nature, 392 (1998) 768

# TEM of Graphene at 80 kV



Raw



Filtered

$\Delta E = 0.3 \text{ eV}$ ,  $\Delta f = -2.2 \text{ nm}$

8/13/12

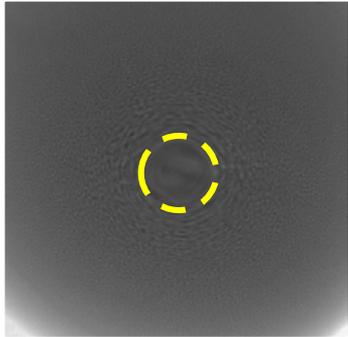
Graphene images with JEM-200F

5

# Aberration Correction in JEM-2200FS

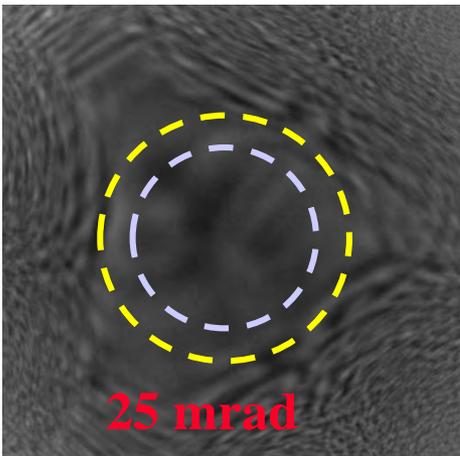
## Ronchigram

Hexapole **off**



11 mrad

Hexapole **on**



25 mrad

34 mrad

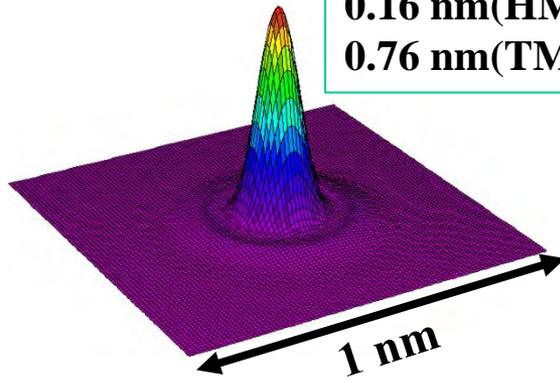
## Simulated probe

Conventional

**$I_p = 10 \text{ pA}$**

0.16 nm(HM)

0.76 nm(TM)

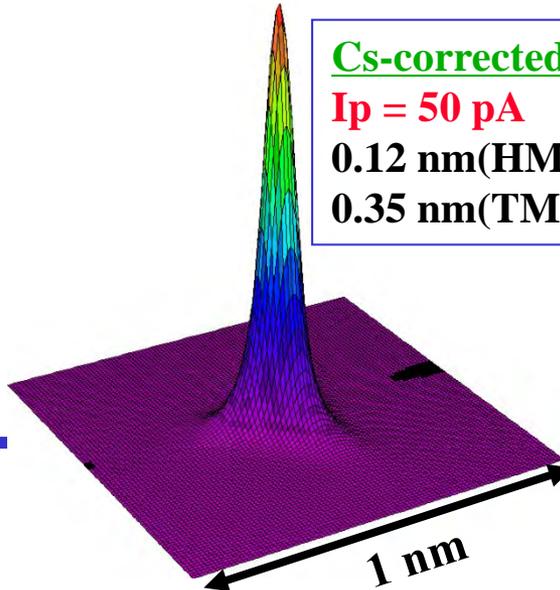


Cs-corrected

**$I_p = 50 \text{ pA}$**

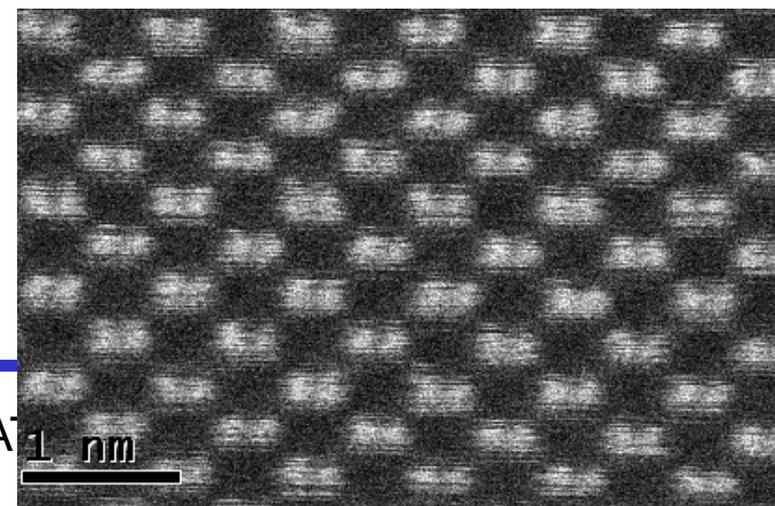
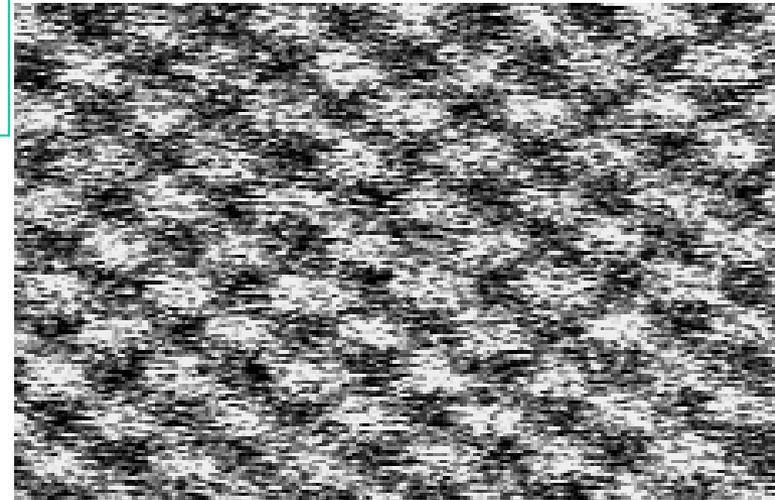
0.12 nm(HM)

0.35 nm(TM)

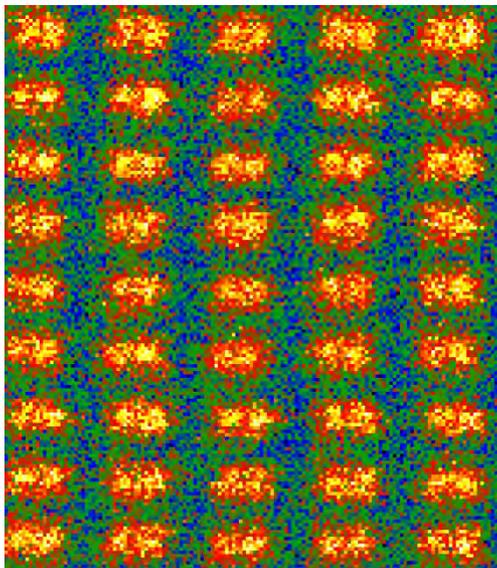
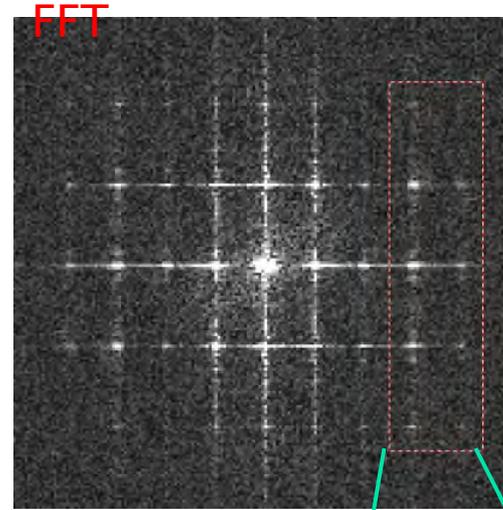
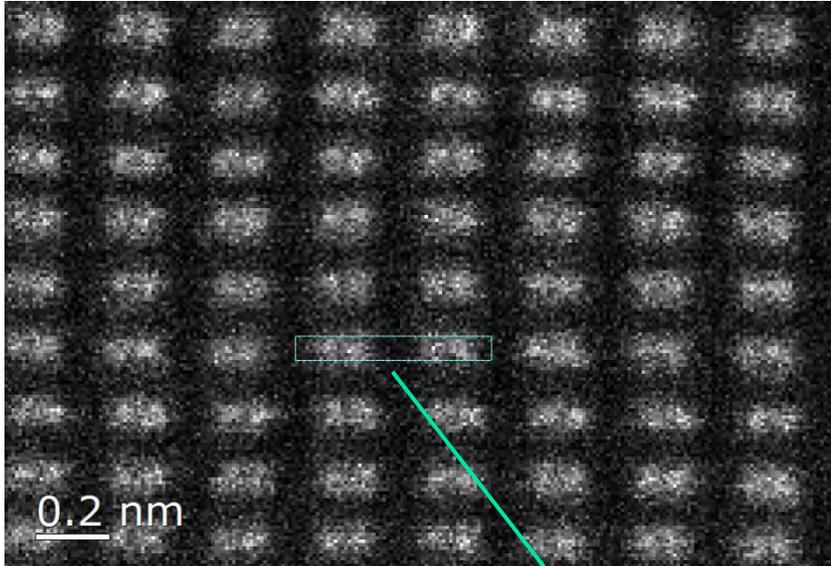


## HAADF-STEM image

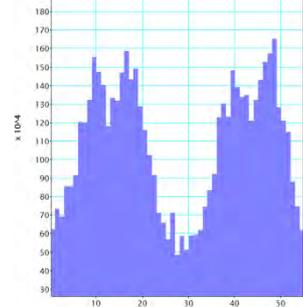
Si(110)



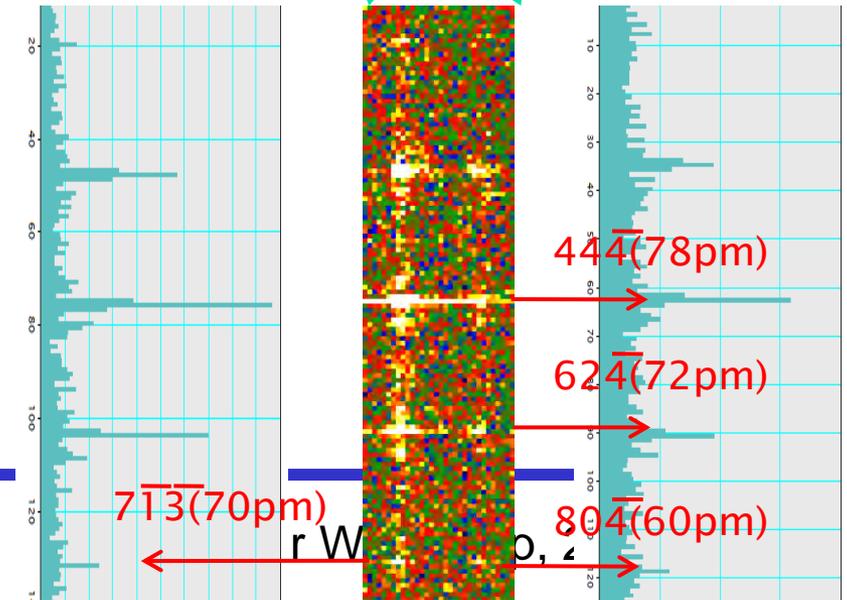
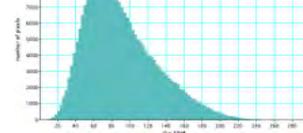
# Si(112) 78 pm dumbbell image



Intensity profile



Histogram



Intensity profile from FFT spot

# Beware!

- All you get with advanced instruments is easier/quicker alignment and better signal/images
- Many people sell the advantages of their instrument/technique (42, Douglas Adams)
- It is easier for a novice to get a “good” image, but that does not make it correct or representative
- There is no “dynamical diffraction” corrector
- Nothing will correct for a bad sample
- Nothing will correct for a bad interpretation